



September 26, 2018, 1:00PM
Chancellor's Ballroom West, Carolina Inn

OPEN SESSION

FOR ACTION

- | | | |
|----|--|--------------|
| 1. | Amendment to the Ordinance Regulating Traffic and Parking <i>Brad Ives, Associate Vice Chancellor for Campus Enterprises & Chief Sustainability Officer</i> | Attachment A |
| 2. | 2018-19 Revised Internal Audit Plan <i>Phyllis Petree, Chief Audit Officer</i> | Attachment B |
| 3. | Designer Selection • School of Dentistry – Vacuum Piping Replacement <i>Anna Wu, Associate Vice Chancellor for Facilities Services</i> | Attachment C |
| 4. | Construction Manager at Risk Selection • Translational Research Building <i>Anna Wu, Associate Vice Chancellor for Facilities Services</i> | Attachment D |
| 5. | Property Disposition by Ground Lease • Mountain Area Health Education Center (MAHEC) <i>Gordon Merklein, Associate Vice Chancellor for University Real Estate Ops.</i> | Attachment E |
| 6. | Property Disposition by Demolition <i>Gordon Merklein, Associate Vice Chancellor for University Real Estate Ops.</i> | Attachment F |
| 7. | Property Disposition by Sublease <i>Gordon Merklein, Associate Vice Chancellor for University Real Estate Ops.</i> | Attachment G |
| 8. | Self-Liquidating Debt Resolution <i>Brian Smith, Senior Assistant Vice Chancellor & Treasurer</i> | Attachment H |

FOR INFORMATION ONLY (No formal action is requested at this time)

- | | | |
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| 1. | Debt and Liquidity <i>Brian Smith, Senior Assistant Vice Chancellor & Treasurer</i> | |
| 2. | Internal Audit Report FY 2017-18 <i>Phyllis Petree, Chief Audit Officer</i> | Attachment I |
| 3. | Development Report <i>David Routh, Vice Chancellor for University Development</i> | |

COMMITTEE MEMBERS

Dwight Stone, Chair
Lowry Caudill, Vice Chair
Chuck Duckett
Julia Grumbles
Ed McMahan
Richard Stevens

Administrative Liaison:
Jonathan Pruitt, Vice Chancellor for Finance & Operations

AMENDMENT OF THE ORDINANCE REGULATING TRAFFIC AND PARKING

1. Article III. Parking

Section 3-18. Motorized Two-Wheeled Vehicle Parking

- Policy specifies that at all times persons may only park motorized two-wheeled vehicles on campus in designated parking areas with a valid permit.

2. Article V. Traffic

Section 5-3. Sidewalks and Landscape; Section 5-4. Speed Limits

- Policy specifies that no person other than on-duty law enforcement officers may operate or park a motorized two-wheeled vehicle on a campus sidewalk.

RECOMMENDED ACTION:

A motion to change The Ordinance Regulating Traffic and Parking.

The Ordinance Regulating Traffic and Parking on the Campus of The University of North Carolina at Chapel Hill

INTRODUCTION

This Ordinance defines the general operations and policies for traffic and parking on the campus of The University of North Carolina at Chapel Hill. The provisions set forth in this Ordinance are designed to ensure the safety and protection of all users of the system.

Effective Date - August 15, 2018

Be it ordained by the Board of Trustees of The University of North Carolina at Chapel Hill, pursuant to Chapter 116, Article 1, Part 6 of General Statutes of North Carolina:

ARTICLE I. GENERAL PROVISIONS

Sec. 1-1. Definitions

The words and phrases defined in this section have the meaning indicated when used in this Ordinance, unless the context clearly requires another meaning:

1. *"Bicycle"* means every device propelled by human power upon which any person may ride, and supported by either two tandem or three wheels, one of which is sixteen inches or more in diameter. "Abandoned bicycles" are those which have not been moved from the same location for a period of two weeks or longer.

2. *"Booting"* means to immobilize a Vehicle through the use of a device designed to be attached to the rear tire or wheel to render the Vehicle inoperable.

3. *"Campus"* means all property that (i) is owned or leased in whole or in part by The State of North Carolina and (ii) is subject to the general oversight of the Board of Trustees of the University excepting and excluding:

- (a) property leased to another not located within one of the areas set out in Sec. 3-3;
- (b) the University Lake watershed; and
- (c) property held for use as an official residence for administrative officers of the University.

4. *"Chancellor"* means the Chancellor of the University.

5. *"Electric Two-Wheeled Vehicle"* means any Motorized Two-Wheeled Vehicle that is propelled by means of a battery powered motor, including, without limitation, Segways.

6. *"Electric Vehicle"* means any Vehicle that is propelled, partially or exclusively, by means of a battery powered motor.

7. *"Internal Combustion Two-Wheeled Vehicle"* means any Motorized Two-Wheeled Vehicle that is propelled by means of an internal combustion motor, including, without limitation, motorcycles, mopeds, motorbikes, and scooters.

8. *"Main Campus"* means the portion of the Campus where the Old Well is located and all other portions of Campus contiguous thereto by University ownership or lease that are north of Fordham Blvd. For purposes of illustration, this definition is intended to include the portions of Campus commonly referred to as "North Campus" and "South Campus," and exclude

(without limitation) the portions of Campus commonly referred to as “Carolina North,” the “Mason Farm Property,” and “University Lake.”

9. “*Motorcycle*” means any Motorized Two-Wheeled Vehicle that has an engine size exceeding 150 cubic centimeters.

10. “*Motorized Two-Wheeled Vehicle*” means any two-wheeled (or three-wheeled) Vehicle that is self-propelled by means of an internal combustion motor or a battery powered motor, and is capable of carrying passenger(s), including, without limitation, Internal Combustion Two-Wheeled Vehicles and Electric Two-Wheeled Vehicles.

11. “*Park*” means to leave a Vehicle unattended by any person authorized to, or capable of, moving it immediately upon the direction of a law enforcement or parking control officer. Notes in Vehicles, activated hazard lights, or a running engine do not render a Vehicle “attended.”

12. “*Parking Coordinator*” means a department-assigned staff member who acts as a liaison with the University Department of Transportation and Parking.

13. “*Parking Permit*” means any identification assigned by the Department of Transportation and Parking to be displayed on a Vehicle for parking legally on the Campus.

14. “*State-owned Vehicles*” are all Vehicles, including service Vehicles, owned by the State of North Carolina.

15. “*T&P*” means the University’s Department of Transportation and Parking.

16. “*University*” means The University of North Carolina at Chapel Hill.

17. “*Vehicle*” means any device by which a person may be transported upon a roadway, except (a) bicycles and other devices moved by human power, and (b) devices used as a means of transportation by a person with a mobility impairment and limited by design to travelling no more than 15 miles per hour.

18. “*Visitor*” means any individual other than a student or employee of either the University or UNC Hospitals and any of their contract or temporary employees.

Sec. 1-2. North Carolina Motor Vehicle Laws; Regulating; Delegating of Authority

1. The laws and regulations of Chapter 20 of the General Statutes of the State of North Carolina relating to the use of highways of the State and the operation of motor vehicles thereon apply to all streets, alleys, driveways, parking lots, and parking structures on University property.

2. The Chancellor is authorized to adopt and promulgate such rules and regulations and to establish such administrative procedures as he/she may deem necessary or advisable for the administration, interpretation, and enforcement of this Ordinance.

3. The Chancellor may delegate administrative responsibilities imposed on him/her by this Ordinance to the Director of Public Safety, the Director of Transportation and Parking, and other officers of the University that the Chancellor may choose.

4. The Department of Transportation and Parking operates twenty-four hours a day, seven days a week. In-office customer service is available from 7:30 a.m. until 5:00 p.m., Monday through Friday, except on University-recognized holidays and as otherwise posted. Regulations may be enforced at any time deemed necessary by special event activities or other circumstances.

Sec. 1-3. Posting Notice of Ordinance and Regulations

The Chancellor, through his/her designated administrative officers, shall cause to be posted a public notice of traffic and parking restrictions imposed by or pursuant to the authority of this Ordinance and by Chapter 116, Article 1, Part 6 of the General Statutes of North Carolina. Once posted, these restrictions are in effect at all times unless otherwise stated.

Sec. 1-4. Publications of Ordinance and Regulations

The Chancellor, through his/her designated administrative officers, shall cause copies of this Ordinance and regulations issued pursuant hereto to be printed and made available to students, faculty, and employees of the University in the lobby of the Department of Public Safety building. In addition, this Ordinance shall be posted on the website of the Department of Transportation and Parking.

Sec. 1-5. Filing of Ordinance and Regulations

A copy of this Ordinance and all regulations issued hereunder, except temporary police regulations, shall be filed in:

1. the Office of the President of The University of North Carolina;
2. the Office of the Chancellor of The University of North Carolina at Chapel Hill;
3. the Office of the Secretary of the State of North Carolina;
4. the Office of the University Director of Transportation and Parking; and
5. the Office of Student Affairs.

Sec. 1-6. Liability

The University assumes no liability or responsibility for damage or theft to any Vehicle parked in or on University properties subject to the jurisdiction or control of the Board of Trustees of the University. The provisions of this Ordinance shall apply to all operators of all Vehicles, public and private, and they shall be enforced twenty-four hours a day except as

otherwise specified by this Ordinance. It shall be unlawful for any operator to violate any of the provisions of this Ordinance except as otherwise permitted by The Ordinance or General Statutes of North Carolina.

The operator of any Vehicle shall obey the lawful instruction of any law enforcement officer, parking control officer, official traffic signs or control devices appropriately placed and in accordance with provisions of these regulations.

Sec. 1-7. Cooperation with Civil Authorities

The Chancellor and his/her designated administrative officers shall cooperate with all law enforcement authorities of the State of North Carolina and its political subdivisions in enforcing this Ordinance and issuing regulations hereunder. When the Town of Chapel Hill enacts an Ordinance that, pursuant to G.S. 116.44.5, supersedes any portion of this Ordinance or any regulation issued hereunder, the Chancellor's designated administrative officers shall, upon receiving a copy of such Ordinance from the town clerk, immediately cause to be removed all parking meters, signs and other devices or markings erected or placed on a public street by authority of the superseded Ordinance or regulations.

Sec. 1-8. Rules of Evidence

When a Vehicle is found to be in violation of this Ordinance it shall be considered prima facie evidence that the Vehicle was parked by:

1. The person to whom University parking permit for the Vehicle is registered;
2. If no parking permit has been issued for the Vehicle, the person, company, corporation or firm in whose name the Vehicle is registered with the North Carolina Division of Motor Vehicles or the corresponding agency of another state or nation; or
3. The son, daughter, spouse, or ward of the registered owner enrolled in or employed with the University or UNC Hospitals.

The prima facie rule of evidence established by this Section 1-8 shall not apply to the registered owner of a leased or rented Vehicle when said owner can furnish evidence that the Vehicle was, at the time of the parking violation, leased or rented, to another person. In such instances, the owner of the Vehicle shall, within reasonable time after notification of the parking violation, furnish the Department of Transportation and Parking with the name and address of the person or company who leased or rented the Vehicle.

Sec. 1-9. Trust Accounts

All monies received pursuant to this Ordinance, except for the clear proceeds of civil penalties that are required to be remitted to the Civil Penalty and Forfeiture Fund in accordance with G.S. 115C-457.2, shall be placed in trust accounts and may be used for the following purposes:

1. to defray the cost of administering and enforcing this Ordinance and Chapter 116, Article 1, Part 6 of the General Statutes of North Carolina;
2. to develop, maintain, and supervise parking lots, areas and facilities;
3. to provide bus service or other transportation systems and facilities, including payments to any public or private transportation system serving University students, faculty, or employees;
4. as a pledge to secure revenue bonds for parking facilities issued under Chapter 116, Article 21, of the General Statutes of North Carolina; and/or
5. for any other purpose related to parking, traffic, and transportation on the Campus as authorized by the Chancellor or his/her designated administrative officers.

Sec. 1-10. Parking on Streets or Roads of the Town of Chapel Hill

Except as expressly permitted by this Ordinance, it is unlawful for any person to park a Vehicle:

1. on the Campus; or
2. on those portions of the following streets in the Town of Chapel Hill where parking is not prohibited by The Ordinance of the Town of Chapel Hill:
 - a. both sides of Battle Lane for its entire length;
 - b. both sides of South Road from Country Club Road to South Columbia Street;
 - c. both sides of Country Club Road from Raleigh Street to South Road;
 - d. both sides of Raleigh Street for its entire length;
 - e. both sides of Pittsboro Street for its entire length;
 - f. both sides of Boundary Street from East Franklin Street to Country Club Road;
 - g. both sides of Park Place for its entire length;
 - h. both sides of South Columbia Street from East Franklin Street to Manning Drive;
 - i. both sides of Cameron Avenue from Raleigh Street to South Columbia Street;
 - j. both sides of McCauley Street from South Columbia Street to Pittsboro Street;
 - k. both sides of Ridge Road between Country Club Road and Manning Drive; and
 - l. all sidewalks.

Nothing in this Ordinance shall be construed as recognition by the Board of Trustees of the University as a prescriptive easement in any street specifically named herein.

ARTICLE II. PAYMENTS AND COLLECTIONS

Sec. 2-1. Payment Methods

Payments owed to the Department of Transportation and Parking may be made in the following ways (the Department of Transportation and Parking reserves the right to change payment methods for operational efficiency):

1. Cash (not recommended to be sent through the mail) except unrolled coins (receipts will not be given until coins are properly rolled);

2. Checks or money orders (payable to the Department of Transportation and Parking);
3. University and UNC Hospitals Payroll Deduction;
4. University Journal Entry (to be used by University departments for transferring funds);
5. University Departmental Direct Fund Transfers (following T&P Accounting Services guidelines);
6. Department Validation Coupons
7. MasterCard/VISA;
8. UNC - One Card;
9. Online and pay-by-phone payments; and
10. Automated pay stations.

Sec. 2-2. Pay Lots After-Hours

1. Vehicles Exiting Unattended Pay Lots After-Hours. Vehicles exiting pay parking lots after pay attendant operations have closed and when the gates are lowered must pay for all parking fees incurred by utilizing the automated pay stations to process their payment for parking fees.

2. Failure to Pay Upon Exiting a Pay Lot. The owner of any Vehicle exiting a visitor or patient parking facility without paying or otherwise having parking validated will be sent a billing notice for a full day's parking.

Sec. 2-3. Replacement Fee

A lost or stolen parking permit must be reported immediately to the Department of Transportation and Parking and an affidavit must be filed. A lost permit will be replaced at a charge of \$25.00 or the face value of the permit, or whichever is less. The replacement fee shall be refunded if the missing permit is found and returned to the Department of Transportation and Parking.

A permit reported stolen will be replaced free of charge on a one time only basis. In order for a stolen permit to be replaced free of charge, a police report must be filed for such permit. Permits reported lost or stolen may not be replaced without the approval of the Director of the Department of Transportation and Parking or his/her designee.

Sec. 2-4 Returned Checks

Any payment made by a check that is returned by the bank and not cleared upon notification shall necessitate that a hold be placed with the University Registrar or that the amount be deducted from an employee's paycheck. Returned checks of non-affiliated individuals or companies will be forwarded to a collection agency if not cleared upon notification. A \$25.00 service charge shall be imposed for all returned checks.

Sec. 2-5 Collection Methods

No parking permit may be issued to any individual who has outstanding debts to the Department of Transportation and Parking. The Department of Transportation and Parking may reduce or retain any refund from the cancellation of a permit to clear all outstanding balances associated with the account. Failure to pay debts within the required time frame can result in the University arranging for the collection of fees assessed against faculty, staff, students, and visitors in the following manner:

1. Debts owed by employees of the University and UNC Hospitals may be deducted from payroll checks.
2. Debts owed by students necessitates that a “hold” status be placed with the University Registrar.
3. The Attorney General for the State of North Carolina may recommend the use of a collection agency to collect debts from individuals whose debts may not be collected by payroll deduction or by other means.
4. Failure to pay civil penalties or other just debts owed to the Department of Transportation and Parking within ten calendar days of the date of the citation(s) or incurrence of the debt will result in a \$10.00 late payment fee being assessed on each unpaid citation or other transaction.
5. Debts owed by individuals not eligible for payroll deduction may be subject to garnishment of North Carolina State income tax refund.

Sec. 2-6 Civil Suits for Recovery of Penalties

When the Chancellor in his sole judgment determines that civil penalties validly imposed for violation of this Ordinance cannot or will not be collected through normal administrative procedures, he may request the Attorney General of North Carolina to bring a civil action against the offender in the name of the State for the recovery of the penalty.

ARTICLE III. PARKING

Sec. 3-1. Method of Parking

The Chancellor, or his/her delegates, shall cause each area in which parking is permitted by this Ordinance to be surveyed and developed for parking. Each parking space shall be defined by appropriate signs and painted lines (when the parking area is paved). Parking spaces in unpaved lots will be marked by wheelstops. When parking spaces have been marked off in the area in which parking is lawful, Vehicles shall be parked within the spaces so designated at all times.

1. Some spaces shall be marked for compact Vehicles. These spaces shall be fifteen feet in length and five feet in width, with two and one-half feet of hatching between spaces. It is unlawful for any Vehicle to occupy more than the five-foot portion of the space. Signs shall be posted or on-ground markings shall designate compact spaces.

2. It is a violation of this Ordinance to occupy portions of more than one space when such spaces are defined by painted lines. It is also a violation of this Ordinance to park in any portion of the area not clearly designated for parking.

3. When spaces are painted at an angle (diagonally) to a street curb or dividing median, Vehicles must park with the front of the Vehicle next to the curb or median. When parking spaces are parallel to a curb or dividing median, Vehicles must park with the front of the Vehicle facing the direction of travel authorized for that side of the street or driveway.

Sec. 3-2. Disabled Vehicles

It is unlawful to leave a disabled Vehicle parked in violation of this Ordinance without immediately notifying the Department of Transportation and Parking. If the Vehicle is obstructing traffic, in a reserved space, or creating a hazard, it must be moved immediately.

No Vehicle will be placed on the disabled Vehicle list more than one time per month unless the operator will accept assistance from the Department of Transportation and Parking or a tow firm. Persons who exceed the limitations for placing their Vehicle on the disabled list and do not accept assistance shall be subject to the appropriate penalty if the Vehicle remains parked in violation of this Ordinance. If the Department of Transportation and Parking has permits available for the lot in which the Vehicle is parked, the operator may be required to purchase a permit rather than be placed on the disabled list.

Sec. 3-3. Parking Zones

Any person parking a Vehicle in the parking lots and areas described in this Section 3-3 must display the appropriate permit for that lot or parking area during those days and hours specified at the entrance to such lots or areas. Any Vehicle parked without displaying a valid permit is subject to the issuance of a civil penalty, Vehicle immobilization/booting, and/or towing.

If the assigned zone is full, a temporary permit for an alternate zone may be obtained from the Department of Transportation and Parking in the Public Safety building located off Manning Drive. UNC Hospitals' employees may obtain temporary permits from the Hospital Parking Office.

It is unlawful to park a Vehicle within any zone other than the one authorized by permit, as displayed on the registered Vehicle. Vehicles displaying a valid special permit obtained through the Department of Transportation and Parking may park as indicated by use of those permits and their related restrictions. All Main Campus permits shall also be valid in any R Zone lot.

A guide that summarizes the regulations and a map depicting the University's parking zones is available on the Department of Transportation and Parking website. The University's parking zones are as follows:

| | |
|--------|---------------|
| Zone A | Aycock Circle |
| Zone A | Battle Lane |

| | |
|---------|----------------------|
| Zone A | Spencer |
| Zone BD | Business School Deck |

| | |
|--------------|--|
| Zone BTB | Bell Tower |
| Zone Bicycle | Areas designated by bicycle racks or specifically designated bicycle |
| Zone PR | Chatham County Park and Ride |
| Zone PR | Friday Center Park and Ride |
| Zone PR | Franklin Street Park and Ride |
| Zone PR | Hedrick Park and Ride |
| Zone PR | 725 Martin Luther King Blvd. |
| Zone CD | Craige Deck |
| Zone CG | Helipad |
| Zone FC | Fraternity Court |
| Zone FG | EPA Gated |
| Zone JD | Jackson Deck |
| Zone K | Ehringhaus |
| Zone KSD | Knapp-Sanders Deck |
| Zone L | Craige |
| Zone L | Morrison Circle |
| Zone M | Hinton James |
| Zone MC | Areas designated for Motorized Two-Wheeled Vehicles |
| Zone MD | McCauley Deck |
| Zone ND | Cobb Deck |
| Zone NG1 | Swain |
| Zone NG3 | Caldwell |
| Zone NG3 | Steele |
| Zone N1 | 440 North |
| Zone N1 | 440 South |
| Zone N2 | Morehead Planetarium |
| Zone N2 | Porthole |
| Zone N3 | Alumni Place |
| Zone N3 | Bynum |
| Zone N3 | Emerson Drive |
| Zone N3 | Hickerson House |
| Zone N3 | Lenoir Drive |
| Zone N3 | Love House |
| Zone N3 | Peabody |
| Zone N5 | Boundary Street |
| Zone N5 | Forest Theater |
| Zone N5 | Park Place |
| Zone N7 | University Relations |
| Zone N7 | Nash |
| Zone N8 | Undergraduate Library |
| Zone N9 | International Studies |
| Zone N10 | University Development |
| Zone N11 | New Venable |
| Zone NP | Night Parking |
| Zone PD | Cardinal Deck |
| Zone RD | Rams Head Deck |
| Zone RR | Estes Resident |
| Zone R1 | Electrical Distribution |
| Zone R1 | Energy Services |
| Zone R1 | Physical Plant |
| Zone R2 | Art Building |

| | |
|----------|--------------------------------|
| Zone R2 | Administrative Office Building |
| Zone R3 | Cameron-Graham |
| Zone R3 | Cogeneration Facility |
| Zone R4 | Frank Porter Graham |
| Zone R5 | 200 Finley Golf Course Road |
| Zone R5 | 212 Finley Golf Course Road |
| Zone R5 | 220 Finley Golf Course Road |
| Zone R6 | Continuing Education |
| Zone R6 | Day Care Center |
| Zone R6 | School Leadership Program |
| Zone R6 | WUNC |
| Zone R7 | Bolin Creek |
| Zone R7 | 725 Airport Rd. |
| Zone R8 | Hedrick Building |
| Zone R9 | Cone Tennis Facility |
| Zone R10 | Chapel Hill North |
| Zone R12 | Carolina North |
| Zone SFH | Baity Hill |
| Zone SFH | Mason Farm Residence |
| Zone S1 | Public Safety |
| Zone S3 | Knapp |
| Zone S3 | Law School |
| Zone S3 | Navy Field |
| Zone S3 | Ridge Road |
| Zone S3 | Law Programs |
| Zone S4 | Stadium Drive |
| Zone S4 | Avery |
| Zone S5 | Carmichael Drive |
| Zone S6 | Bioinformatics |
| Zone S6 | EPA |
| Zone S6 | EPA Loading |
| Zone S6 | Glaxo |
| Zone S6 | Isaac Taylor |
| Zone S6 | Mason Farm Road |
| Zone S6 | South Chiller |
| Zone S8 | Kenan Field House |
| Zone S9 | Dean E. Smith |
| Zone S10 | Boshamer |
| Zone S11 | Bowles |
| Zone S11 | Manning |
| Zone S11 | Smith |
| Zone S11 | Williamson |
| Zone S12 | Bernard Street |
| Zone S12 | Branson Street |
| Zone S12 | Community Building |
| Zone S12 | Hibbard Drive |
| Zone S12 | Jackson Circle |
| Zone S12 | Mason Farm Road |
| Zone S12 | Branson Overflow |
| Zone S12 | Community Building |
| Zone S12 | Mason Farm Overflow |
| Zone T | Outdoor Recreation |
| Zone W | Beard |

Pursuant to Section 1-2, the Chancellor, through his/her delegated administrative officers, may modify parking zones, by adding or deleting University parking areas from such zones, and may change traffic flow patterns and hours of operation as deemed necessary or advisable for the administration or enforcement of this Ordinance.

Sec. 3-4. Parking Fees

1. Parking Permit Fees. Parking permit fees for employees are established based on an employee's base salary as of April 1st prior to the beginning of the permit year. The salary scale determined on April 1st will be used for the entire permit year and will not change if salary changes occur during the permit year. If an employee's employment begins after April 1st, the employee's starting day base salary will be used to determine the parking permit fee.

The student parking permit fees shall be charged as follows:

| Permit Type | 2018-2019 | 2019-2020 | 2020-2021 | 2021-2022 |
|---|------------------|------------------|------------------|------------------|
| Reserved | \$742 | \$749 | \$749 | \$749 |
| Gated | \$599 | \$605 | \$605 | \$605 |
| Non Gated | \$456 | \$461 | \$461 | \$461 |
| RR (Estes Drive) | \$344 | \$347 | \$347 | \$347 |
| PR | \$232 | \$234 | \$234 | \$234 |
| NP (Night Parking)* | N/A | \$234 | \$234 | \$234 |
| Motorcycle Permit (no on-campus permit) | \$190 | \$192 | \$192 | \$192 |
| Motorcycle (with on-campus permit) | \$48 | \$48 | \$48 | \$48 |
| Motorized Two-Wheeled Vehicle (non-Motorcycle) | \$26 | \$26 | \$26 | \$26 |
| Electric Vehicle Charging Station Decal** | \$265 | \$268 | \$268 | \$268 |

* This student permit fee for night parking will be waived if an across-the-board student night parking fee is adopted as a component of student fees at the level of \$3/semester in 2019-2020, \$4/semester in 2020-2021, and \$5/semester in 2021-2022.

** The Electric Vehicle charging station decal fee is supplemental to the base parking permit fee. Electric Vehicle users who have purchased this decal are entitled to use the charging station equipment/space and receive electricity to recharge their Vehicle's battery. After the battery has been fully charged, Electric Vehicle users are encouraged to relocate their Vehicle to a different location in the parking facility to allow other Electric Vehicle users access to the charging station.

The employee parking permit fees shall be charged as follows:

| Employee Parking Permit Pricing | | | | | |
|--|--|------------------|------------------|------------------|------------------|
| Permit Type | Salary Scale (in thousands) | 2018-2019 | 2019-2020 | 2020-2021 | 2021-2022 |
| ALG/RS | <\$29 | \$1,086 | \$1,097 | \$1,097 | \$1,097 |
| | \$29-<\$50 | \$1,196 | \$1,208 | \$1,208 | \$1,208 |
| | \$50-\$100 | \$1,509 | \$1,524 | \$1,524 | \$1,524 |
| | >\$100 | \$2,332 | \$2,355 | \$2,355 | \$2,355 |
| ALG | <\$29 | \$815 | \$823 | \$823 | \$823 |
| | \$29-<\$50 | \$898 | \$907 | \$907 | \$907 |
| | \$50-\$100 | \$1,131 | \$1,143 | \$1,143 | \$1,143 |
| | >\$100 | \$1,748 | \$1,766 | \$1,766 | \$1,766 |
| Reserved | <\$29 | \$731 | \$739 | \$739 | \$739 |
| | \$29-<\$50 | \$808 | \$816 | \$816 | \$816 |
| | \$50-\$100 | \$1,019 | \$1,029 | \$1,029 | \$1,029 |
| | >\$100 | \$1,574 | \$1,590 | \$1,590 | \$1,590 |
| Gated | <\$29 | \$591 | \$597 | \$597 | \$597 |
| | \$29-<\$50 | \$652 | \$658 | \$658 | \$658 |
| | \$50-\$100 | \$823 | \$831 | \$831 | \$831 |
| | >\$100 | \$1,271 | \$1,284 | \$1,284 | \$1,284 |
| Non Gated | <\$29 | \$449 | \$453 | \$453 | \$453 |
| | \$29-<\$50 | \$495 | \$500 | \$500 | \$500 |
| | \$50-\$100 | \$622 | \$628 | \$628 | \$628 |
| | >\$100 | \$962 | \$972 | \$972 | \$972 |
| AM, PM, NR, SR, PDV | <\$29 | \$339 | N/A | N/A | N/A |
| | \$29-<\$50 | \$370 | N/A | N/A | N/A |
| | \$50-\$100 | \$468 | N/A | N/A | N/A |
| | >\$100 | \$723 | N/A | N/A | N/A |
| PM ALG | N/A | \$338 | \$341 | \$341 | \$341 |
| PR | <\$29 | \$232 | \$234 | \$234 | \$234 |
| | \$29-<\$50 | \$255 | \$258 | \$258 | \$258 |
| | \$50-\$100 | \$306 | \$309 | \$309 | \$309 |
| | >\$100 | \$398 | \$402 | \$402 | \$402 |
| Night Parking | <\$29 | N/A | \$234 | \$234 | \$234 |
| | \$29-<\$50 | N/A | \$258 | \$258 | \$258 |
| | \$50-\$100 | N/A | \$309 | \$309 | \$309 |
| | >\$100 | N/A | \$402 | \$402 | \$402 |
| Motorcycle Permit (no on-campus permit) | <\$29 | \$189 | \$191 | \$191 | \$191 |
| | \$29-<\$50 | \$206 | \$208 | \$208 | \$208 |
| | \$50-\$100 | \$260 | \$263 | \$263 | \$263 |
| | >\$100 | \$402 | \$406 | \$406 | \$406 |

| Employee Parking Permit Pricing | | | | | |
|--|--|------------------|------------------|------------------|------------------|
| Permit Type | Salary Scale (in thousands) | 2018-2019 | 2019-2020 | 2020-2021 | 2021-2022 |
| Motorcycle Permit (with on-campus permit) | <\$29 | \$43 | \$43 | \$43 | \$43 |
| | \$29-<\$50 | \$48 | \$48 | \$48 | \$48 |
| | \$50-\$100 | \$58 | \$59 | \$59 | \$59 |
| | >\$100 | \$90 | \$91 | \$91 | \$91 |
| Motorized Two-Wheeled Vehicle (non-Motorcycle) Permit | <\$29 | \$26 | \$26 | \$26 | \$26 |
| | \$29-<\$50 | \$26 | \$26 | \$26 | \$26 |
| | \$50-\$100 | \$26 | \$26 | \$26 | \$26 |
| | >\$100 | \$26 | \$26 | \$26 | \$26 |
| Electric Vehicle Charging Station Decal* | N/A | \$265 | \$268 | \$268 | \$268 |

- * The Electric Vehicle charging station decal fee is supplemental to the base parking permit fee. Electric Vehicle users who have purchased this decal are entitled to use the charging station equipment/space and receive electricity to recharge their Vehicle's battery. After the battery has been fully charged, Electric Vehicle users are encouraged to relocate their Vehicle to a different location in the parking facility to allow other Electric Vehicle users access to the charging station.

| Service Permit and Official Visitor Permit Pricing | | | | |
|---|------------------|------------------|------------------|------------------|
| | 2018-2019 | 2019-2020 | 2020-2021 | 2021-2022 |
| 15 Minute Service Permit | \$82 | \$82 | \$82 | \$82 |
| 2-Hour Service Permit | \$652 | \$658 | \$658 | \$658 |
| Official Visitor Permit | \$652 | \$658 | \$658 | \$658 |
| Vendor Service Permit | \$808 | \$816 | \$816 | \$816 |

The Chancellor, through his/her delegated administrative officers, is authorized to change permit prices (higher or lower) once per permit year prior to the beginning of the permit year. Notice of such change shall be given at least 60 days prior to the beginning of the permit year. Any such change shall be reported to the Board of Trustees for information and shall be recorded in the minutes of the Board of Trustees as an amendment to this Ordinance.

2. Short Term Parking and Special Event Parking Fees. Fees for short term parking and special event parking shall be charged as follows:

| Short Term and Special Event Parking Fees | |
|--|---|
| Service | Fee |
| Metered Space Parking | <ul style="list-style-type: none"> \$1.75 activation fee per hour (maximum of eight hours). All short-term meters across campus shall be \$1.00 per half-hour, and the maximum time for meter parking at these meters will be thirty minutes. |
| Electric Vehicle Charging Station Usage by Visitors on Nights and Weekends | <ul style="list-style-type: none"> \$0.75 per hour of battery charging (This fee does not apply to those who have purchased an Electric Vehicle charging station decal) |
| Hourly/Daily Pay Parking | |

| Short Term and Special Event Parking Fees | |
|---|--|
| Service | Fee |
| Dogwood Deck, Ambulatory Care Center Lot | <ul style="list-style-type: none"> • \$1.50 per hour with a daily maximum (or lost ticket) of \$10.00 • No charge for visits of less than 15 minutes |
| Swain Lot, Morehead Lot, Raleigh Road Visitor Lot, Rams Head Deck | <ul style="list-style-type: none"> • \$1.75 per hour • No charge for visits of less than 5 minutes |
| Parking Validation Coupons | |
| Visitor Campus Parking Areas (valid at Swain Lot, Morehead Lot, Raleigh Road Visitor Lot, and Rams Head Deck) | <ul style="list-style-type: none"> • \$1.75 for one hour coupon • \$14.00 for one-day (8 hour) coupon |
| Patient Care Parking Areas (valid at Dogwood Deck and ACC Lot) | <ul style="list-style-type: none"> • \$1.50 for one hour coupon • \$10.00 for one-day (8 hour) coupon |
| Space Reservations made by University Units in Pay Lots and Pay Decks | |
| Swain Lot, Morehead Lot, Raleigh Road Visitor Lot, Rams Head Deck | <ul style="list-style-type: none"> • \$8.00 per half day (4 hours) • \$16.00 per day (8 hours) |
| Special Event Parking | |
| Car | • \$20 (maximum fee) |
| Limousine | • \$30 (maximum fee) |
| RV | • \$40 (maximum fee) |
| T&P assistance with chartering bus service with local companies | • \$25 (plus actual cost of charter services provided) |
| T&P Monitoring Service | • \$27 per hour, with a 3-hour minimum, for each monitor assigned |
| Reserving Meters – T&P may reserve meter spaces for an event | <ul style="list-style-type: none"> • \$8.00 per meter for half a day • \$16.00 per meter for full day |
| Small Event Permit Fees | • \$6 per permit for on-campus non-visitor parking lots will be charged to the sponsoring department. |
| Equipment Set-up | <ul style="list-style-type: none"> • \$17 per hour per staff person. • \$40 fee per sign, which includes set-up, will be charged to the sponsoring department. |

Sec. 3-5. Special Event Parking

The Chancellor, through his/her delegated administrative officers, may cause any lot to be reserved to accommodate special event parking (e.g., for concerts, plays, athletics, and other similar events). The applicable fee(s) are set forth in Section 3-4. Excluded from this fee are lots approved for use under Section 3-5.2 (football and basketball parking).

Parking in certain lots or areas will be reserved for athletic events held in Kenan Stadium, the Dean E. Smith Center, and other athletic facilities for specifically authorized persons. Management of the lots or areas is the responsibility of the Department of Transportation and Parking. Special permits are required and shall be issued and controlled by the Athletic Department, the Educational Foundation, and the Department of Transportation and Parking, as applicable. Sample permits shall be provided to the Director of Transportation and Parking.

The Director of Transportation and Parking is authorized to remove and/or cite Vehicles parked in reserved spaces prior to football and basketball games pursuant to Section 3-20. On the day that the relocation occurs, a list of all relocated Vehicles will be available at the offices of the Department of Transportation and Parking in the Department of Public Safety building.

1. Notification to Permit Holders. The Chancellor, through his/her delegated administrative officers, will take one or more of the following steps to inform permit holders of special event parking policies:

- (a) Information electronically mailed to all permit holders affected by special event parking,
- (b) Informational signs posted at the entrances to lots reserved for football, basketball, and other special events,
- (c) Press releases,
- (d) Information posted on the website of the Department of Transportation and Parking, and
- (e) Other methods as appropriate.

2. Football and Basketball Game-Day Parking

(a) The Chancellor, through his/her delegated administrative officers, may reserve in advance parking lots for use by authorized persons during times designated for football home game day operations. Special permits are issued and controlled by the Athletic Department, Educational Foundation, the Chancellor's Office and the Department of Transportation and Parking for these times. Vehicles without the appropriate authorization or credentials are not permitted in the affected lots during these times.

(b) Certain parking lots will be designated and reserved in advance for use by working employees who have permits for lots that have been reserved for football special events and others as defined by the Chancellor, through his/her delegated administrative officers. Vehicles already parked in lots designated and reserved for working employees will not be required to move.

(c) Parking is prohibited at metered and permitted spaces on Main Campus roadways between the hours of 8:00 a.m. and 12:00 midnight on home football game days to accommodate routing of incoming and outgoing traffic.

3. Concerts. The Chancellor, through his/her delegated administrative officers, may reserve in advance parking lots for pay parking for the public during times designated for concerts and other non-athletic events. Vehicles already parked in these lots are not required to move unless otherwise posted.

4. Miscellaneous Services.

(a) Charter Bus Services. The Department of Transportation and Parking will assist with chartering service for buses with local companies, subject to the payment of applicable fee(s) described in Section 3-4.

(b) Monitor Services -- the Department of Transportation and Parking will arrange for monitor services for small events upon request of the sponsoring department, subject to the payment of applicable fee(s) described in Section 3-4.

(c) Reserving Meters -- the Department of Transportation and Parking may reserve meter spaces for an event, subject to the payment of applicable fee(s) described in Section 3-4.

(d) Permit Fees -- the Department of Transportation and Parking will schedule small events during normal regulation hours upon the request of the sponsoring department, subject to the payment of applicable fee(s) described in Section 3-4.

(e) Equipment Set-up -- the Department of Transportation and Parking will provide the necessary equipment and signs for a special event, including equipment set-up and removal services, subject to the payment of applicable fee(s) described in Section 3-4.

Sec. 3-6. Metered Spaces

The Chancellor, through his/her delegated administrative officers, may designate metered parking spaces within any parking lot or area on the Campus for general use, or on those portions of public streets as indicated in Section 1-10 and may specify the length of time for parking in each space. Meter activation is required Monday through Friday during the hours posted. On-street parking meters, off-street parking meters, and meter pay stations may be activated, depending on the design, by insertion of lawful currency of the United States, credit cards or debit cards. The applicable fees are set forth in Section 3-4.

1. Expired Meter. It is a violation of this Ordinance for any Vehicle to occupy a metered space without the meter being activated by appropriate payment.

2. Cumulative Violations of Expired Meter. Any Vehicle in violation of Section 3-6.1 will be subject to the issuance of an additional citation for each additional period of one hour that the Vehicle occupies the space with the meter expired.

3. Extended parking at meters. It is a violation of this Ordinance for any Vehicle to occupy any portion of a metered space for more than twenty-four consecutive hours, regardless of whether or not the meter is activated.

4. Abuse of meters. It is a violation of this Ordinance for any person to damage, tamper with, willfully break, destroy or impair the usefulness of, or open without lawful authority any parking meter installed pursuant to this Ordinance. It is a violation of this Ordinance for any person to insert any object into a parking meter that is not a lawful currency of the United States or a credit/debit card.

Sec. 3-7. Controlled-Access Zones

The Chancellor, through his/her delegated administrative officers, shall cause a gate to be erected at the entrance and exit of each controlled access lot and each controlled access deck that can be opened and closed either by assigned methods of gate-control (gate card, access code, remote control) or by a gate attendant. It shall be unlawful to transfer or to use assigned access cards or devices for Vehicles not registered for that controlled-access zone and for that card or device. If any person uses or allows to be used his or her access card or device to knowingly enable another Vehicle to enter or exit a controlled-access zone without paying the applicable parking fee or permit fee, such person, in addition to being responsible for the applicable parking fine, may have their card-access rights to University controlled-access zones deactivated for a period of one calendar year.

It is unlawful for Vehicles to enter or park in an hourly/daily pay parking area or other controlled access area during the posted operation hours without paying the appropriate fee or displaying a valid permit for that controlled-access area. Whether or not a gate is open during the hours of pay parking operation is not an indication that an unauthorized Vehicle may legally drive through and park in the gated zone.

It is unlawful for any person to deface, damage, tamper with, willfully break, destroy, impair the usefulness of, evade without tendering due payment or open without lawful authority an entry or exit gate or equipment. A \$10.00 fee will be charged for replacing damaged access mechanisms, in addition to the applicable parking fine.

It shall be unlawful for a Vehicle to enter or exit a controlled-access zone by trailing immediately behind another Vehicle before the gate has an opportunity to drop (a.k.a. "tailgating") for the purpose of evading payment of the applicable parking fee or permit fee. It shall be unlawful to allow a Vehicle to enter or exit a controlled-access zone by tailgating behind one's own Vehicle for the purpose of knowingly enabling the second Vehicle to evade payment of the applicable parking fee or permit fee. Violators of either of the two preceding sentences, in addition to being responsible for the applicable parking fine, shall have their card-access rights to University controlled-access zones deactivated for a period of one calendar year.

The applicable parking fees for the University's various hourly/daily pay parking lots and decks are listed in Section 3-4. The hours of operation for each hourly/daily pay parking lot or deck shall be posted at the entrance to such lot or deck. During holidays when the parking attendants are not on duty, the parking gates will be open, and there will be no charge for parking in the University's hourly/daily pay parking areas.

Vehicles are prohibited from parking in any hourly/daily pay parking lot or deck, without prior authorization from the Department of Transportation and Parking, for more than 15 concurrent days without moving from the space. If any Vehicle continues to remain parked without moving from such parking space 5 days after having been ticketed for this parking violation, such Vehicle shall be deemed abandoned and subject to impoundment procedures in accordance with Section 7.3. If the Vehicle is impounded, the owner shall be responsible for

both the towing fees and the parking fee for such hourly/day pay parking lot or deck for the applicable number of days.

1. Dogwood Parking Deck. The Dogwood Parking Deck is primarily reserved for UNC Hospitals patient services. In order to legally park in this deck, University-affiliated faculty members, staff employees and students must be a bona-fide patient or visitor of UNC Hospitals and display an approved permit. Employees and students displaying valid S11 or PDV permits may park in the Dogwood Parking Deck solely on the 4th and 5th levels and between the hours of 2:30 p.m and 9:30 a.m., Monday through Friday and on weekends, unless otherwise posted. The UNC Park and Ride permit, HAPDV, and all other South Campus commuter permits are valid on the 4th and 5th levels and between the hours of 5:00 p.m and 9:30 a.m., Monday through Friday and on weekends, unless otherwise posted. The maximum daily parking fee for the Dogwood Parking Deck listed in Section 3-4 may be changed from time to time by mutual agreement of the Department of Transportation and Parking and UNC Healthcare Systems.

2. Ambulatory Care Lot. Parking is available in the Ambulatory Care Lot solely for patients and visitors. Employees and students are not eligible to park in this lot during operational hours unless attending a medical appointment.

3. Hospital Discharge Lot. Short term parking is available for certain individuals in accordance with the Short-Term Discharge Policy posted at the entrance to this lot.

4. Swain Lot. No Vehicle shall remain parked for more than a 24-hour period in the visitor spaces without exiting and paying the required fees.

5. Morehead Lot. Visitors must park in the designated visitor spaces. Faculty, students, and staff are not eligible to park in visitor parking spaces Monday – Friday from 7:30 a.m. until 5:00 p.m, unless otherwise posted. No Vehicle shall remain parked for more than a 24-hour period in the visitor spaces without exiting and paying the required fees.

6. Raleigh Road Visitor Lot. Staff, Faculty, and Students are not eligible to park in visitor parking spaces during operational hours unless otherwise posted. Vehicles can not be left overnight in this lot between the hours of 1:00 a.m. and 7:30 a.m, unless otherwise posted. No Vehicle shall remain parked for more than a 24-hour period in the visitor spaces without exiting and paying the required fees.

7. Rams Head Deck. Student commuter permits for the deck are valid in the deck Monday through Friday, 7:00 a.m. until 6:00 p.m, unless otherwise posted. Students without a commuter permit, as well as any employee or visitor, may park in the Rams Head and pay the applicable fee described in Section 3-4. No Vehicle shall remain parked for more than a 24-hour period in the deck without exiting and paying the required fees.

8. Parking Validation Coupons and Validation Stamps. Departments wishing to pay parking fees for visitors or patients may purchase validation coupons from the Department of Transportation and Parking, which are valid in all pay and hourly visitor parking areas on Campus. The One-day validation coupon is valid for 8 hours of parking. Fees not covered by the validation coupons are the responsibility of the visitor or patient. Parking validation coupons

can be obtained by submitting an approved application and payment (Account Number for Direct Transfer (DT), a check, Visa or MasterCard, or cash) to the Department of Transportation and Parking. The fees for the various types of parking validation coupons are described in Section 3-4. Departments unable to use the coupons for parking in the visitor pay areas may apply for a validation stamp. This stamp is designated for use by the departments to authorize parking for visitors and patients. The parking charges will be billed to the hosting department on a monthly basis. There will be a monthly processing fee of \$10.00 for all validation stamp accounts. Validation stamps and coupons are not to be used by employees or students of the University or UNC Hospitals, and any misuse by the aforementioned persons will result in revocation of stamp and coupon privileges for one calendar year with no refund.

9. Reserving Spaces in Controlled-access Lots. Departments wishing to pay for reserved spaces in the Swain Lot, Morehead Lot, Raleigh Road Visitor Lot, or Rams Head Deck must purchase reservation coupons. The fees for reservation coupons are listed in Section 3-4. A half-day coupon is to be used when a visitor is arriving and leaving before 12:00 p.m. (noon). A full-day coupon is used when a visitor arrives before noon and leaves after noon or when they arrive any time after noon.

Sec. 3-8. Parking at Leased Spaces in Lots Under Control of Lessor

The University leases property at locations away from Main Campus for the benefit of its departments. Under the terms of such leases, the University may obtain the right to use individual parking spaces in a parking lot that remains under the control of the third party lessor. University departments at such locations may choose (collectively, if more than one department is located at the leased premises) either to provide such parking at no cost to their employees or to require employees to pay for the leased parking in order to recover the costs for obtaining and maintaining such parking. If the department chooses to require employees to pay for parking, the department shall determine rates annually on a location by location basis. At no time shall the total fee charged to University employees for parking at these locations exceed the costs to the University of obtaining, maintaining, and providing these spaces. The Department of Transportation and Parking shall have no role in issuing permits, setting or collecting fees, or maintaining or policing these spaces. The leasing department's administrative office will be responsible for all administrative tasks associated with the management and administration of the parking at these locations consistent with the lessor's policies and regulations.

Sec. 3-9. [Reserved. Intentionally left blank.]

Sec. 3-10. [Reserved. Intentionally left blank.]

Sec. 3-11. [Reserved. Intentionally left blank.]

Sec. 3-12. [Reserved. Intentionally left blank.]

Sec. 3-13. [Reserved. Intentionally left blank.]

Sec. 3-14. Reserved Parking

A sufficient number of parking spaces shall be reserved to accommodate Service Vehicles, State-owned Vehicles, motorcycles, Electric Vehicles, visitors, and to meet special needs as they arise. The Chancellor, through his/her delegated administrative officers, shall determine the precise location and status of reserved spaces. Reserved spaces for individuals or departments must receive approval from the Chancellor, through his/her delegated administrative officers. The annual fee for reserved spaces shall be defined in Section 3-4 (Permit Fees, RS spaces).

Parking at the Carolina Inn, Horace Williams Airport, School of Government, Friday Center, Kenan Center, and certain other designated University lots, as posted, is reserved parking. Spaces are charged at the reserved rate unless otherwise provided herein.

It is unlawful for any unauthorized person to park a Vehicle in a reserved space. Notice of the reserved status of a parking space shall be prominently posted at the space. Once posted, such spaces are reserved at all times unless otherwise indicated.

1. Visitor Disability Parking. It is unlawful for non-mobility impaired affiliated individuals to display a State disability plate, State disability permit, or disabled veteran license plate. Misuse of such plates or permits shall result in the issuance of a parking citation, immobilization, and/or impoundment. A sufficient number of parking spaces shall be reserved to meet the needs of the mobility impaired. It is unlawful to park a Vehicle in a space posted for disability parking or in a manner denying access to a disability parking space or disability access to a building or sidewalk. Public disability spaces are reserved at all times unless otherwise indicated. University and UNC Hospitals' employees (including contract employees), and students are required to apply for and purchase the appropriate permit to park in spaces reserved for disability.

2. Service Zone Parking. Sufficient areas shall be set aside for two-hour service zones for the use of persons making deliveries to and pick-ups from University buildings. The Chancellor, through his/her delegated administrative officers, shall decide the size and location of each two-hour service zone. It is unlawful for any person to cause a Vehicle to occupy a two-hour service zone for any purpose other than that for which the area is designated or without the appropriate permit.

3. Disability Parking for UNC Employees, Students and Affiliates. A sufficient number of parking spaces shall be reserved to meet the needs of University and UNC Hospitals affiliated mobility impaired persons. It is unlawful for any unauthorized person to cause a Vehicle to occupy these designated spaces.

4. Electric Vehicle Parking. Electric Vehicle charging stations have been installed in a limited number of parking facilities on Campus. Adjacent to each Electric Vehicle charging station, one or more parking spaces shall be reserved for Electric Vehicle parking. It is unlawful to park a non-Electric Vehicle in a space reserved for Electric Vehicles.

Sec. 3-15. Visitor and Conference Parking

Departments may request parking spaces in one of the short term visitor pay parking lots (as described in Section 3-7). Applicable fees are set forth in Section 3-4. Parking may be available in fringe lots or visitor parking during the summer and academic breaks at a rate of \$6.00 per permit.

Sec. 3-16. Bus Stops

Sufficient areas shall be set aside for bus stops for the use of municipal and regional public transportation systems serving the University community or any bus / shuttle system operated by the University. The Chancellor, through his/her delegated administrative officers, shall decide the location of each bus stop. It is unlawful for any person to cause a Vehicle to occupy a bus stop for any purpose other than for which the areas are designated.

Sec. 3-17. State-owned Vehicle Parking

State Vehicles may park on Campus as follows:

1. State-owned Vehicles may park in any spaces specifically reserved for state Vehicles for the amount of time indicated on the sign designating the space as a state Vehicle space.
2. State-owned Vehicles may park in any regular parking space for no more than forty-eight hours. All State Vehicles owned or assigned to the are required to display parking permits:
3. Departments with State-owned Vehicles including utility or golf carts are required to complete a parking permit application indicating each Vehicle that it owns or leases. Departments will be issued a permit and charged an annual fee for all Vehicles utilizing parking spaces.
4. State-owned Service Vehicles are subject to parking actions as follows:
 - (a) State-owned Service Vehicles are prohibited from parking in a regular parking space for more than forty-eight hours and are subject to the issuance of a civil penalty, and Vehicle immobilization / booting and/or towing fees.
 - (b) Certain regular parking spaces on Campus may be restricted from use by State-owned Vehicles from time to time, in accordance with Section 3-20.

Sec. 3-18. Motorized Two-Wheeled Vehicle Parking

Owners of Motorized Two-Wheeled Vehicles are required to purchase and display a Permit and must park in designated parking areas for Motorized Two-Wheeled Vehicles. No

person shall park a Motorized Two-Wheeled Vehicle in any area of Campus not designated as Motorized Two-Wheeled Vehicles parking. Motorized Two-Wheeled Vehicles may park at metered spaces upon activation of the meter. In parking lots, Motorized Two-Wheeled Vehicles are required to park in designated Motorized Two-Wheeled Vehicle parking areas with the appropriate permit.

In the case of a person wishing to register a combination of an automobile and a Motorized Two-Wheeled Vehicle, full payment will be required for the automobile permit, but there will be a reduced charge for the additional Motorized Two-Wheeled Vehicle permit. A Motorized Two-Wheeled Vehicle permit is at the full price when purchased in conjunction with a PR permit or other park and ride areas. No more than one Vehicle permit and one Motorized Two-Wheeled Vehicle permit shall be issued to any registrant.

Sec. 3-19. Bicycle Parking

Employees and students of the University and UNC Hospitals are required to display a bicycle permit when bringing bicycles to Campus. This permit is issued free of charge upon the completion of a bicycle registration form. Bicycle parking is available throughout the Campus and is designated by the presence of bicycle racks or specifically designated areas.

Warning tags issued by the Department of Transportation and Parking may be attached to a bicycle in violation of this Ordinance. Bicycles owners finding such warning tags attached must remove the bicycle within eight hours or it will be impounded. However, impoundment for violation of this Ordinance may be executed with or without such tags attached.

1. Improper Bicycle Parking. Any bicycle parked in violation of this Ordinance is subject to impoundment without prior warning. Bicycles shall not be parked or stored in any location other than areas designated for bicycle parking, including:

- (a) inside a University building, where an unsafe or hazardous condition is created for building occupants;
- (b) against or attached to any tree, bush, plant, or foliage;
- (c) against or attached to any electrical fixture, sign post, railing, public seating fixture, or emergency safety device; or
- (d) in any other area where parking is prohibited specifically by this Ordinance.

2. Bicycle Registration. Any bicycle parked on Campus will be required to register for and display a bicycle permit. At the beginning of each semester a four week warning period will be in place to allow for the registration and display of the permits to occur. Repeated violations of this section may result in bicycle impoundment.

Sec. 3-20. Temporary Parking Restrictions

The Chancellor, through his/her delegated administrative officers, is authorized to temporarily prohibit parking, stopping, or standing on driveways, streets, alleys, and parking lots

on Campus and on public streets in this Ordinance, and to reserve parking spaces for special use when such action is necessary due to special events, emergencies, or construction. Temporary signs or barriers shall be posted, and a representative of the Department of Public Safety, a representative of the Department of Transportation and Parking, or other University official shall give notice of regulations issued under this section. It is unlawful for any person to violate such regulations.

1. Overnight Parking Restrictions. Certain parking lots, parking areas, or parking spaces shall be restricted from overnight parking to ensure availability of the facilities during alternate hours. Signs and/or barriers shall be posted at these locations. It shall be unlawful for any person to violate such regulations.

2. Athletic and Special Event Parking Restrictions. Certain parking lots, parking areas, or parking spaces shall be restricted from overnight parking to ensure availability of the facilities during athletic events and other special events. Signs and/or barriers shall be posted at these locations. It shall be unlawful for any person to violate such regulations.

Sec. 3-21. Night Parking

The Chancellor, through his/her delegated administrative officers, may cause certain lots to be reserved on weekdays after 5:00 p.m. and on weekends to accommodate night parking. It is a violation of this Ordinance to park in lots that have been marked for reserved night parking without a valid permit.

Sec. 3-22. Acquisition of Non-University Parking Support

The Chancellor or his/her designee is authorized to acquire by contract parking, parking management and other related services from representatives owning or controlling non-University facilities.

Sec. 3-23. Idling at Air Intake Vents

It is a violation of this Ordinance for any person to cause a Vehicle to be parked with the engine running near a building's air intake louver. Signs indicating the locations of these air intake louvers shall be posted prominently. Vehicles in violation of this section are subject to the issuance of a citation.

Sec. 3-24. Signs

The Chancellor, through his/her delegated administrative officers, shall decide on the size and appearance of parking signage located on Campus. It is a violation of this Ordinance for any person to deface, damage, tamper with, break, destroy, impair the usefulness of, remove, relocate, steal, or possess any traffic or parking sign erected by the Department of Transportation and Parking. Possession of any such sign shall be prima facie evidence of the violation of this section. Conviction for violation of this section may result in criminal prosecution, a fine, suspension, or expulsion from the University.

ARTICLE IV. PARKING PERMITS

Sec. 4-1. Parking Permits

The Chancellor, through his/her delegated administrative officers, may issue permits to park in lots and areas described in Section 3-3 to employees or students of the University and to employees of the UNC Hospitals and affiliated organizations operating on the Campus upon payment of the appropriate fee listed in Section 3-4. As part of the parking permit application process, applicants are required to provide the license plate number(s) of the Vehicle(s) they plan to park on Campus. All permits issued under this section are subject to limitations that may be imposed by the Chancellor, through his/her delegated administrative officers, under Section 3-20 (Temporary Parking Restrictions). Permits shall be allocated among the faculty, students, and staff of the University, and of the UNC Hospitals in a manner that will best serve the needs of the University community as a whole. The University Departmental Parking Permit Allocation Policy is available online at <http://move.unc.edu/parking/parking-allocation-formula/>.

1. Transferring Employee Permits: if an employee transfers from one department to another department, the permit stays with the allocating department. If the employee's new department does not have an allocation, then the individual will be referred to the Department of Transportation and Parking's Registration Division for resolution.

2. Student Parking Permit Allocations. Student parking permits are distributed primarily through a lottery system in accordance with procedures posted on the T&P website. A supplemental set of student parking permits are made available for hardship cases. Student Government representatives are responsible for assigning the available set of student hardship permits to students in accordance with the procedures of the Student Government hardship parking committee.

3. Student Parking Permit Eligibility. The following student parking permit eligibility restrictions apply:

(a) No first-year undergraduate student is eligible for a permit during the academic year unless authorized by the Office of the Dean of Students.

(b) No parking permit shall be issued to any student for any Vehicle that is required to be registered pursuant to Chapter 20 of the North Carolina General Statutes, or the corresponding statute of a state other than North Carolina, until the student requesting the permit provides the name of the insurer, the policy number under which the student has financial responsibility, and the student certifies that the Vehicle is insured at the levels set in G.S. 20-279.1(11) or higher.

4. Retired Faculty/Staff Permits. An allocation of a maximum of 350 permits designated as PM/ALG will be available to retired faculty and staff applying for parking on Campus. The PM/ALG permits are valid in permit zoned lots excluding the Cardinal Deck after

12:00 p.m. Retired faculty and staff interested in applying for a PM/ALG permit must submit their request through their department coordinator. PM/ALG permits will be distributed to departments requesting them based on the same formula that is utilized to distribute the primary parking allocations. The PM/ALG permit fee will be based on the PM permit at the lowest tiered rate.

5. Postdoctoral Fellows' Permits. Postdoctoral Fellows shall be allocated an appropriate number of permits by the Department of Transportation and Parking. A Postdoctoral Fellow may submit a request to be issued a permit from the Postdoctoral Fellows' allocation if he/she so desires. If there are more requests for permits than there are permits, priority will be given based on years of service with the University. Any Postdoctoral Fellow not receiving a permit will be placed on a waiting list. The department in which the Postdoctoral Fellow works may assign a permit from its departmental allocation if it so desires.

6. Temporary Permits. Temporary permits may be issued in areas where space is determined to be available. A fee will be charged for such permits.

7. Other Agencies. Parking permit allocations may be assigned to agencies closely related but not affiliated with the University as appropriate based on determination by the Department of Transportation and Parking. Full payment of the value of the allocation will be required prior to receipt of the permits for distribution.

Sec. 4-2. Special Parking Permits

The Chancellor, through his/her delegated administrative officers, may issue special permits under the conditions and at the fees indicated in Section 3-4. All permits issued under this section are subject to limitations that may be imposed by the Chancellor, through his/her delegated administrative officers, under Section 3-20 (Temporary Parking Restrictions). Misuse of said permits shall result in confiscation of the permit and no further permits shall be issued for the remainder of the year. No refunds shall be issued on those permits requiring a paid fee. Persons found to be displaying said permits in violation of this section may be subject to a fine and impoundment of their Vehicle.

1. Service Permits.

(a) Two-hour service permits are designed for use by employees who perform maintenance on equipment, deliver or install equipment, or have duties that cannot be met by transit or state Vehicles. Use of the two-hour service permit is limited to a two-hour time limit in any one location, unless otherwise specified. Departments may apply for two-hour service permits through the Department of Transportation and Parking using an application form which must be signed by the Department Director / Department Chair. Requests for additional permits must include justification of the need and address the reasons for inability of transit to meet the departmental needs. Vehicles displaying a two-hour service permit may park in any unreserved parking space or any designated service permit space.

(b) Fifteen-minute service permits are designed for use by representatives of non-affiliated service companies using unmarked Vehicles that have a need to make deliveries taking fifteen minutes or less. Companies may apply for the permit through the Department of Transportation and Parking. Use of the permit is restricted to fifteen minutes in any one location. The fifteen-minute service permit may not be used by University or UNC Hospitals employees or students. Vehicles displaying a fifteen-minute service permit may park in any unreserved space, or any designated service permit space.

2. Vendor Service Permits. Representatives of non affiliated service companies that have a contractual relationship with the University to deliver goods or services may apply for a vendor service permit through the Department of Transportation and Parking. An application must be completed by the vendor and approved by the Director of Transportation and Parking. Vendors with Vehicles prominently displaying the vendor's name or logo, performing routine deliveries and pick-ups from University buildings, and requiring less than fifteen minutes are exempt from any permit requirement. Faculty, staff and students are not eligible to display or purchase Vendor Service Permits.

Vendors may purchase temporary (one-day) permits from the Department of Transportation and Parking. Vehicles displaying a Vendor Permit may park in areas designated by the Department of Transportation and Parking.

Government Vehicles displaying Federal license plates are exempt from the permit requirement. Construction Vehicles are not exempt and contractors must contact the Department of Transportation and Parking to arrange for appropriate parking. Construction contractors are not eligible for Vendor Permits.

3. "ALG" permits. These permits are issued to employees having broad, significant, Campus-wide responsibilities. ALG permits may be used in all gated and non-gated parking spaces, which are not otherwise reserved, with the exception of the Dogwood, Cardinal, and Rams Head Decks and all surface visitor parking lots. "ALG" permits shall be allocated from the zone adjacent to the primary work location. "ALG" permits are approved by the Chancellor or his/her designee.

4. Morning shift (AM permit). The Chancellor, through his/her delegated administrative officers, may issue special permits to employees of the University and UNC Hospitals whose regular work hours are within the time period 7:30 a.m. to 12:30 p.m., Monday through Friday. The "AM" permit will entitle the holder to park in the assigned zone from 7:30 a.m. to 12:30 p.m., Monday through Friday.

5. Afternoon shift (PM permit). The Chancellor, through his/her delegated administrative officers, may issue special permits to employees of the University and UNC Hospitals whose schedule of work begins after noon 12:00 p.m. These permits entitle the holder to park in the assigned zone space after noon 12:00 p.m., Monday through Friday.

6. Rotating shift (SR/NR permits). The Chancellor, through his/her delegated administrative officers, may issue special permits to employees of the University and UNC Hospitals who have regular working hours rotate equally among shifts. An NR permit will be allocated from one of the north Campus primary zones, which shall appear on the permit. SR permits will be allocated from one of the south Campus primary zones, which shall appear on the permit. The permit shall only be valid in the designated zone, unless the lot is specifically reserved as outlined in Section 3-5.

7. Disability Permits. Mobility impaired students and employees of the University and employees of UNC Hospitals desiring parking on Campus must obtain a UNC Disability permit by the established application process, through the Department of Transportation and Parking. An allocation of spaces will be made available to mobility impaired users, based upon a physician's certification of need. UNC Disability permits will be assigned from zones throughout Campus, and their cost will be based on the sliding scale fee structure. the Department of Transportation and Parking will determine the zone based on access needs and availability. By displaying the UNC Disability permit, an individual may park in his assigned space or any unreserved space within his designated zone. Reasonable accommodations for mobility impaired students and employees will be made.

8. Vanpool permits. The Chancellor, through his/her delegated administrative officers, shall determine vanpool allocations by the predominant driver based on space availability. Vanpool users must submit a list of participants to the Department of Transportation and Parking with name, department name, and work telephone number for each individual. The parking permit fee will be waived for vanpools.

9. Carpool permits. Those persons wishing to carpool may apply through their department jointly for a single transferable permit at no additional charge. Once issued, this permit will be transferable only among the Vehicles in the carpool, as registered with the Department of Transportation and Parking. This permit shall be displayed as provided in Section 4-3. Only one of the registered Vehicles may be parked in the zone designated during parking control hours.

The Chancellor or his/her designee shall determine carpool allocations based on space availability. The Commuter Alternatives Program (CAP) outlines available carpool options. If a carpool is disbanded during the permit year, the carpool permit must be cancelled and returned to the Department of Transportation and Parking.

10. Emergency Staff Permits. Emergency staff permits are issued by UNC Hospitals for those persons responding to emergency medical calls. The permits are only valid in the spaces designated for emergency staff parking.

11. Official Visitor Permits. Departments may apply for annual official visitor permits through the Department of Transportation and Parking. Justification of need must accompany each request. The permit is not authorized for use by employees or students of the University or UNC Hospitals. If there is a visitor lot within two blocks, that lot must be used in lieu of obtaining official visitor permits. Official visitor permits are not valid in any pay operations lot. Vehicles

displaying this permit may park in spaces that are not metered, gates controlled for zone permits, pay visitor parking, or other areas specifically reserved.

12. Board Members Permits. Special permits are issued to serving members of the:

- (a) Board of Trustees of the University;
- (b) Board of Governors of The University of North Carolina;
- (c) Board of Directors of the UNC Healthcare System; and
- (d) Other University-affiliated boards, as specified by the Chancellor, through his/her delegated administrative officers.

Vehicles displaying these permits may park in any unreserved zoned space and in any service space. Normally, these permits shall not be valid for controlled-access zones.

13. Construction Trailer Permits. Contractors who need parking for storage of materials or supplies may purchase a permit for \$70.00 per month. The construction trailers will be stored at a designated location on Campus.

14. Reserved Space Permits (RS). The Chancellor, through his/her delegated administrative officers, may issue a reserved space permit to a person, department, or school. Job duties and other functions associated with employment shall be considered in determining whether a reserved space permit is appropriate (e.g., area directors required to live in residence halls). The cost of the permit and space shall be as defined in Section 3-4. Reserved spaces assigned to departments are for use by visitors / patients to the department only. Use of these permits by departmental employees is prohibited. Should reserved space abuse occur, the Department of Transportation and Parking reserves the right to revoke the department's reserved space permits.

15. Bicycle Permits. Students and employees of the University and UNC Hospitals are required to display a bicycle parking permit. A bicycle registrant will be issued a free, five-year bicycle permit to be affixed as a sticker to the bicycle frame as described in Section 4-3. Bicycle permits are not transferable.

16. Park and Ride Permits. Park and Ride lots are designated for University employees and students commuting to Main Campus by parking their Vehicles away from the Main Campus and using transit services to reach Main Campus. Persons parking in Park and Ride lots shall register in the Park and Ride program and display a Park and Ride (PR) permit in their Vehicle. The pricing for Park and Ride (PR) permits is set forth in Section 3-4. Window sticker permits are to be affixed to the lower right-hand side of the front windshield.

Employees with a primary worksite located adjacent to a Park and Ride lot will not be eligible to utilize a Park and Ride lot as proximate worksite parking. Park and Ride lots are for use by those taking advantage of transit for their daily commute to Main Campus and not meant as primary parking for those working adjacent to the lot.

17. Night Parking (NP) Permits. The Chancellor, through his/her delegated administrative officers, may issue night parking permits. The "NP" permit will entitle the holder to park in designated NP parking zones from 5:00 p.m. to 7:30 a.m., Monday through Friday. For parking zones marked as both an NP zone and as a daytime zone or PR zone, the daytime and PR parking permits will still be honored and remain valid after 5:00 p.m. (subject to Section 3-5).

Sec. 4-3. Display of Permits

The parking permit must be properly displayed at all times. Parking permits must be clearly visible and cannot be obscured in any manner. A violation of this section will result in the appropriate fine.

Permit display options are:

1. Hanging from the Vehicle's rearview mirror facing the front of the Vehicle, clearly visible through the front windshield. When using a special permit assigned to a department (such as two-hour service, etc.), the parking zone permit must be displayed in front of the special permit, so that both permits are clearly visible through the front windshield.

2. If the tint, slant, or other design factors of the Vehicle obscures in any way the permit's visibility, the permit should be displayed on the passenger side of the windshield in the lower corner.

3. Bicycle permits must be affixed to the rear upright frame bar supporting the seat of the bicycle.

4. Permits for motorcycles must be displayed on the rear fender so it can be seen when viewing the license plate from behind the motorcycle or on the front fork, or on the State inspection plate. Permits for motorcycle covers must be permanently affixed on the top rear area of the motorcycle cover. The Department of Transportation and Parking must record the license plate number of the authorized motorcycle on the motorcycle cover permit.

5. Permits for Vehicles using car covers must be affixed permanently on the top center windshield portion of the cover. The license plate number of the authorized Vehicle must be recorded on the permit by the Department of Transportation and Parking. An additional permit must be displayed from the rearview mirror of the authorized Vehicle. the Department of Transportation and Parking will record the license plate number of the Vehicle on the car cover.

6. Adhesive windshield permits should be displayed in the lower right-hand corner of the front windshield.

Sec. 4-4. Counterfeiting/Altering Parking Permits

It is unlawful for any person to produce (or cause to be produced), to alter, or to display, without authority of the Chancellor, through his/her delegated administrative officers, any parking permit, sticker, decal, gate card, or other device indicating eligibility to park on the Campus. Such permits shall be confiscated, no refunds shall be issued, the violators will be issued a citation, the Vehicles shall be towed or booted, and the violators shall be ineligible for a parking permit for one calendar year. Violators will first meet with the Chancellor's designated Hearing Officer, and then violations may be forwarded to the appropriate agency for disciplinary action(s) (i.e., the Student Attorney General for students, the Office of Human Resources and the department chair for SHRA employees, the department chair for University faculty and EHRA non-faculty employees, and UNC Hospitals Personnel Department for hospital employees). Prior to the release of the Vehicle, the improper permit must be surrendered to the Department of Transportation and Parking.

Sec. 4-5. Obtaining Parking Permits Through Unlawful Means

It is unlawful for any person to obtain a parking permit by any means other than procedures established by the Chancellor pursuant to this Ordinance, including but not limited to, obtaining such permits by theft, fraud, trickery, willful misrepresentation of fact, purchase from another, or gift from another. Such permits shall be confiscated, no refunds shall be issued, the violators will be issued a citation, the Vehicles shall be towed or booted, and the violators shall be ineligible for a parking permit for one calendar year. Violators will first meet with the Chancellor's designated Hearing Officer, and then violations may be forwarded to the appropriate agency for disciplinary action(s), (i.e., the Student Attorney General for students, the Office of Human Resources and the department chair for SHRA employees, the department chair for University faculty and EHRA non-faculty employees, and UNC Hospitals Personnel Department for hospital employees). Prior to the release of the Vehicle, the improper permit must be surrendered to the Department of Transportation and Parking.

Sec. 4-6. Unauthorized Display of Parking Permits

The Chancellor, through his/her delegated administrative officers, may issue regulations for the transfer of permits from one Vehicle to another owned or used by the holder of the permit, and it is unlawful for a person in possession of such a permit to use it in any manner inconsistent with such regulations.

It is unlawful for any person in possession of a parking permit, whether that possession is lawful or unlawful, to give, sell, or otherwise transfer or to attempt to transfer it to another. It is unlawful for any person to display on a Vehicle a parking permit not issued to that person for use with that specific Vehicle or to display a lost, stolen, counterfeit, or an altered permit. Such permits shall be confiscated, no refunds shall be issued, the violators will be issued a citation, the Vehicles shall be impounded or booted, and the violators shall be ineligible for a parking permit for one calendar year. Violators will first meet with the Chancellor's designated Hearing Officer, and then violations may be forwarded to the appropriate agency for disciplinary action(s), (i.e., the Student Attorney General for students, the Office of Human Resources and the department chair for SHRA employees, the department chair for University faculty and EHRA non-faculty employees, and UNC Hospitals for hospital employees). Prior to the release

of the Vehicle, the improper permit must be surrendered to the Department of Transportation and Parking.

Sec. 4-7. Reinstatement of Eligibility

Any person who is permitted to retain their eligibility for a parking permit after being charged with a violation of Section 4-4, 4-5, or 4-6 will not be issued a refund for previously purchased permits (payroll deduction will continue). The person will be required to purchase a permit at the current prorated issuance rate for the zone being purchased.

ARTICLE V. TRAFFIC

Sec. 5-1. Interference with Traffic; Temporary Traffic Restrictions

It is unlawful for any person to park or bring to a halt on the Campus any Vehicle in such manner as to interfere with normal vehicular or pedestrian traffic.

The Chancellor, through his/her delegated administrative officers, may cause traffic to be restricted or rerouted as necessary by construction, emergency situations, and special events. A notice of such restrictions shall be given by placing temporary signs or barriers by a representative of the Department of Public Safety, a representative of the Department of Transportation and Parking, or other University official. It shall be unlawful to violate such regulations.

Sec. 5-2. Fire Lanes/Fire Hydrants

No person (whether mobility impaired or not) shall park a Vehicle, or allow it to idle in or block access to any area designated as a fire lane or fifteen feet in either direction of a fire hydrant. Any emergency authorization for use of fire lanes must be obtained through the Department of Public Safety or the Department of Transportation and Parking. Pavement markings and/or signs will prominently indicate fire lanes.

Sec. 5-3. Sidewalks and Landscape

No person shall operate or park a Vehicle on grass or shrubbery unless such areas are signed and marked for such activity.

No person shall operate or park a Vehicle on a Campus sidewalk unless authorized by the Chancellor or his/her delegates. Specifically, no person, other than on-duty law enforcement officers, shall operate or park a Motorized Two-Wheeled Vehicle on a Campus sidewalk. Bicycles may be operated on Campus sidewalks; provided that no person shall operate a bicycle in a manner that jeopardizes pedestrian safety, or State or private property.

No person shall use in-line skates or skateboards (a) on the Campus in a manner that jeopardizes the safety of other pedestrians, or (b) on any walls, monuments, gutters, ditches, railings, bicycle racks, benches, other structures, fixtures, or property on the Campus, or (c) on

any ramps or steps on the Campus except for the purpose of entering or leaving a building or making normal pedestrian progress along a Campus sidewalk.

Sec. 5-4. Speed Limits

1. It is unlawful to operate a Vehicle on the Campus in excess of a speed of ten miles per hour, except as otherwise posted.
2. It is unlawful to operate a Vehicle on Cameron Avenue, Raleigh Street, or Bowles Drive in excess of a speed of twenty-five miles per hour.
3. It is unlawful to operate a bicycle on sidewalks on Campus at a speed or in a manner that would prove to be hazardous for the safety of pedestrians.

Sec. 5-5. [Reserved. Intentionally left blank.]

Sec. 5-6. [Reserved. Intentionally left blank.]

Sec. 5-7. Vehicular Traffic at Marked Crosswalks

The Chancellor, through his/her delegated administrative officers, may establish pedestrian crosswalks across streets, alleys, and driveways on the Campus and on the public streets listed in Section 1-10 where the location of University buildings and sidewalks requires large numbers of persons to cross streets and driveways at points other than street intersections as governed by G.S. 20-155(c). A crosswalk shall be indicated by traffic signals, signs, or white stripes (hatched or unhatched) and will be a minimum of six feet in width. When a crosswalk has been marked off, it is unlawful for the driver of any Vehicle traveling on the street or driveway to fail to stop and to yield the right-of-way when there is a pedestrian in that portion of the crosswalk. The pedestrian must be on that side of the street or driveway in which the Vehicle is traveling.

Sec. 5-8. Pedestrian Obstructing Traffic

It is unlawful for a pedestrian to stand on the traveled portion of any street, alley, or driveway on the Campus in such a manner to obstruct or prevent the free flow of traffic thereon, and in crossing streets, alleys, or driveways pedestrians shall keep in motion when in the traveled portion thereof.

Sec. 5-9. Passenger Pick Up and Discharge

It is unlawful for any person to stop a Vehicle on any street, alley, or driveway on the Campus for the purpose of picking up or discharging a pedestrian without first drawing up to the right hand curb.

ARTICLE VI. PARKING CONTROL

Sec. 6-1. Penalties

Any person violating any provision of this Ordinance or a regulation issued hereunder is subject to a civil penalty as indicated in the following schedule:

| Violation Code | Title of Ordinance Section Violated | Amount of Civil Penalty |
|-----------------------|---|--|
| Sec. 3-1 | Method of Parking | \$30 |
| Sec. 3-3 | Parking Zones | \$30 |
| Sec. 3-6.1 | Expired Meter | \$15 for meter expiration of 1 hour or less |
| Sec. 3-6.2 | Cumulative Violations of Expired Meter | \$5 for each additional hour of meter expiration |
| Sec. 3-6.3 | Extended Parking at Meters | \$25 |
| Sec. 3-6.4 | Abuse of Meters | \$50 |
| Sec. 3-7 | Controlled Access Zones | \$50 |
| Sec. 3-14 | Reserved Parking | \$50 |
| Sec. 3-14.1 | Visitor Disability Parking | \$250 |
| Sec. 3-14.2 | Service Zone Parking | \$50 |
| Sec. 3-14.3 | Disability Parking for UNC Employees, Students and Affiliates | \$50 |
| Sec. 3-14.4 | Electric Vehicle Parking | \$50 |
| Sec. 3-16 | Bus Stops | \$20 |
| Sec. 3-17 | State-owned Vehicle Parking | \$30 |
| Sec. 3-18 | Motorized Two-Wheeled Vehicle Parking | \$30 |
| Sec. 3-19.1 | Improper Bicycle Parking | \$10 |
| Sec. 3-19.2 | Bicycle Registration | \$5 for second violation; \$10 for third and subsequent violations |
| Sec. 3-20 | Temporary Parking Restrictions | \$50 |
| Sec. 3-20.1 | Overnight Parking Restrictions | \$50 |
| Sec. 3-20.2 | Athletic and Other Special Event Parking Restrictions | \$20 |
| Sec. 3-21 | Night Parking | \$30 |
| Sec. 3-23 | Idling at Air Intake Vents | \$50 |
| Sec. 3-24 | Signs | \$50 |
| Sec. 4-1.3 | Freshman Parking | \$25 |
| Sec. 4-3 | Display of Permits | \$5 |

| | | |
|----------|--|---------------------------|
| Sec. 4-4 | Counterfeiting/Altering Parking Permits | \$200 |
| Sec. 4-5 | Obtaining Parking Permits Through Unlawful Means | \$200 |
| Sec. 4-6 | Unauthorized Display Of Parking Permits | \$50 |
| Sec. 5-1 | Interference with Traffic | \$50 |
| Sec. 5-2 | Fire Lanes/Fire Hydrants | \$250 |
| Sec. 5-3 | Sidewalks and Landscape | \$30, plus cost of repair |
| Sec. 5-4 | Speed Limits | \$20 |
| Sec. 5-7 | Vehicular Traffic at Marked Crosswalks | \$200 |
| Sec. 5-8 | Pedestrian Obstructing Traffic | \$50 |
| Sec. 5-9 | Passenger Pick Up and Discharge | \$20 |
| Sec. 6-2 | Emergencies; Law Enforcement Operational Necessities | \$50 |

Sec. 6-2. Emergencies; Law Enforcement Operational Necessities

Vehicle operation and parking may be prohibited under emergency and/or other law enforcement operational necessities. It shall be unlawful for any person to violate police instructions related to this section.

Sec. 6-3. Repeated Offenses

If any person is cited for violation of this Ordinance with respect to parking more than five times in a period of twelve months or more than twice in a period of thirty days, or is determined to owe more than \$250.00 in unpaid fines, the Chancellor, through his/her delegated administrative officers, may cancel any parking permit issued to such person without refund of any portion of the fee paid thereof and shall cause the violator's license plate numbers to be entered on a list of repeated offenders. Once a person's license plate numbers are placed on a repeat offender list, the Vehicle is subject to impoundment or immobilization upon being parked in violation of this Ordinance. A citation found to be invalid will not be counted for purpose of this section. Any repeat offenses of this nature may be forwarded to the appropriate agency for disciplinary action(s), (i.e., the Student Attorney General for students, the Office of Human Resources and the department chair for SHRA employees, the department chair for University faculty and EHRA non-faculty employees, and UNC Hospitals Personnel Department for hospital employees).

ARTICLE VII. FEES

Sec. 7-1. Vehicle Impoundment Fee

In addition to the assessment of the applicable civil penalty pursuant to Article VI, any Vehicle parked in violation of this Ordinance may be removed to a storage area. The Chancellor or his/her designee may refuse to authorize release of the Vehicle to the owner or custodian until the towing fee, storage fees, and all other outstanding balances owed to the Department of Transportation and Parking are paid in full or a payment plan established during normal business hours.

The owner or custodian of a Vehicle impounded under any regulation of this Ordinance may appeal the impoundment in person or in writing within ten calendar days to the Chancellor's designated Hearing Officer pursuant to Section 8-1. Submitting an appeal to the Chancellor's designated Hearing Officer does not substitute for payment of the towing or storage fees for removal of the impounded Vehicle.

The Chancellor, through his/her delegated administrative officers, is authorized to have towed from Campus any Vehicle violating the provisions of this Ordinance. A towing fee shall be charged, in addition to any applicable penalty for violation of the Ordinance, to reimburse the University for its costs in removing the Vehicle.

Towing fees are as follows:

| | |
|---------------------|--------------------------|
| <i>Standard Tow</i> | <i>Dolly Wheels Used</i> |
| \$90.00 | \$100.00 |

If the operator of the Vehicle to be towed arrives at the Vehicle prior to the tow truck moving the Vehicle from where it was parked, such operator shall still be responsible for the cost of the tow fee, which is charged to the University upon the dispatch of the tow truck. If the tow truck has initiated towing actions and the Vehicle's owner has arrived, the Vehicle will not be released until the appropriate fees have been paid to the Department of Transportation and Parking.

A storage fee of \$10.00 per day may be charged to the owner or custodian of Vehicles left at the University compound for more than twenty-four hours after towing. A storage fee of \$2.00 per day may be charged for bicycles, skateboards, or in-line skates, as well as a \$10.00 impoundment fee.

A valid driver's license must be provided by the owner/operator of the Vehicle prior to its release.

Sec. 7-2. Vehicle Immobilization Fee

Any Vehicle parked in violation of this Ordinance or any parking regulation issued hereunder may be immobilized by use of a wheel boot. Notice of the application of a wheel boot

shall be posted prominently in one of three locations: (1) on the driver's side of the front window of the Vehicle, (2) on the rear windshield, or (3) on the driver's side window. Placement of the notices will depend on the type of Vehicle. A Vehicle immobilization fee shall be charged, in addition to any applicable penalty for violation of the Ordinance, to reimburse the University for its costs in immobilizing the Vehicle.

The Chancellor or his/her designee may refuse to authorize release of the Vehicle to the owner or custodian until the immobilization fee, storage fees, and all outstanding balances owed to the Department of Transportation and Parking are paid in full or a payment plan established during normal business hours. Wheel boots may only be removed by the Department of Transportation and Parking staff or the Department of Public Safety staff, upon payment of the \$55.00 Vehicle immobilization fee. If the operator of the booted Vehicle arrives at the Vehicle prior to the parking control officer leaving the scene, such operator shall still be responsible for the cost of the Vehicle immobilization fee. The owner or custodian of the Vehicle impounded under any regulation of this Ordinance may appeal the immobilization in person or in writing within ten calendar days to the Chancellor's designated Hearing Officer, pursuant to Section 8-1. Submitting an appeal to the Hearing Officer is not a substitute for payment of the immobilization fee.

Vehicles immobilized for longer than twenty-four hours shall be removed to a storage area. The owner/custodian of the Vehicle shall be responsible for both the immobilization and tow fees and applicable storage fees.

Sec. 7-3. Impoundment of Abandoned and Derelict Vehicles

Any Vehicle that is partially dismantled or wrecked and/or deemed abandoned under Section 3-7 and/or does not display a current license plate and such Vehicle is left in such condition for more than 10 days shall have a warning tag placed on it by a parking control officer. Such tag shall provide notice that if the Vehicle is not removed within 5 days from the date reflected on the tag, it will be considered abandoned and derelict. Such Vehicles shall be removed at the end of the 5-day period to the University storage area at owner's expense and disposed of in accordance with Section 7-4 of this Ordinance.

Sec. 7-4. Disposal of Abandoned and Derelict Vehicles

1. An "abandoned Vehicle" is one that has been removed to the University's storage area pursuant to authority granted in this Ordinance and has remained in said storage for longer than five days. A "derelict Vehicle" is a Vehicle:

(a) that has an expired registration and the registered and legal owner no longer resides at the address listed on the last certificate of registration on record with the North Carolina Department of Transportation;

(b) that has major parts removed so as to render the Vehicle inoperable and incapable of passing inspections as required under existing standards;

(c) that has the manufacturer's serial plates, Vehicle identification numbers, license plate numbers, and any other means of identification removed so as to nullify efforts to locate or identify the registered and legal owner;

(d) for which the registered and legal owner of record disclaims ownership or releases his/her rights thereto; or

(e) for which the Vehicle is more than twelve years old and does not bear a current license as required by the Department of Motor Vehicles.

2. When any derelict or abandoned Vehicle is in the University's possession, the University shall dispose of it in accordance with North Carolina statutes.

3. Any proceeds from the sale of a derelict or abandoned Vehicle, after costs have been deducted for removal, storage, investigation, sale, and satisfying any liens of record on the Vehicle, shall be held by the University for thirty days and paid to the registered owner upon demand. If the owner does not appear to claim the proceeds within thirty days after disposal of the Vehicle, the funds shall be deposited in the University Department of Public Safety Trust Fund, and the owner's rights therein shall be forfeited forever.

4. No person shall be held to answer in any civil or criminal action to any owner or other person legally entitled to the possession of any abandoned, lost, or stolen Vehicle for disposing of the Vehicles as provided in this section.

Sec. 7-5. Bicycle and Skateboard Impoundment Fee

It shall be lawful for the Chancellor, through his/her delegated administrative officers, to impound at the owner/rider's expense, any bicycle that is considered abandoned, junked, lost/stolen, parked/stored or operated in violation of this Ordinance, or state or local fire safety regulations. It shall be lawful for the Chancellor, through his/her delegated administrative officers, to remove security devices attached to Vehicles for impoundment purposes. The University shall not be held liable for damages made to bicycles or locks while impounding or during storage of the bicycle.

It shall be lawful for the Chancellor, through his/her delegated administrative officers, to impound at the owner's/rider's expense any skateboard or in-line skates that are considered abandoned, junked, lost/stolen, or operated in violation of this Ordinance. The University shall not be held liable for damages done to skateboards or in-line skates while impounded. Owners/riders of impounded skateboards or in-line skates may claim them in person at the Department of Transportation and Parking in the University Department of Public Safety building, subject to the payment of the penalty fee, if applicable, under Article VI for violation of Section 5-3. Owners/riders who are under age 18 must bring a parent or guardian with them to claim impounded skateboards or in-line skates. The Department of Public Safety staff or the Department of Transportation and Parking staff, upon payment of the \$10.00 bicycle/skateboard impoundment fee, may release impounded bicycles and skateboards.

Bicycles that remain stored on racks for more than thirty days at the end of any academic term, including summer sessions, will be deemed University property.

Sec. 7-6. Disposal of Junked Bicycles and Impounded Skateboards

Letters shall be sent notifying bicycle owners with registered permits when Vehicles have been impounded; when owner is unknown, notice shall be posted at the Department of Transportation and Parking in the Department of Public Safety building. Bicycles unclaimed thirty calendar days after the original date of impoundment shall be deemed University property.

When the owner/rider of a skateboard or in-line skates is known, he or she shall be given or sent a letter notifying him or her that the skateboard or in-line skates have been impounded and informing the owner/rider how to claim the impounded skateboard or in-line skates. Where the owner/rider of an impounded skateboard or in-line skates is under age 18, a copy of the letter shall be sent to his or her parent or guardian, if known. When the owner/rider is unknown, notice shall be posted at the Department of Transportation and Parking in the Department of Public Safety building. Skateboards or in-line skates unclaimed within sixty days after the original date of impoundment shall be deemed University property.

ARTICLE VIII. APPEALS

Sec. 8-1. Appeals

Any person cited for violation of any portion of this Ordinance for which a civil penalty is imposed or a Vehicle is impounded or immobilized for violations may appeal in person, or in writing, or by email within ten calendar days of issuance to the Chancellor's designated Hearing Officer.

Failure to meet the ten day appeal period requirement shall result in a forfeiture of all appeal privileges. The Hearing Officer shall review all written appeals and establish limited hours to review those in-person appeals that meet the ten-day requirement.

Written appeals must be submitted on a standard appeal form, available from the Department of Transportation and Parking.

If the Hearing Officer decides the appeal against the appellant, he or she may appeal to the Chancellor, in writing, within ten calendar days of the date of the Hearing Officer's decision.

The Chancellor may delegate to a committee or other body as he/she may establish authority to hear appeals on his/her behalf. Disposition of an appeal by a vote of the full committee to affirm without a panel hearing or by a vote of the majority of a panel in the case of an appeal having been referred to a panel for hearing shall be deemed to exhaust the available appellate procedures. Final disposition by the committee shall be understood to mean a ruling in which the committee or its panel affirms, modifies, or reverses a decision of the Hearing Officer. Any person cited to the District Court Division of the General Court of Justice for violation of

this Ordinance constituting a misdemeanor must pursue his plea and appeal, if any, as provided by law for criminal actions generally.

Submitting an appeal to the Chancellor's designated Hearing Officer does not substitute for payment of the towing and storage fees for removal of the impounded Vehicle. Such fees must be paid in accordance with Section 7-1. If the hearing officer decides the appeal in favor of the appellant, the Department of Transportation and Parking will refund the costs of towing and storage.

In the event that the owner or operator elects to leave the Vehicle impounded while filing an appeal, the storage fees will be waived from the day the appeal is submitted to the Hearing Officer until the day the decision is rendered.

ARTICLE IX. REPEALS

Sec. 9-1. Former Regulations Repealed

All resolutions heretofore adopted regulating traffic and parking on the Campus are repealed. The repeal herein of these regulations shall not abate or otherwise affect any civil, criminal, or administrative action or proceeding concluded or pending on the effective date of this Ordinance. Except as otherwise provided, this Ordinance may be amended in whole or in part by action of the Board of Trustees. The effective date of this Ordinance shall be August 15, 2018.

**UNC Internal Audit
Risk Assessment and Audit Plan
Audit Schedule - 2018/19 - Revised 08/03/18**

ATTACHMENT B

| <u>Routine Audits</u> | <u>Hours Budgeted</u> | |
|---|----------------------------------|--|
| <u>In-Process At June 30, 2018</u> | | |
| Governance - Trustee Orientation | 80 | |
| Historically Underutilized Businesses ** | 75 | returned to plan - not completed as expected. |
| Follow-up for Prior Internal Audit Findings | 200 | |
| Chemistry - departmental audit | 100 | |
| Energy Services Equipment | 200 | |
| <u>Scheduled for 2018/19</u> | | |
| Athletics Ticket Sales | 400 | carried-forward from 2017/18 |
| System Outage Response | 400 | |
| Intellectual Property Management | 400 | |
| Sponsored Awards - Cost Management and Post-Audit | 500 | |
| Total for Routine Audits | <u>2,355</u> | |
| <u>Removed from 2018/19 Audit Plan</u> | | |
| Building Access | | removed from schedule due to change in available hours |
| Department Audit - TBD | | removed from schedule due to change in available hours |
| <u>Annual Projects</u> | | |
| UNC Business Compliance Program 2019 | 50 | |
| Internal Quality Assessment Review | 100 | |
| 2019/20 Risk Assessment and Audit Plan | 200 | |
| 2018 State Audit | 50 | |
| Total for Annual Projects | <u>400</u> | |
| <u>Audit Related</u> | | |
| <u>In-Process At June 30, 2018</u> | | |
| External Quality Assessment Review | 350 | increase in hours |
| Office Systems | 500 | |
| Audit Committee | 100 | |
| AICPA Committee | 100 | |
| KPI Reporting | 20 | |
| HIPAA Security Liaisons | 100 | |
| Data Access and Reporting | 250 | |
| UCPPD | 50 | |
| Integrity Advisors | 50 | |
| Policy Liaisons | 50 | |
| Privacy Liaisons | 50 | |
| Enterprise Data Coordinating Committee | 50 | |
| Other Audit Related | 200 | |
| Total for Audit Related | <u>1,870</u> | |
| <u>Unplanned Projects</u> | <u>2,500</u> | increase in hours |
| Total Hours Scheduled | <u>7,125</u> | |
| Total Hours Available | <u>7,218</u> | |
| Under/<Over> Scheduled | <u>93</u> | |

Approvals:

Chancellor: _____

Date: _____

Chairman, _____

Date: _____

Business and Infrastructure Committee

** = will out-source completion of project

ATTACHMENT C

DESIGNER SELECTION—SCHOOL OF DENTISTRY, VACUUM PIPING REPLACEMENT

This project will design the replacement of the dental vacuum piping including hazardous materials abatement, as well as design for bulk amalgam separators in Brauer, Tarson and Koury.

The project budget is estimated at \$4.95 million and with funding from F&A.

The project was advertised on June 29, 2018. Five (5) proposals were received. Three (3) firms were interviewed on August 3, 2018. Members of the Board of Trustees did not participate in the interviews. The committee recommended the selection of the three firms in the following priority order:

- | | |
|--------|---------|
| 1. XXX | XXX, XX |
| 2. XXX | XXX, XX |
| 3. XXX | XXX, XX |

The firms were selected for their past performance on similar projects, strength of their consultant team and experience with campus projects.

RECOMMENDED ACTION:

A motion to approve the three firms in the following priority order:

- | | |
|--------|---------|
| 1. XXX | XXX, XX |
| 2. XXX | XXX, XX |
| 3. XXX | XXX, XX |

**CONSTRUCTION MANAGER AT RISK SELECTION — TRANSLATIONAL
RESEARCH BUILDING**

This project will provide a new, 160,000 square foot Translational Research Building (TRB) to replace multiple old facilities and allow UNC to compete with top biomedical academic research institutions across the country. The project includes the renovation of existing facilities to accommodate a temporary surgery that will be displaced by the construction of the new Medical Education Building. The temporary facilities will be repurposed for flexible procedure spaces once the permanent surgery is located in the new TRB.

The project budget is estimated at \$156,520,000 and will be funded through F&A. The request for CM proposals was advertised on July 18, 2018. Three (3) proposals were received. Three (3) firms were interviewed on September 18, 2018. Members of the Board of Trustees did not participate in the interviews. The committee recommended the selection of the three firms in the following priority order:

- | | |
|--------|---------|
| 1. XXX | XXX, XX |
| 2. XXX | XXX, XX |
| 3. XXX | XXX, XX |

The firms were selected for their past performance on similar projects, strength of their consultant team and experience with campus projects.

RECOMMENDED ACTION:

A motion to approve the three firms in the following priority order:

- | | |
|--------|---------|
| 4. XXX | XXX, XX |
| 5. XXX | XXX, XX |
| 6. XXX | XXX, XX |

ATTACHMENT E

PROPERTY DISPOSITION BY GROUND LEASE

In 2016, Section 37.1 of NCGA Session Law 2016-94 appropriated \$8 million for the UNC School of Medicine/Western School of Medicine to build an approximately 36,000-square-foot health care clinic and education building. The property was conveyed to UNC, subject to restrictions that limited its use to health and medical education programs. Completion of the building is expected in late fall 2018, at which time the building and grounds will be ground leased to the Mountain Area Health Education Center (MAHEC) for 30 years under terms that will be submitted to the Governor and Council of State for approval. The medical education building will be operated by a consortium consisting of UNC-Chapel Hill, UNC-Asheville (School of Public Health Campus), Western Carolina University, MAHEC and Mission Hospital.

RECOMMENDED ACTION:

A motion to recommend disposition by ground lease of .45 acres and related structure at 121 Hendersonville Road, Asheville, N.C., to the Mountain Area Health Education Center as described above.

Property Disposition by Ground Lease: Mountain Area Health Education Center (MAHEC)

- In 2016 – N.C. Legislature appropriated \$8 million to UNC SOM/Western School of Medicine to build a 36K-square-foot health care facility.
- UNC-CH, on behalf of the state, took ownership of .45 acres from MAHEC in order to complete the project
- Building to be completed in late fall 2018, at which time UNC-CH will ground lease the property to MAHEC for 30 years.



ATTACHMENT F

PROPERTY DISPOSITION BY DEMOLITION

This request is to raze two state-owned properties located at University Lake. The first of the two buildings rests on land that is leased to Orange Water and Sewer Authority (OWASA). This building is currently used as a storage facility by OWASA and was previously the Lake Warden's Office. OWASA will fund the demolition of this building and replace it as part of several improvements they are completing at University Lake.

The second building is at 115 University Lake and was commonly referred to as the Lake Warden's residence. This is a one-story, 1750-square-foot residence, built in 1954, then leased to OWASA for 30 years for the Lake Warden's residence. OWASA no longer uses the structure and has returned it to UNC-Chapel Hill's control. We have completed an initial assessment and determined that the building would require approximately \$40,000 in capital upgrades in order to retain in our single-family rental portfolio. The building has no strategic value to UNC-CH. Cost of demolition will be shared between OWASA and UNC-CH Real Estate Operations funds.

RECOMMENDED ACTION:

A motion to recommend disposition by demolition of the storage structure and 115 University Lake Road as described above.

Demolition of Two Structures at University Lake



Warden's Residence at
115 University Lake Road

Old Warden's Office,
currently used as storage

ATTACHMENT G

PROPERTY DISPOSITION BY SUBLEASE

On July 19, 2018, the Board of Trustees authorized the lease of space for the Office of Innovation, Entrepreneurship and Economic Development in support of a new wet-lab accelerator facility. As noted in that item, this space will be built-out and operated in strategic alignment with a third-party life-sciences operating company. UNC-Chapel Hill is in the final stages of negotiating with this third-party operating company to execute a sublease and a Memorandum of Understanding that sets the terms for how the incubator will be managed.

In brief, UNC-CH will sublease 33,000 square feet of space on the sixth floor of Carolina Square to the operating company. In exchange, the operating company will provide \$5 million to upfit the space and will manage the incubator. This management will include selecting, in concert with UNC-CH, companies for residence in the incubator, providing ongoing business consultation to these early-stage companies, and exposing these early-stage companies to potential commercialization opportunities through partnerships with private capital. UNC-CH will benefit based on occupancy levels in the incubator and, potentially, from the growth of the early-stage companies using UNC-CH licensed intellectual property.

The proposed terms of the sublease are as follows:

| | |
|-------------------------------------|---|
| SUBLESSOR: | State of North Carolina, UNC-Chapel Hill |
| SUBLESSEE: | Subtenant currently negotiating under a non-disclosure agreement |
| LOCATION: | Carolina Square, 123 West Franklin, Chapel Hill, N.C. |
| LEASE AMOUNT: | \$1 annually |
| DESCRIPTION: | 33,000 square feet of new space |
| LEASE TERM: | 10 years, no renewal options |
| TENANT IMPROVEMENT ALLOWANCE: | Subtenant is contributing \$5 million to the build out of the space |
| LEASE EXPIRATION: | TBD – dependent upon closing of final negotiations |

While the sublease amount will be \$1.00 annually, UNC will receive rent recovery from the operating partner based on rental revenue generated by life science startup companies using the incubator. Based on projections, Fair Market Value for occupancy of space is expected to be realized over the term of the sublease.

RECOMMENDED ACTION:

A motion to recommend disposition by sublease as described above.

Disposition by Sublease: Wet-Lab Accelerator

| | |
|-------------------|--|
| SUBLESSOR: | State of North Carolina, UNC-Chapel Hill |
| SUBLESSEE: | Subtenant currently negotiating under a non-disclosure agreement |
| LOCATION: | Carolina Square, 123 West Franklin, Chapel Hill, N.C. |
| LEASE AMOUNT: | \$1 annually, w/ opportunity for revenue sharing at negotiated occupancy level |
| DESCRIPTION: | 33,000 square feet of new space for a new wet-lab accelerator facility |
| LEASE TERM: | 10 years, no renewal options |
| TENANT | |
| IMPROVEMENTS: | Subtenant is contributing \$5 million to the build out of the space |
| LEASE EXPIRATION: | TBD – dependent upon closing of final negotiations |

**RESOLUTION OF THE BOARD OF TRUSTEES OF
THE UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL
APPROVING THE ISSUANCE OF SPECIAL OBLIGATION BONDS**

WHEREAS, under Article 3, Section 116D General Statutes of North Carolina (the “*Act*”) the Board of Governors (the “*Board of Governors*”) of the University of North Carolina System (the “*UNC System*”) may issue special obligation bonds, payable from obligated resources to pay the costs of acquiring, constructing or providing a special obligation project at one of the constituent institutions of the UNC System or refunding any obligations previously issued by the Board of Governors;

WHEREAS, the University of North Carolina at Chapel Hill (“*UNC-Chapel Hill*”) will request the Board of Governors to issue its special obligation bonds in one or more series to be known as “*The University of North Carolina at Chapel Hill General Revenue Bonds*” or “*The University of North Carolina System Commercial Paper Bonds (UNC at Chapel Hill/NC State)*”, with appropriate series designations for each series (collectively, the “*Bonds*”), the proceeds of which will be applied to (1) financing the costs of the projects approved by the North Carolina General Assembly in S.L. 2018-35, Appropriations Act of 2018 (H.B. 1054) (collectively, the “*Special Obligation Bond Projects*”), including (a) the Translational Research Building and (b) a Parking Deck at S1 Lot (South Parking Deck) and (2) the costs of issuing the Bonds; and

WHEREAS, Section 116D-26(b) of the Act requires the Board of Trustees of UNC-Chapel Hill to approve the issuance of the Bonds for the Special Obligation Bond Projects;

NOW, THEREFORE, BE IT RESOLVED by the Board of Trustees of the University of North Carolina at Chapel Hill as follows:

Section 1. ***Approval of Issuance of Bonds.*** The issuance of the Bonds for the Special Obligation Bond Projects is approved.

Section 2. ***Effective Date.*** This Resolution is effective immediately.

ADOPTED AND APPROVED this ____ day of September, 2018.

**THE BOARD OF TRUSTEES OF THE UNIVERSITY OF
NORTH CAROLINA AT CHAPEL HILL**

By: _____
Assistant Secretary

ATTACHMENT H

STATE OF NORTH CAROLINA)
)
COUNTY OF ORANGE) **SS:**

I, _____, the duly elected Assistant Secretary of the Board of Trustees of The University of North Carolina at Chapel Hill, ***DO HEREBY CERTIFY*** that (1) the foregoing is a full, true and correct copy of the Resolution adopted by the Board of Trustees of The University of North Carolina at Chapel Hill at its meeting of September __, 2018 and appearing in the minutes of such meeting, (2) notice of the meeting of the Board of Trustees of The University of North Carolina at Chapel Hill held on September __, 2018 was sent to each member of the Board, and (3) a quorum was present at the meeting on September __, 2018 at which time the foregoing Resolution was adopted.

WITNESS, my hand and the seal of The University of North Carolina at Chapel Hill this __ day of September, 2018.

[SEAL]

Assistant Secretary

Finance, Infrastructure & Audit Debt & Liquidity Update

September 26, 2018

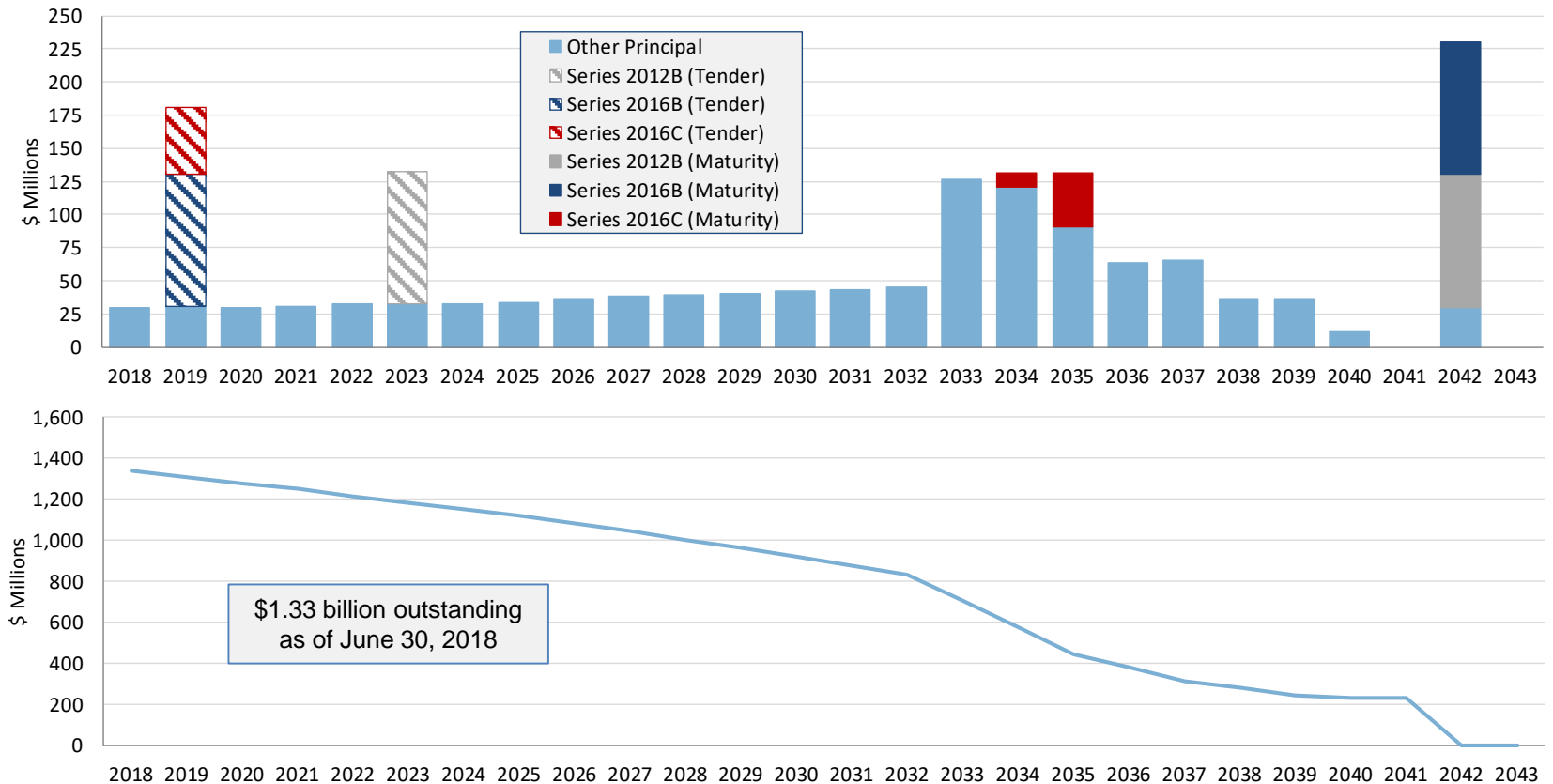


FINANCE AND
OPERATIONS

Upcoming Portfolio Milestones

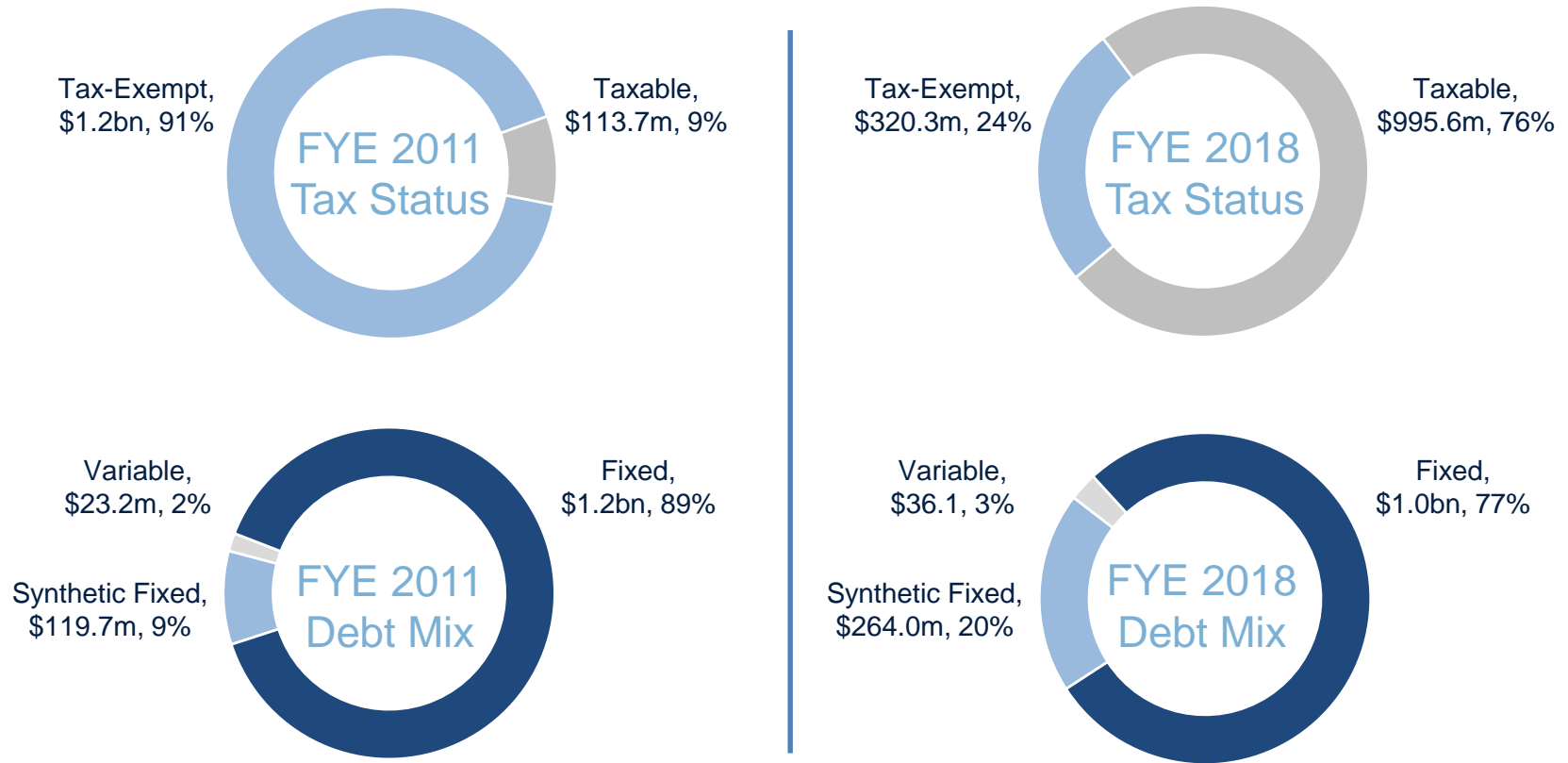
- **September 19, 2018**: \$200 million Wells Fargo Backup Liquidity Facility Expiration
 - The University is in the process of replacing the existing facility with two \$100 million facilities from Bank of America (3 years) and BB&T (5 years)
- **March 1, 2019**: Mandatory tender for \$100 million Series 2016A and \$50 million Series 2016B direct placements with Bank of America
 - Bonds are callable six months prior to mandatory tender date
- **September 18, 2019**: \$100 million Royal Bank of Canada Backup Liquidity Facility Expiration
- **December 1, 2019**: Call Date on Series \$112.8 million 2009B (Build America Bonds)

Principal Amortization



Note: Graph above excludes outstanding commercial paper.

Evolution of Debt Portfolio



Swap Portfolio Review

- The University has three outstanding floating-to-fixed rate swaps, intended to hedge variable rate debt and the commercial paper program.
- The University is not required to post collateral with any of its counterparties unless the University's credit ratings fall below AA- or Aa3.
- The University continues to monitor market conditions for opportunities to reduce swap exposure relative to the debt portfolio.

DERIVATIVE PORTFOLIO SUMMARY AS OF AUGUST 15, 2018

| Counterparty | Notional | Effective Date | Termination Date | UNC Pays | UNC Receives | Mark-to-Market |
|------------------|--------------------|----------------|------------------|----------|--------------|---------------------|
| Lehman Brothers | 14,010,000 | 10/03/2000 | 11/01/2025 | 5.240% | 100% SIFMA | (1,949,782) |
| Wachovia | 100,000,000 | 12/01/2007 | 12/01/2036 | 3.314% | 67% 1M LIBOR | (20,070,534) |
| Bank of New York | 150,000,000 | 12/01/2011 | 12/01/2041 | 4.375% | 67% 1M LIBOR | (62,993,227) |
| TOTAL | 264,010,000 | | | | | (85,013,543) |

Projected Expendable Resources to Debt

THE UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL
DEBT RATIOS - HISTORICAL AND PROJECTED
 (As of September 2018)

(\$ in millions)

| <i>Fiscal Year Ending</i> | 2014 | 2015 | 2016 | 2017 | 2018 | PROJECTED | | | | |
|-------------------------------------|---------|---------|---------|---------|---------|------------------|---------|---------|---------|---------|
| | | | | | | 2019 | 2020 | 2021 | 2022 | 2023 |
| Expendable Resources to Debt | | | | | | | | | | |
| Expendable Resources | \$2,566 | \$2,658 | \$2,563 | \$2,719 | \$2,882 | \$2,943 | \$3,005 | \$3,068 | \$3,132 | \$3,198 |
| Debt | \$1,427 | \$1,445 | \$1,452 | \$1,446 | \$1,417 | \$1,428 | \$1,406 | \$1,408 | \$1,435 | \$1,428 |
| Measure | 1.80 | 1.84 | 1.76 | 1.88 | 2.03 | 2.06 | 2.14 | 2.18 | 2.18 | 2.24 |
| Policy Threshold | 1.50 | 1.50 | 1.50 | 1.50 | 1.50 | 1.50 | 1.50 | 1.50 | 1.50 | 1.50 |

Projected Debt Service to Operations

THE UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL
DEBT RATIOS - HISTORICAL AND PROJECTED
 (As of September 2018)

(\$ in millions)

| Fiscal Year Ending | 2014 | 2015 | 2016 | 2017 | 2018 | PROJECTED | | | | |
|-----------------------------------|---------|---------|---------|---------|---------|-----------|---------|---------|---------|---------|
| | | | | | | 2019 | 2020 | 2021 | 2022 | 2023 |
| Debt Service to Operations | | | | | | | | | | |
| Debt Service | \$81 | \$97 | \$106 | \$118 | \$75 | \$79 | \$80 | \$86 | \$92 | \$88 |
| Operating Expenses | \$2,631 | \$2,873 | \$2,767 | \$2,990 | \$3,044 | \$3,105 | \$3,167 | \$3,231 | \$3,295 | \$3,361 |
| Measure | 3.09% | 3.38% | 3.85% | 3.96% | 2.46% | 2.55% | 2.52% | 2.65% | 2.79% | 2.63% |
| Policy Ceiling | 4.00% | 4.00% | 4.00% | 4.00% | 4.00% | 4.00% | 4.00% | 4.00% | 4.00% | 4.00% |

NOTE: Historical measures contained periods of significant component unit Notes Payable activity that is not otherwise projected into the future.

Rating Agency Sector Outlook

Moody's Investors Service – 2018 Outlook Changed from Stable to Negative

- Sector Risks: Pressure on enrollment growth, state funding, research and patient care revenue; increasing labor costs including retirement benefits; uncertainty at the federal level.

S&P Global – 2018 Outlook Changed from Stable to Negative

- Sector Risks: Implications from the Tax Cut and Jobs Act; decreased capital markets activity due to rising interest rates; widening gap between students' expectations and their willingness to pay.

Recent Rating Actions



UNIVERSITY of
WASHINGTON

Date: January 2018
Rating Agency: Moody's
Rating: Aaa (affirmed)
Outlook: **Changed to Negative**

"The revision of UW's outlook to negative reflects weak operating performance across the university's hospitals, driving consolidated thin cash flow for the rating category, combined with low and declining liquidity."



NORTHWESTERN
UNIVERSITY

Date: September 2017
Rating Agency: Moody's
Rating: Aaa (affirmed)
Outlook: **Changed to Negative**

"The revision of the outlook to negative reflects a combination of increasing debt and weakening of historically strong operating performance as leadership heavily invests in strategic initiatives and new facilities and programs."



Washington
University in St. Louis

Date: June 2017
Rating Agency: Moody's
Rating: **Downgraded to Aa1**
Outlook: Stable

"The downgrade is driven by a substantial increase in debt relative to reserves and operations which weakens Washington University's financial leverage to a point no longer consistent with Aaa peers."

Note: Washington University in St. Louis was also downgraded by S&P in June 2017 from AAA to AA+ with a similar rationale.

UNC Update with Rating Agencies

- The University's ratings (Aaa/AAA/AAA) were affirmed by Moody's, S&P and Fitch in September 2017 in connection with the Series 2017 issue.

Credit Strengths

- Stable enrollment with total headcount and continued impressive student demand statistics;
- Consistent state support including recent capital funding for significant new building;
- Strong donor support with continued year-over-year record giving;
- Diverse revenue base eliminating dependency on any single source;
- Robust research enterprise; and
- Healthy balance sheet.

Credit Challenges

- Political risks including pressure on state operating appropriations and tuition pricing;
- Ongoing need to control expenses to maintain efficient operations;
- Ongoing capital needs will continue to limit the growth of flexible reserves; and
- Exposure to patient care revenue through the university's faculty practice plan.

Peer Comparison – Leverage (Aaa)

- The University's leverage metrics are generally weaker than peer group medians as both the balance sheet and income statement are relatively leveraged. Debt to Operating Revenue is slightly stronger than median levels.

| <i>Higher is Better</i> | | <i>Lower is Better</i> | | <i>Lower is Better</i> | |
|-------------------------------|-------------|-----------------------------|-------------|-----------------------------|-------------|
| SPENDABLE CASH & INV. TO DEBT | | TOTAL DEBT TO CASH FLOW | | DEBT TO OPERATING REVENUE | |
| University of Michigan | 4.91 | University of Michigan | 2.34 | University of Michigan | 0.28 |
| University of Virginia | 4.27 | Indiana University | 3.30 | Indiana University | 0.32 |
| Purdue University | 3.11 | University of Texas System | 3.37 | University of Washington | 0.42 |
| Indiana University | 2.77 | University of Virginia | 3.93 | UNC Chapel Hill | 0.48 |
| University of Texas System | 2.35 | Purdue University | 3.99 | Purdue University | 0.52 |
| UNC Chapel Hill | 1.83 | University of Washington | 6.09 | University of Texas System | 0.54 |
| University of Washington | 1.69 | Texas A&M University System | 7.53 | University of Virginia | 0.54 |
| Texas A&M University System | 1.64 | UNC Chapel Hill | 7.80 | Texas A&M University System | 1.01 |
| Peer Median: | 2.56 | Peer Median: | 3.96 | Peer Median: | 0.50 |

Note: Based on FY 2017 Moody's calculations.

Peer Comparison – Leverage (Aa1)

- The University's metrics looks relatively similar when compared to medians for the Aa1 public university group which suggests that broader qualitative factors contribute to the University's Aaa rating.

| <i>Higher is Better</i> | | <i>Lower is Better</i> | | <i>Lower is Better</i> | |
|---------------------------------|-------------|---------------------------------|-------------|---------------------------------|-------------|
| SPENDABLE CASH & INV. TO DEBT | | TOTAL DEBT TO CASH FLOW | | DEBT TO OPERATING REVENUE | |
| Pennsylvania State University | 4.73 | Pennsylvania State University | 1.19 | Pennsylvania State University | 0.22 |
| University of Pittsburgh | 4.07 | University of Utah | 1.71 | University of Utah | 0.23 |
| University of Delaware | 3.20 | University System of Maryland | 2.25 | University System of Maryland | 0.24 |
| University of Minnesota | 2.55 | University of Pittsburgh | 2.67 | North Carolina State University | 0.37 |
| University System of Maryland | 2.48 | University of Delaware | 3.16 | State University of Iowa | 0.39 |
| University of Utah | 2.32 | North Carolina State University | 3.32 | University of Colorado | 0.40 |
| University of Colorado | 2.21 | Ohio State University | 3.34 | University of Minnesota | 0.42 |
| North Carolina State University | 2.19 | University of Colorado | 3.38 | University of Pittsburgh | 0.43 |
| Texas Tech University System | 2.02 | Texas Tech University System | 3.39 | Texas Tech University System | 0.45 |
| State University of Iowa | 1.98 | University of Missouri System | 3.49 | University of Nebraska | 0.46 |
| University of Nebraska | 1.98 | State University of Iowa | 3.53 | University of Missouri System | 0.48 |
| UNC Chapel Hill | 1.83 | University of Nebraska | 4.42 | Virginia Tech University | 0.48 |
| University of Missouri System | 1.73 | Virginia Tech University | 5.15 | UNC Chapel Hill | 0.48 |
| Virginia Tech University | 1.67 | University of Minnesota | 6.42 | University of Delaware | 0.49 |
| Ohio State University | 1.64 | UNC Chapel Hill | 7.80 | Ohio State University | 0.50 |
| Peer Median: | 2.20 | Peer Median: | 3.36 | Peer Median: | 0.42 |

Note: Based on FY 2017 Moody's calculations.

Peer Comparison – Liquidity (Aaa)

- The University has considerable wealth and is above peer group medians when compared to the size of its student population but below medians when compared to the size of its operations.

| <i>Higher is Better</i> | | <i>Higher is Better</i> | | <i>Higher is Better</i> | |
|-------------------------------|----------------|-----------------------------------|-------------|-----------------------------|------------|
| TOTAL CASH & INV. PER STUDENT | | SPENDABLE CASH & INV. TO OP. EXP. | | MONTHLY DAYS CASH ON HAND | |
| University of Virginia | 375,399 | University of Virginia | 2.41 | Purdue University | 400 |
| University of Michigan | 228,055 | Purdue University | 1.67 | Texas A&M University System | 358 |
| University of Texas System | 221,695 | Texas A&M University System | 1.63 | Indiana University | 210 |
| UNC Chapel Hill | 136,401 | University of Michigan | 1.42 | University of Michigan | 191 |
| Texas A&M University System | 116,752 | University of Texas System | 1.29 | University of Texas System | 182 |
| University of Washington | 94,110 | Indiana University | 0.92 | University of Virginia | 140 |
| Purdue University | 76,957 | UNC Chapel Hill | 0.88 | University of Washington | 105 |
| Indiana University | 50,092 | University of Washington | 0.70 | UNC Chapel Hill | 103 |
| Peer Median: | 126,576 | Peer Median: | 1.35 | Peer Median: | 186 |

Note: Based on FY 2017 Moody's calculations.

Peer Comparison – Liquidity (Aa1)

- The University's wealth metrics are stronger than peer group medians for the Aa1 category. Liquidity is low for the category but that is partially driven by the University's overall investment strategy for working capital.

| <i>Higher is Better</i> | | <i>Higher is Better</i> | | <i>Higher is Better</i> | |
|---------------------------------|---------------|-----------------------------------|-------------|---------------------------------|------------|
| TOTAL CASH & INV. PER STUDENT | | SPENDABLE CASH & INV. TO OP. EXP. | | MONTHLY DAYS CASH ON HAND | |
| University of Pittsburgh | 138,951 | University of Pittsburgh | 1.84 | University of Pittsburgh | 472 |
| UNC Chapel Hill | 136,401 | University of Delaware | 1.68 | Pennsylvania State University | 404 |
| State University of Iowa | 112,167 | Pennsylvania State University | 1.11 | University of Delaware | 297 |
| Ohio State University | 111,419 | University of Minnesota | 0.98 | Texas Tech University System | 263 |
| University of Utah | 107,031 | Texas Tech University System | 0.97 | University of Colorado | 227 |
| Pennsylvania State University | 89,185 | University of Nebraska | 0.93 | University of Missouri System | 209 |
| University of Delaware | 86,623 | UNC Chapel Hill | 0.88 | University System of Maryland | 183 |
| University of Minnesota | 81,821 | University of Missouri System | 0.87 | North Carolina State University | 181 |
| University of Nebraska | 77,369 | North Carolina State University | 0.85 | University of Minnesota | 179 |
| University of Colorado | 73,911 | Ohio State University | 0.84 | Ohio State University | 177 |
| University of Missouri System | 71,863 | University of Colorado | 0.82 | University of Nebraska | 173 |
| North Carolina State University | 59,889 | Virginia Tech University | 0.81 | State University of Iowa | 144 |
| Texas Tech University System | 52,194 | State University of Iowa | 0.79 | University of Utah | 134 |
| Virginia Tech University | 48,454 | University System of Maryland | 0.61 | Virginia Tech University | 130 |
| University System of Maryland | 29,631 | University of Utah | 0.57 | UNC Chapel Hill | 103 |
| Peer Median: | 79,595 | Peer Median: | 0.86 | Peer Median: | 182 |

Note: Based on FY 2017 Moody's calculations.

Peer Comparison – Operations (Aaa)

- While historically viewed as a credit strength, the University's operating performance has declined in recent years and is weaker than peer group medians for the Aaa rating category.

| <i>Higher is Better</i> | | <i>Lower is Better</i> | | <i>Higher is Better</i> | |
|-----------------------------|--------------|-----------------------------|-------------|-----------------------------|------------|
| OPERATING CASH FLOW MARGIN | | DEBT SERVICE TO OPERATIONS | | DEBT SERVICE COVERAGE | |
| University of Texas System | 15.9% | University of Michigan | 1.8% | University of Michigan | 6.9 |
| University of Virginia | 13.7% | University of Virginia | 3.3% | University of Texas System | 4.8 |
| Texas A&M University System | 13.4% | University of Texas System | 3.4% | University of Virginia | 4.3 |
| Purdue University | 12.9% | Indiana University | 3.4% | Purdue University | 3.8 |
| University of Michigan | 11.9% | Purdue University | 3.5% | Indiana University | 3.0 |
| Indiana University | 9.7% | University of Washington | 3.9% | Texas A&M University System | 1.8 |
| University of Washington | 6.9% | UNC Chapel Hill | 4.0% | University of Washington | 1.7 |
| UNC Chapel Hill | 6.2% | Texas A&M University System | 7.5% | UNC Chapel Hill | 1.6 |
| Peer Median: | 12.4% | Peer Median: | 3.5% | Peer Median: | 3.4 |

Note: Based on FY 2017 Moody's calculations.

Peer Comparison – Operations (Aa1)

- The University's operating performance is also considered weak in comparison to the Aa1 rating category as expense growth has outpaced revenue growth in recent years.

| <i>Higher is Better</i> | | <i>Lower is Better</i> | | <i>Higher is Better</i> | |
|---------------------------------|--------------|---------------------------------|-------------|---------------------------------|------------|
| OPERATING CASH FLOW MARGIN | | DEBT SERVICE TO OPERATIONS | | DEBT SERVICE COVERAGE | |
| Pennsylvania State University | 18.2% | Pennsylvania State University | 1.3% | Pennsylvania State University | 14.7 |
| University of Pittsburgh | 16.0% | University of Utah | 2.3% | University of Utah | 6.2 |
| University of Delaware | 15.6% | North Carolina State University | 2.6% | University of Pittsburgh | 6.0 |
| Ohio State University | 15.1% | University System of Maryland | 2.8% | Ohio State University | 4.8 |
| University of Missouri System | 13.7% | University of Pittsburgh | 2.8% | North Carolina State University | 4.5 |
| Texas Tech University System | 13.4% | State University of Iowa | 2.9% | University of Delaware | 4.4 |
| University of Utah | 13.3% | Ohio State University | 3.2% | Texas Tech University System | 4.0 |
| University of Colorado | 11.8% | University of Colorado | 3.3% | University of Missouri System | 4.0 |
| North Carolina State University | 11.3% | Virginia Tech University | 3.4% | University System of Maryland | 3.9 |
| State University of Iowa | 11.0% | Texas Tech University System | 3.5% | State University of Iowa | 3.8 |
| University System of Maryland | 10.6% | University of Missouri System | 3.6% | University of Colorado | 3.3 |
| University of Nebraska | 10.3% | University of Minnesota | 3.7% | Virginia Tech University | 2.8 |
| Virginia Tech University | 9.3% | University of Delaware | 3.8% | University of Nebraska | 2.6 |
| University of Minnesota | 6.5% | UNC Chapel Hill | 4.0% | UNC Chapel Hill | 1.6 |
| UNC Chapel Hill | 6.2% | University of Nebraska | 4.0% | University of Minnesota | 1.6 |
| Peer Median: | 12.6% | Peer Median: | 3.3% | Peer Median: | 4.0 |

Note: Based on FY 2017 Moody's calculations.

Closing Considerations

- The University's Aaa/AAA ratings are driven by qualitative factors such as academic reputation, superior student demand, sponsored research prowess, donor support, and support from the State of North Carolina (Aaa/AAA).
- Key metrics for debt capacity and affordability highlight that the University's operating revenue and expense base is large enough to support additional debt, but unrestricted resources and coverage ratios at current levels are less than medians for both Aaa and Aa1.
- As with other public universities, UNC is faced with moderate growth in operating revenues and rising operating costs.
- Bond ratings/capacity will be influenced by operating performance and leverage levels over the next several years.
- Prioritizing capital (debt) funding needs will be important over the next five years.

REPORT TO THE FINANCE,
INFRASTRUCTURE, AND
AUDIT COMMITTEE
OF THE
BOARD OF TRUSTEES

Internal Audit Department

University of North Carolina
at Chapel Hill

September 26, 2018

UNC - CHAPEL HILL
INTERNAL AUDIT DEPARTMENT
SUMMARY OF AUDIT ACTIVITIES AND PROJECTS COMPLETED AND IN PROCESS
APRIL 16, 2018 TO AUGUST 19, 2018

ANNOUNCEMENTS

Planning for the External Quality Assessment Review of the Internal Audit Department is progressing well. The three-member team will be on-site October 23 to 26, 2018 to conduct client and staff interviews and review additional documents. The team members are:

- James Sleezer, Team Leader.
- Sharon Kurek
- Kimberly F. Turner

Their biographies are included as part of this report.

The report from the review should be complete and ready for presentation at the November 2018 Board of Trustees meeting.

Nicole McCoy, Senior Auditor, left UNC-CH Internal Audit on August 3rd. Because of the short amount of time left before retirement of the current Chief Audit Officer (CAO), the position will be left vacant for the new CAO to fill.

COMPLETED PROJECTS

2018/19 Risk Assessment and Audit Plan – the process used to identify risk and governance concerns and accomplishments and develop an internal audit work plan for the year.

Units are selected for audit based on based on management's concerns and requests; initiatives in *Blueprint for Next*; and for individual units:

- The nature of information or activities managed;
- The potential impact of service failures or non-compliance with regulations or policy;
- Recent changes in management or operations; and
- The results of prior audits (uncorrected findings or no prior audits).

Nutrition Research Institute – a review of allegations of incorrect purchasing practices and use of requisitions when needed. We have expanded the scope of this review to include a wider range of fiscal activities at the Institute. The allegations were not substantiated but we did find opportunities for improving processes related to revenue and deposits, multiple purchases from one vendor, managing cash advances, and employee recognition plans.

Protein Expression and Purification Core – advisory project related to the Core's project management and billing practices. Initiated based on anonymous allegations. The allegations were not substantiated but we note opportunities for improvement to the Core's management of service center rates and billing and receivable practices.

UNC - CHAPEL HILL
INTERNAL AUDIT DEPARTMENT
SUMMARY OF AUDIT ACTIVITIES AND PROJECTS COMPLETED AND IN PROCESS
APRIL 16, 2018 TO AUGUST 19, 2018

Follow-up of Prior Internal Audit Findings – review and testing done to determine if management had corrected findings from past audit reports. Standard **2500 – Monitoring Progress** of the *International Standards for the Professional Practice of Internal Auditing* requires that “the chief audit executive must establish and maintain a system to monitor the disposition of results communicated to management.”:

| Original Report # | Report Title | # of Findings | Status of Findings |
|--------------------------|---|----------------------|---------------------------|
| #501 | Carolina Center for Educational Excellence | 1 | Corrected |
| #506 | School of Government - Development Finance Initiative | 4 | All corrected or resolved |
| #452.1 | Recharge Center Function - Institute of Marine Sciences * | 1 | Resolved |
| #474 | Cell Biology and Physiology * | 4 | Corrected |
| #496 | Special Review - School of Law – Travel * | 2 | All corrected |
| #X-331 | Potential Misuse - Biochemistry & Biophysics * | 2 | Resolved |
| #X-443.1 | Project Uplift * | 1 | Corrected |

* = scheduled as part of single project

IN PROCESS

Follow-up of Prior Internal Audit Findings – assessment of the status of the remaining group of prior audit findings. Scheduled as a single project.

Historically Under-utilized Business Program – a review of processes used to promote and encourage full and open competition, promote equal access to participate in the University’s construction projects and ensure compliance with policy reporting requirements related to this program.

The auditor who was in charge of the project left the University before it was completed. Completion of the project will out-sourced to an audit/consulting firm because of the technical nature of the audit area and limited resources in UNC-CH Internal Audit.

Governance – Trustee Orientation – an assessment of information and training provided to Board of Trustee members. The Board of Trustees is one of the cornerstones of the University’s governance structure. Audits of governance are required by *International Standards for the Professional Practice of Internal Auditing*. The project has been reassigned to another staff member to complete.

Sexuality Studies – a review of processing of donor gifts. Initiated based on anonymous allegations. Transaction review and analysis is complete and processes related to managing and allocating gifts have been review. The allegations were found to be without merit.

Pest Control Contract – review of procurement of pest control services. Initiated based on anonymous allegations.

UNC - CHAPEL HILL
INTERNAL AUDIT DEPARTMENT
SUMMARY OF AUDIT ACTIVITIES AND PROJECTS COMPLETED AND IN PROCESS
APRIL 16, 2018 TO AUGUST 19, 2018

ADVISORY PROJECTS

CERTIFI

Policy Liaisons

Integrity Advisors

HIPAA Security Liaisons

University Committee on the Protection of Personal Data

Privacy Liaisons

Enterprise Data Coordinating Committee

Search Committee - AVC Finance and Budget

PRIMA - Enterprise Risk Management

Jim Sleezer, Team Leader

Experience

Independent External Validator

Quality Assessment Reviews of Internal Audit Departments of Colleges & Universities - July 2013 – Present

Oklahoma State University/A&M System (Retired)

Manager, Quality Assurance and Improvement - 2014

Information Systems Specialist, Internal Audit Senior, Internal Audit Supervisor – 1995 to 2013

Technology Support Specialist – 1992 to 1995

Pennsylvania State University

Manager of Information Technology Support Systems – 1991 to 1992

Education

University of Minnesota - Carlson School of Management - Master of Business Administration
Field of Study Operations Management, Decision Support Systems

Missouri Valley College - Bachelor of Science - Human Relations

Sharon M. Kurek

Sharon is the Executive Director of Audit, Risk, and Compliance at Virginia Tech, serving as the university's chief audit executive for the university and reporting to the Compliance, Audit, and Risk Committee of the Virginia Tech Board of Visitors. In addition to leading the internal audit function, Sharon has institutional responsibility for facilitating and coordinating the university's enterprise risk management (ERM) and institutional compliance programs.

Sharon is an experienced leader with proven ability to promote a culture of accountability and ethical decision-making, facilitate identification and mitigation of risks to an acceptable level, and foster continuous improvement in the areas of risk management, internal control, efficiency, policy, and procedure. She strives to be a collaborative leader with an expectation of excellence and is dedicated to advancing the risk management, compliance, and auditing professions.

Prior to joining Virginia Tech in 2008, she worked at University of California, Berkeley, serving in a number of roles including Manager of Controls and Accountability, Senior Auditor, and Principal Auditor. She began her professional career at the Georgia Department of Audits conducting external audits of state entities, including colleges and universities for the State of Georgia.

Sharon received her MBA from Virginia Tech, bachelor's degree in business administration (accounting) from Mercer University, and is a Certified Public Accountant and Certified Fraud Examiner. She is a member of the American Institute of Certified Public Accountants, the Institute of Internal Auditors, the Association of Certified Fraud Examiners, and the University Risk Management and Insurance Association. She has actively volunteered with the Association of College and University Auditors since 2012 and is currently serving as its President.

Sharon's areas of expertise are:

- Audit & Fraud Awareness
- Accounting & Auditing Updates
- COSO
- Data Analytics
- Enterprise Risk Management
- Ethics
- Fraud Prevention and Detection
- IIA Standards
- Internal Controls
- Risk Assessment and Audit Planning
- Quality Assurance Reviews

Kimberly F. Turner

Kim Turner, who is a Certified Public Accountant, is the Chief Audit Executive for the Texas Tech University System. Kim leads a staff of 17 auditors in three cities with responsibility for the audit activities of the System, which includes four universities: Texas Tech University, Texas Tech University Health Sciences Center, Angelo State University, and Texas Tech University Health Sciences Center El Paso.

Kim served as President of the Association of College & University Auditors (ACUA), an international association of 600 higher education institutions from the United States, Canada, and abroad. She has served many roles within ACUA, including being an inaugural Executive Sponsor for ACUA Leads, ACUA's leadership development program. Kim was the recipient of ACUA's Excellence in Service award in 2011 for her work on ACUA's Risk Dictionary. She received ACUA's Outstanding Professional Contributions award in 2014.

Kim has a long history of service in professional and philanthropic organizations, including serving as a Board Member-at-Large on the state board of the Texas Society of CPAs (TSCPA). Kim is also one of only 38 Texas CPAs to be named a CPA Ambassador in a program jointly sponsored by TSCPA and the American Institute of CPAs. Closer to home, Kim has served as president or chair of numerous organizations, including South Plains Chapter of TSCPA, City of Lubbock Audit and Investment Committee, Lubbock Meals on Wheels, Texas Tech Federal Credit Union Supervisory Committee, and Lubbock Alumnae Chapter of Kappa Alpha Theta.

Kim has served on a number of peer review teams to assess the performance of internal audit functions both inside and outside of higher education. She also serves as a frequent speaker for ACUA, TSCPA, Society of Corporate Compliance and Ethics, Texas Association of College & University Auditors, and other groups on topics including audit leadership, governance, fraud, and ethics.

After graduating from Texas Tech University with bachelor's and master's degrees in accounting, Kim began her career in Ernst & Young's Dallas office. She has been an internal auditor since 1993, first with a regional restaurant chain, and then since 1997 at Texas Tech. Kim and her husband, Lane Turner, have three children.

UNC Internal Audit
Report to Finance, Infrastructure, and Audit Committee
UNC-CH Internal Audit
Key Performance Indicators
June 2018

| Indicator | May 2018 | June 2018 |
|---|----------|-----------|
| Staff with professional certifications – target 85% | 100% | 100% |
| Hours of continuing education received by staff members (excludes travel) | 694.8 | 944.3 |
| Participation in key university initiatives or serving on key committees -1- | 12 | 13 |
| Percentage of Internal Audit recommendations accepted by management – target of 95% | 100% | 100% |
| Number of engagements completed relative to the annual plan -2- | 5 of 16 | 7 of 16 |
| Number of engagements completed that were not included in the annual audit plan -3- | 11 | 11 |
| | | |
| | | |

-1- = Internal Control and Governance

Policy Liaisons

Integrity Advisors

Enterprise Data Coordinating Committee

General Data Protection Regulation (GDPR) Working Group *

HIPAA Security Liaisons

University Committee for the Protection of Personal Data (UCPPD)

Privacy Liaisons

AICPA Committee

Search Committee - Executive Director for Enterprise Financial Accounting *

Carolina Ethics Line Stakeholders *

Interviews - Associate Director, Office of Ethics Education and Policy Management *

Compliant Electronic Receipt Transactions through Innovation and Financial Integrity (CERTIFI)

-2- = In addition, we completed follow-up work for 26 of 38 previously issued audit reports. The follow-up project is a single item in the audit plan.

-3- = the audit plan has a single unit with a pool of hours for special projects and investigations. Individual projects are identified during the fiscal year.

* = new for 2017/18

**UNC Internal Audit
Use of Audit Resources
Summary of Audit Hours Used - FY 2018 as of 06/30/18**

| | <u>Budgeted</u> | <u>Actual</u> | <u>Variance (Over)/Under</u> | <u>Budgeted Percent</u> |
|--|------------------------|----------------------|---|------------------------------------|
| Total Hours Available -1- | 14,560 | 14,560 | n/a | |
| <u>Add:</u> Projected temporary staff | 600 | 257 | n/a | |
| <u>Less:</u> Vacancies -2- | <u>(3,120)</u> | <u>(3,200)</u> | n/a | |
| Adjusted hours available | 12,040 | 11,617 | | |
| <u>Less:</u> Leave | (1,904) | (2,121) | 217 | |
| <u>Less:</u> Continuing Education (includes travel) | (440) | (1,011) | 571 | |
| <u>Less:</u> Other Administrative Time -3- | <u>(1,258)</u> | <u>(1,245)</u> | (13) | |
| Total for Training, Leave, & Administrative | (3,602) | (4,376) | | 29.9% |
| Hours Available for Projects | 8,438 | | | |
| Routine Audits & Annual Projects | 3,860 | 3,514 | 346 | 32.1% |
| Audit Related -4- | 2,100 | 745 | 1,355 | 17.4% |
| Unplanned Projects and Advisory Work | <u>2,500</u> | <u>2,834</u> | (334) | 20.8% |
| Total Scheduled/Charged | 8,460 | 7,093 | | |
| Under/<Over> Scheduled | (22) | | | |

-1- = seven staff members at 2080 hours/year

-2- = one position projected to be filled by 12/31/17 (1,040 hours vacant); one position with insufficient funding to fill (2,080 hours)

-3- = training, audit committee activities, meetings, professional reading, etc.

-4- = consulting and advisory work, activities that improve audit operations.

UNC Internal Audit
Status of 2017/18 Audit Schedule as of 06/30/18

Planned Audits

Complete

Follow-up for OSA IT GC Audit

In Process - all carried-forward to 2018/19

Historically Under-utilized Business Program

Carolina Center for Educational Excellence - follow-up *addition*

Development Finance Initiative Follow-up *addition*

Follow-up for Prior Internal Audit Findings

Governance - Trustee Orientation

Chemistry - departmental audit

Energy Services Equipment

Deferred

Athletics Ticket Sales

Building Access

Clery Act Reporting

Annual Projects

Complete

2017/18 Risk Assessment and Audit Plan

2017 State Audit

Internal Quality Assessment Review

addition

2018/19 Risk Assessment and Audit Plan

SACS 2018

In Process - all carried-forward to 2018/19

addition

External Quality Assessment Review

addition

2018 State Audit

Not Needed

UNC Business Compliance Program 2018

Audit Related

Complete

Key Performance Indicators Reporting

On-going

Audit Manual

Time System

Office Systems

Audit Committee

Media Requests

Audit Process Improvement

Data Access and Reporting

Special Projects & Management Requests

Complete

UNC Internal Audit
Status of 2017/18 Audit Schedule as of 06/30/18

| | |
|---|-----------------|
| Radiology 2016 | |
| Carolina Center for Educational Excellence | |
| <i>School of Government</i> | <i>addition</i> |
| <i>Law - Center for Civil Rights</i> | <i>addition</i> |
| <i>School of Law - Teaching Loads</i> | <i>addition</i> |
| <i>Journalism - Fair Labor Standards Act</i> | <i>addition</i> |
| <i>Otolaryngology - OSA Assistance</i> | <i>addition</i> |
| <i>UNC Core</i> | <i>addition</i> |
| <i>SoM - Anesthesiology #2</i> | <i>addition</i> |
| <i>Lineberger Cancer Center Tissue Culture Facility</i> | <i>addition</i> |
| <i>Gastroenterology</i> | <i>addition</i> |
| <i>Endocrinology</i> | <i>addition</i> |

In Process

| | |
|------------------------------|-----------------|
| Nutrition Research Institute | |
| UNC Protein Core | <i>addition</i> |
| Sexuality Studies | <i>addition</i> |

Other Advisory Projects

CERTIFI
Internal Control and Governance Committee
Policy Liaisons
Compliance Strategy
Integrity Advisors
GDPR Working Group
HIPAA Security Liaison
University Committee on the Protection of Personal Data
ERP Meetings
People Soft
Privacy Liaison
Enterprise Data Coordinating Committee
AICPA Committee
Exec. Director for Enterprise Fin Acctg Search Com
PRIMA ERM
Other short-term advisory projects

UNC Internal Audit
Report to Finance, Infrastructure, and Audit Committee
UNC-CH Internal Audit
Key Performance Indicators
September 2018

| Indicator | Sept. 2018 |
|---|------------|
| Staff with professional certifications – target 85% | 100% |
| Hours of continuing education received by staff members (excludes travel) | 103.0 |
| Participation in key university initiatives or serving on key committees -1- | 10 |
| Percentage of Internal Audit recommendations accepted by management – target of 95% | 100% |
| Number of engagements completed relative to the annual plan -2- | 2 of 17 |
| Number of engagements completed that were not included in the annual audit plan -3- | 2 of 4 |
| | |
| | |

-1- = Policy Liaisons

Integrity Advisors
Carolina Ethics Line Stakeholders
Enterprise Data Coordinating Committee
HIPAA Security Liaisons
University Committee for the Protection of Personal Data (UCPPD)
Privacy Liaisons
Search Committee - AVC - Budget and Executive Director for Enterprise Financial Accounting *
Compliant Electronic Receipt Transactions through Innovation and Financial Integrity (CERTIFI)
PRIMA - Enterprise Risk Management *

-2- = We also completed follow-up work for findings in 4 of 12 audit reports issued prior to February 2017. The University-wide follow-up project is a single item in the audit plan.

-3 = the audit plan has a single unit with a pool of hours for special projects and investigations. Individual projects are identified during the fiscal year.

* = new for 2018/19

**UNC Internal Audit
Use of Audit Resources
Summary of Audit Hours Used - FY 2019 as of 8/19/18**

| | <u>Budgeted</u> | <u>Pro-Rated Budget -5-</u> | <u>Hours Charged</u> | <u>Variance (Over)/Under</u> | <u>Budgeted Percent</u> |
|--|------------------------|--|---------------------------------|---|------------------------------------|
| Total Hours Available -1- | 14,560 | n/a | n/a | n/a | |
| <u>Less:</u> Vacancies -2- | <u>(4,160)</u> | n/a | n/a | n/a | |
| Adjusted hours available | 10,400 | | | | |
| <u>Less:</u> Leave | (1,815) | 227 | 393 | (166) | |
| <u>Less:</u> Training and Other Admin. Time -3- | <u>(1,367)</u> | 171 | 583 | (412) | |
| Total for Training, Leave, & Administrative | (3,182) | (398) | 977 | | 30.6% |
| Hours Available for Projects | 7,218 | | | | |
| Routine Audits & Annual Projects | 2,755 | 344 | 569 | (224) | 26.5% |
| Audit Related -4- | 1,870 | 234 | 89 | 145 | 18.0% |
| Unplanned Projects and Advisory Work | <u>2,500</u> | <u>313</u> | <u>257</u> | 55 | 24.0% |
| Total Scheduled/Charged | 7,125 | 891 | 915 | | |
| Under/<Over> Scheduled | 93 | | | | |

-1- = seven staff members at 2080 hours/year

-2- = one position with insufficient funding to fill (2,080 hours); CAO position likely vacant from 01/01/19 to 03/31/19 - three months;
Advanced Auditor position vacant as of 08/03/18 - new CAO will fill

-3- = training, audit committee activities, meetings, professional reading, etc.

-4- = consulting and advisory work, activities that improve audit operations, external quality assessment review, office systems, data access and reporting

-5- = budget pro-rated for 1.5 months



• *the* CAMPAIGN *for* CAROLINA •

David S. Routh

Vice Chancellor for Development

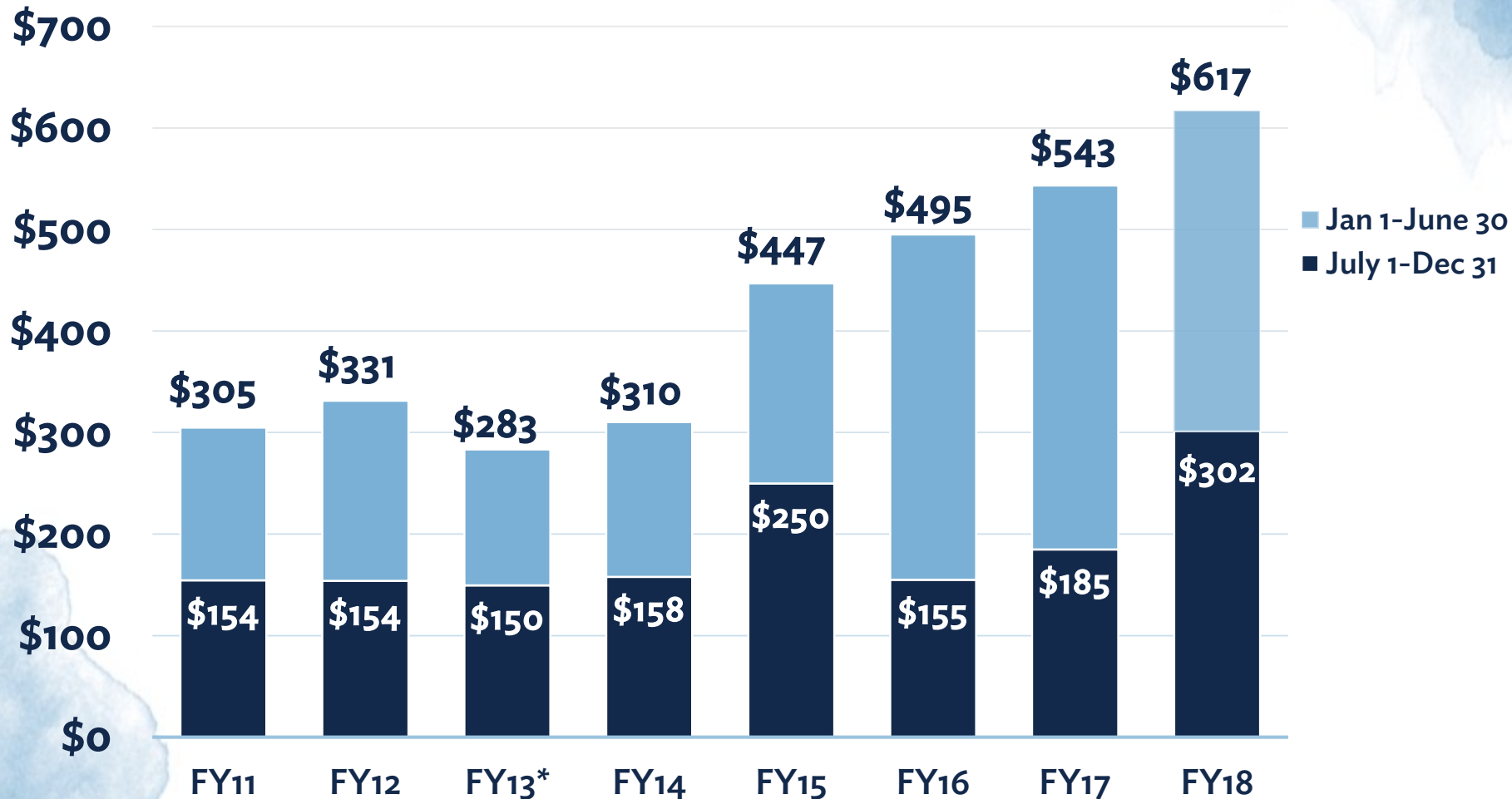
FY 2018: Best year ever

\$617,585,232



New Cash & Commitment Totals

Amounts in millions



*Carol Folt becomes
Chancellor, July FY13

• the CAMPAIGN for CAROLINA •

Campaign Dashboard

DATA AS OF June 30, 2018

\$2,225,848,215

TOTAL CAMPAIGN ACTIVITY

PERCENT TO
GOAL

52%

TIME
ELAPSED

45%

TOTAL
DONORS

157,896

ALUMNI
PARTICIPATION

25%

× Campaign Pillars

\$787M

THE NEW
GRADUATE

\$317M

THE 21ST C.
PROFESSORiate

\$1121M

A CULTURE
OF INNOVATION

\$704M

SIGNATURE
INITIATIVES

× Unit Progress

| | | Goal |
|-------|-----|--------|
| ACK | 30% | \$250M |
| ATHL | 47% | \$500M |
| CAS | 49% | \$750M |
| CCPS | 80% | \$6M |
| CPA | 66% | \$25M |
| DENT | 55% | \$50M |
| EDUC | 32% | \$35M |
| GOV | 29% | \$50M |
| GRAD | 53% | \$10M |
| IE | 36% | \$12M |
| KFBFS | 40% | \$400M |
| LAW | 47% | \$75M |
| LIB | 59% | \$50M |
| MCF | 57% | \$75M |
| MED | 54% | \$1B |
| MJ | 36% | \$75M |
| MPSC | 61% | \$20M |
| NCBG | 55% | \$30M |
| NRI | 67% | \$3M |
| NURS | 83% | \$22M |
| OSSA | 29% | \$400M |
| PHARM | 83% | \$175M |
| SAFF | 38% | \$15M |
| SILS | 71% | \$20M |
| SPH | 67% | \$200M |
| SSW | 29% | \$23M |

Acronyms key available online at:
<https://goo.gl/xh13ej>

Campaign-powered fundraising

FY18 fundraising. Top units by total commitments. Minimum \$10M in commitments.

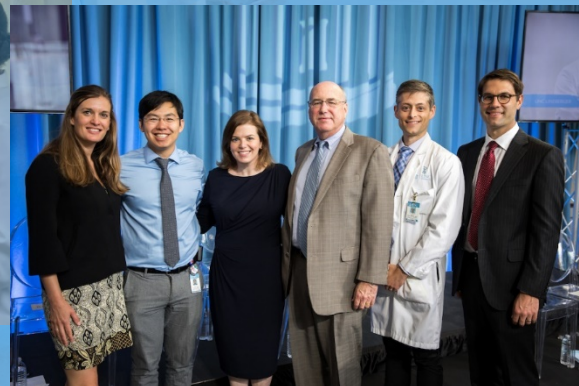
| School/Unit | FY18 Commitments | Change vs. FY17 |
|---|------------------|-----------------|
| UNC Medicine | \$149,640,910 | ↑ 29% |
| College of Arts & Sciences | \$122,927,680 | ↑ 35% |
| UNC Athletics | \$62,600,442 | ↓ 9% |
| Office of Scholarship & Student Aid | \$49,505,211 | ↑ 112% |
| Kenan-Flagler Business School | \$48,691,143 | ↑ 19% |
| Gillings School of Global Public Health | \$40,517,091 | ↑ 55% |
| School of Law | \$14,489,395 | ↑ 167% |
| School of Media and Journalism | \$12,861,177 | ↑ 393% |
| WUNC-FM | \$12,526,082 | ↑ 3% |
| Morehead-Cain Foundation | \$11,972,533 | ↑ 24% |
| UNC Global | \$11,154,400 | ↑ 1709% |

Campaign-powered fundraising

FY18 fundraising. Unit level increases of 100% or more. Minimum \$1M raised.

| School/Unit | FY18 Commitments | Change vs. FY17 |
|-------------------------------------|------------------|-----------------|
| Graduate School | \$2,324,266 | ↑ 234% |
| Institute for the Environment | \$1,870,581 | ↑ 103% |
| Office of Scholarship & Student Aid | \$49,505,212 | ↑ 112% |
| School of Dentistry | \$7,471,981 | ↑ 100% |
| School of Law | \$14,489,396 | ↑ 167% |
| School of Media and Journalism | \$12,861,178 | ↑ 393% |
| School of Social Work | \$2,006,158 | ↑ 146% |
| Student Affairs | \$2,257,782 | ↑ 176% |
| UNC Global | \$11,154,400 | ↑ 1709% |

Impact



Impact



Impact



Impact



Impact



**Red, White &
Carolina Blue
Challenge**

Impact




**Faculty
Excellence
Challenge**


• the CAMPAIGN for CAROLINA •

CAMPAIGN STEERING COMMITTEE

Leadership Phase Co-Chairs

John L.
Townsend III



Barbara Rosser
Hyde



Roger L.
Perry Sr.



Public Launch Phase Co-Chairs

W. Lowry
Caudill



John G. B.
Ellison Jr.



Julia Sprunt
Grumbles

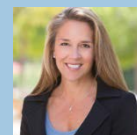


Closing Phase Co-Chairs

Vicki
Craver



Jen Halsey
Evans



Michael D.
Kennedy



C. Austin
Stephens



CHANCELLOR'S PHILANTHROPIC COUNCIL

Includes the Leadership Emeriti Committee

Strategy Committees

- Carolina Women's Campaign Cabinet
- Diversity Strategy Committee
- Global Leadership Council
- Next Generation Strategy Committee
- Real Estate Strategy Committee
- Carolina Pride Alumni Network
- Private Asset Gift Strategy Committee

Regional Networks

North Carolina

- Charlotte
- Central NC
- Eastern NC
- Western NC

Major Markets

- Atlanta
- Florida
- New York
- San Francisco
- Washington

School/Unit Committees

- Dedicated campaign committees
- Foundation boards
- Foundation development committees
- Advisory boards

CAMPAIGN STEERING COMMITTEE

Leadership Phase Co-Chairs

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Hyde



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Perry Sr.



Public Launch Phase Co-Chairs

W. Lowry
Caudill



John G. B.
Ellison Jr.



Julia Sprunt
Grumbles

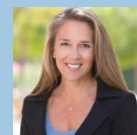


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CHANCELLOR'S PHILANTHROPIC COUNCIL

Includes the Leadership Emeriti Committee

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School/Unit Committees

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- Foundation boards
- Foundation development committees
- Advisory boards



• *the* CAMPAIGN *for* CAROLINA •

David S. Routh

Vice Chancellor for Development