



July 27, 2022, 9:00 a.m.  
Chancellor's Ballroom, Carolina Inn

**OPEN SESSION**

**FOR ACTION**

1. Review of 79° West Proposed Services Agreement  
*Michelle Bolas, Chief Innovation Officer and Executive Director, Innovate Carolina*

**FOR INFORMATION**

1. Presentation on University's Emergency Preparedness Program\*  
*George Battle, Vice Chancellor for Institutional Integrity and Risk Management*

\*Some of the business to be conducted is authorized by the N.C. Open Meetings Law to be conducted in closed session.

**COMMITTEE MEMBERS**

**Marty Kotis, Chair**  
**Malcolm Turner, Vice Chair**  
**Dave Boliek, ex-officio**  
**Allie Ray McCullen      John Preyer**

CLOSED SESSION MOTION  
FOR AUDIT, COMPLIANCE, AND RISK  
MANAGEMENT COMMITTEE MEETING WEDNESDAY  
– JULY 27

Mr. Chairman, I move that the Audit, Compliance, and Risk Management Committee go into closed session pursuant to North Carolina General Statutes Section 143-318.11 (a) (1) (to prevent the disclosure of privileged information under Section 126-22) and also pursuant to Section 143-318.11 (a) (9).

July 27, 2022, 9:00 a.m.

**Agenda Item 1**

- Situation:** The Committee has for its consideration a recommendation from UNC staff that it approve a proposed services contract for UNC to provide innovation services at Mosaic at Chatham Park as a permissible activity under Section 200.1 of the UNC System Policy Manual.
- Background:** Mosaic at Chatham Park intends to establish a co-working space and innovation hub (to be called 79°West) within the Mosaic development in Pittsboro. UNC proposes to enter into a contract with the Mosaic company through which UNC's Office of Innovation, Entrepreneurship, and Economic Development ("Innovate Carolina") will deliver innovation services on-site to individuals and early-stage companies who will use the co-working space. Under the terms of the service contract, the Mosaic will pay UNC (Innovate Carolina) a \$3,500 per month retainer to cover up to 25 hours of Innovate Carolina personnel hours per month. UNC will bill additional time beyond 25 hours at a rate of \$120 per hour. Either party may terminate the agreement with 30 days' notice.
- UNC's Office of Innovation, Entrepreneurship, and Economic Development ("IEED") has experience in developing, supporting, and operating innovation hubs to support entrepreneurs and early-stage companies for the benefit of the region and the state. IEED's mission on behalf of UNC is to extend the reach of these services beyond campus and into the region. The proposed services agreement will help UNC advance that mission. IEED currently provides such services to entrepreneurs in co-working space in Chapel Hill, including development, preparation, facilitation, and evaluation of innovation programming; educational programming; mentoring; and activities designed to build entrepreneurial ecosystems.
- Assessment:** A member of the Board of Governors of the University of North Carolina System (Kirk Bradley) has a substantial ownership interest in the Mosaic at Chatham Park development. Therefore, pursuant to UNC System Policy 200.1, UNC will not enter into the proposed contract unless the committee determines that it is in the best interest of UNC and therefore a permissible activity. UNC's Mr. Bradley has not participated in negotiating the proposed contract and will have no role in its execution. The proposed agreement has been reviewed by the Office of University Counsel and UNC's Conflict of Interest Office. UNC's Chief Innovation Officer recommends approval of the contract as in the best interests of the University. Accordingly, it is recommended that the Committee approve the contract as a permissible activity.
- Action:** This item requires a vote by the Committee.

TO: Marty Kotis, Chair, Committee on Audit, Risk Management, and Compliance

FROM: Dave Boliek, Chair, Board of Trustees

DATE: July 15, 2022

Section 200.1 of the UNC Policy Manual provides that the chair of the Board of Trustees shall designate a standing committee to review proposed contracts between the University and an entity in which a member of the Board of Governors or board of trustees has a substantial interest, and to determine whether those contracts are in the best interests of the University. Pursuant to Section 200.1, and as Chair of the Board of Trustees of the University of North Carolina at Chapel Hill, I have designated the Committee on Audit, Risk Management, and Compliance as the standing committee for the purpose of reviewing a proposed services agreement between the University and Mosaic eHub, LLC (an entity in which Board of Governors member Kirk Bradley has a substantial interest).

# Emergency Preparedness & Active Shooter Response

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**Presentation to the UNC-Chapel Hill Board of Trustees Audit, Compliance, and Risk Management Committee**

**July 27, 2022**



**INSTITUTIONAL INTEGRITY  
AND RISK MANAGEMENT**

# General Campus Emergency Preparedness for Active Shooter & Other Emergencies ([carolinaready.unc.edu](http://carolinaready.unc.edu))

## Resources:

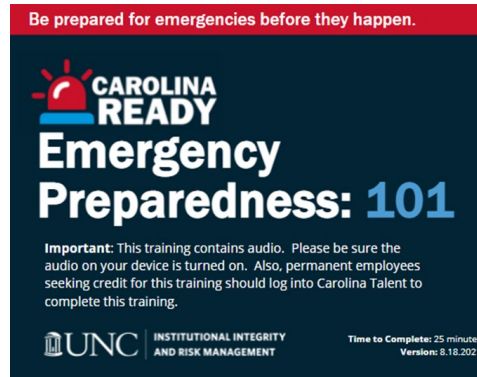
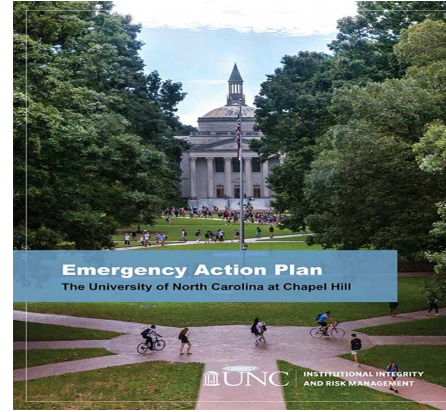
- Student/Faculty/Staff Safety Guides
- Building Emergency Action Plan Template
- Mobile Safety App

## Training:

- Preparedness 101 Computer Based
- Carolina Ready in the Office

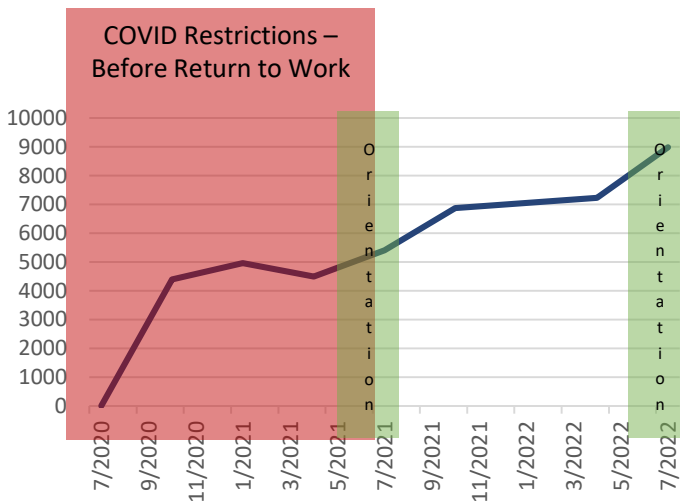
## Initiatives:

- Ready Set Plan Monthly Guide - 2022
- Zombie Preparedness Festival - Fall 2022
- Mobile Safety App Improvements - Ongoing



# Utilization of Carolina Ready Resources

## Carolina Ready Safety App Push Notification Subscribers



## Carolina Ready Safety App Subscribers

- 66% - Increase since July 2021 Return to Work

## Carolina Ready Website

- 61% increase in Carolina Ready Website Traffic since July 2021 Return to Work.

# Comprehensive Emergency Planning

*for Campus Response Officials*

## Policy:

New Emergency Management Policy – Effective July 1<sup>st</sup>

## Plans & Resources:

- Emergency Operations Plan
- Emergency Operations Center
- Mobile Command Bus
- Emergency Notification System – Alert Carolina
- Incident Management Software

## Training & Exercise Initiatives:

- Emergency Coordination Training – Fall 2022
  - Chancellor's Emergency Policy Group
  - Emergency Management Officials
- Multi-functional Active Shooter Exercise Series – Spring/Summer 2023



# Active Shooter Response Activity

## Response:

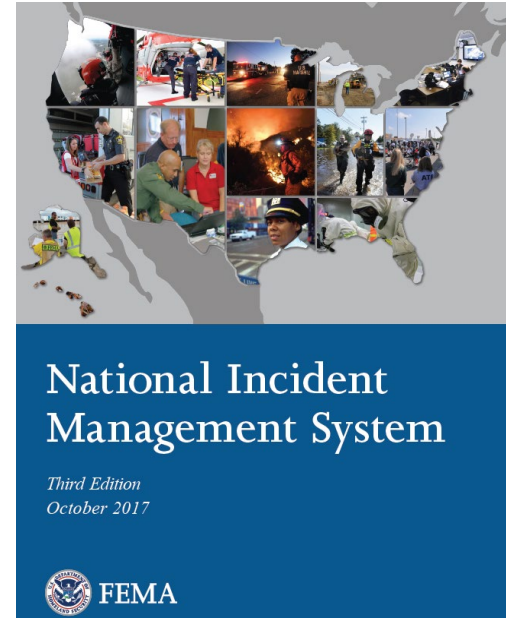
- Initial On-scene Response
- Campus Alert Notifications

## Coordination:

- National Incident Management System (NIMS) Model
  - Incident Command System – on-scene Management
  - Multi-Agency Coordination System
  - Joint Information System
- Activation of EOC & EOP
  - Emergency Support Functions
- Mutual Aid Support

## Recovery:

- All Clear
- Reunification
- After Action Review



# Active Shooter Response: Philosophy & Priority

The prioritization of activities, in their order of importance, is to capture or neutralize the active shooter (or the person actively attempting an act of terrorism) rescue the victims, provide medical assistance, and preserve the crime scene.

**The first officers who arrive on-scene are to enter the building, engage, and stop the threat.**

## RESPONSE

Assess:

- Determining whether an active shooter and/or active terrorism situation exists.

## Activate Alert Carolina System

Notify:

- Alert the patrol supervisor, other available police units, to include the Chief and Division Commanders, Chapel Hill Police Department, and Orange County EMS.

# Active Shooter Response: Contact & Rescue Teams

## CONTACT TEAM

Responding officer(s) who arrive after the initial officers enter the building are to form contact team(s) and enter the building in immediate pursuit of the active shooter. The focus of the contact team(s) is to:

- Engage the active shooter
- Stop the active shooter
- Maintain radio contact with the Incident Commander
- Provide intelligence about the scene

## RESCUE TEAM

**Rescue team(s) will locate and remove injured victims and direct uninjured victims that are not “locked-down” out of the building.**

- Rescue team members are to remain vigilant of rapidly changing dynamics.
- Police officers assigned to a rescue team shall provide security and direction for the other emergency services personnel (such as Fire/EMS) on the team.

# Active Shooter Response: UNC Police Training

## 2018

TCCC – Tactical Combat Casualty Care (3 hrs.) – This class focuses on the medical side of Active Assailant Incidents (AAI). In this class we discussed and performed tourniquet applications and GSW packing.

Officer Safety: Surviving Planned Attacks (4 hrs.) – This was a MIST topic for 2018. Although it is not directly related to AAI there were applications and training points that can be brought into AAI.

## 2019

PRSBI – Prevention and Response to Suicide Bombing Incidents (3 hrs.) – Again, this class is not specific to AAI as far as “shooters”, but often homemade explosives (HME) are a component of AAI. This class talked about how officers should handle HME if encountered during an AAI.

Active Shooter Exercise (4 hours) – This was a scaled down exercise where officers practiced forming contact/rescue teams and entering a building to respond to an active shooter. Airsoft pistols and protective equipment were used for these exercises.

# Active Shooter Response: UNC Police Training

## 2020

Pandemic, no AAI training on a department wide level.

## 2021

School Safety: Responding to School Incidents (4hrs) – This was a MIST topic for 2021. Even though this class focused on response to an elementary, middle, or high school, many of the training points are applicable to our setting here at UNC-Chapel Hill.

Full Scale Active Shooter Exercise (7 hrs.) – This was a full-scale exercise that took place in and around UNC Hospital involving multiple LE agencies and first responders. Due to staffing issues, we had 8 officers and supervisors attend this exercise.

# Active Shooter Response: UNC Police Training

## 2022

EOP Refresher and Overview (1 hour) – This was a quick refresher training on our Emergency Operations Plan at UNC-Chapel Hill. It was taught by Mr. Darrell Jeter our Emergency Management Coordinator.

Firearms Range Day (4 hrs.) – This range day focused on drills and firing sequences related to “Active Shooter” response. We drilled firing sequences based on a suspect wearing body armor. The exercise to conclude our range day was a two-person response to an “Active Shooter” where we used live fire to engage steel and paper targets while simulating a building search.