THE UNIVERSITY of NORTH CAROLINA at CHAPEL HILL

BOARD OF TRUSTEES
UNIVERSITY AFFAIRS COMMITTEE
JANUARY 25, 2017, 1:00PM
HILL BALLROOM SOUTH/CENTRAL, CAROLINA INN

OPEN SESSION

FOR ACTION

1. Centers and Institutes Update (Attachment A)
   Jim Dean, Executive Vice Chancellor and Provost

FOR INFORMATION ONLY

(No formal action is requested at this time)

1. Faculty Recognition – Jodi Magness, Religious Studies
   Kevin Guskiewicz, Dean of the College of Arts and Sciences
2. Campus Introductions
   Rachelle Feldman, Associate Provost for Scholarships and Student Aid
   Gloria Thomas, Director, Carolina Women's Center
3. Student Affairs Update
   Winston Crisp, Vice Chancellor for Student Affairs
4. Chancellor's Update
   Chancellor Carol Folt

Some of the business to be conducted is authorized by the N.C. Open Meetings Law to be conducted in closed session.

COMMITTEE MEMBERS
Charles (Chuck) G. Duckett, Chair
William (Bill) Keyes IV, Vice Chair
Jefferson W. Brown
Kelly Matthews Hopkins
Hari H. Nath
Bradley C. Opere
Administrative Liaison:
Jim Dean, Executive Vice Chancellor and Provost

THE UNIVERSITY of NORTH CAROLINA at CHAPEL HILL

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MEMORANDUM

TO: Dwight Stone, Chair of the Board of Trustees of UNC Chapel Hill
Chuck Duckett, Chair of the University Affairs Committee, Board of Trustees of UNC Chapel Hill

FROM: James W. Dean, Jr., Executive Vice Chancellor and Provost

RE: Update on Centers and Institutes at UNC-CH

DATE: January 9, 2017

This update includes both a notification and action items concerning the establishment and discontinuation of centers and institutes at UNC Chapel Hill. Each item is listed below along with related policy information.

1. Establishing New Centers and Institutes
   Creating a new center or institute within the UNC system requires a two-step process involving first a request for authorization to plan and then a request for authorization to establish the new unit. Authorization to plan is granted by the Chancellor and Provost, with notification to the Board of Trustees. Authorization to establish the new center or institute is given by the Chancellor, the Provost, and the Board of Trustees.

   A. Notification of Authorization to Plan (Attachment)
      The Centers and Institutes Review Committee recently considered a request for authorization to plan the Center for Medication Optimization through Practice and Policy (CMOPP), a new interdisciplinary research center, submitted by Jon Easter, Professor of the Practice in the Practice Advancement and Clinical Education division of the Eshelman School of Pharmacy. As described in Dr. Easter's request, CMOPP's mission would be "to bring together healthcare stakeholders to create impactful real world research, generate evidence, disseminate best practices, and advance education that integrates medication optimization into value-based care delivery and payment models." Ultimately the goal of this center would be to create sound evidence that not only demonstrates the impact of medication optimization, but also aims to influence health policy, create new payment models, and scale care delivery innovations that will improve patient outcomes and lower the total cost of care in the United States. As stated in the attached proposal, this center would be supported by external funds and has the full support of Bob Blouin, the dean of the Eshelman School of Pharmacy. Moreover, the

Centers and Institutes Review Committee reviewed and endorsed this request. I write now to notify the Board of Trustees that Chancellor Folt and I have approved this request.

B. Recommendation for Authorization to Establish (Attachment)
Last Spring I notified the Board of Trustees that Chancellor Folt and I had approved a request for authorization to plan the Global Social Development Innovation (GSDI) center, a new interdisciplinary research center in the School of Social Work. As previously stated, GSDI would focus on investigation, documentation, and dissemination of knowledge related to international social development practices. It would also build capacity for both rigorous scientific inquiry and for training of the next generation of researchers and practitioners.

Associate Professor Gina Chowa, an award-winning faculty member whose groundbreaking work examines the impact of asset ownership on youth and families in developing countries, has been responsible for conceiving and planning GSDI. Professor Chowa recently submitted a request to the Centers and Institutes Review Committee for authorization to establish this center. As stated in the attached proposal, this would be supported by external funds and has the full support of the dean of the School of Social Work. The Committee has forwarded a recommendation for approval of Dr. Chowa's request for authorization to establish this center, which both the Chancellor and I have accepted. I am now forwarding this recommendation for your consideration.

2. Recommendation for Discontinuation of Centers and Institutes (Attachment)
According to policy, following a periodic review or a formal written request from the administrative officer to whom the center or institute reports, the Centers and Institutes Review Committee may recommend discontinuation of a center or institute to the Executive Vice Chancellor and Provost. If the Executive Vice Chancellor and Provost determines that discontinuation is warranted, he or she will ask the Chancellor and Board of Trustees to approve this action.

The Centers and Institutes Review Committee recently considered nine requests to discontinue or reclassify certain pan-university and school-based centers and institutes. These requests were initiated in response to either (1) changes in operational status or (2) recent revisions of UNC General Administration *Regulations on Planning, Establishing, and Reviewing Centers and Institutes in the University of North Carolina* (UNC Policy Manual section 400.5[R]) and UNC-CH *Policies and Procedures Governing Centers and Institutes*. These policies now provide more distinct definitions of the terms "center" and "institute" and make clear that their primary purpose is to facilitate cross-disciplinary or cross-unit collaboration.

Therefore, the Committee forwarded a recommendation that these nine units be discontinued or no longer classified as centers and institutes and that they be removed from the official list

of University centers and institutes. Both the Chancellor and I support this recommendation, I am now forwarding it for your consideration.

I look forward to discussing these notifications and recommended actions with you and your colleagues later this month.

Attachments: CMOPP Request for Authorization to Plan
GSDI Request for Authorization to Establish
Discontinuation/Reclassification Recommendation

Copy: Dwayne Pinkney, Senior Associate Vice Chancellor for Finance and Administration and Secretary of the University
Carol Tresolini, Vice Provost for Academic Initiatives and Chair, Center and Institutes Review Committee

August 31, 2016

Office of the Executive Vice Chancellor and Provost
104 South Building, CB 3000
University of North Carolina at Chapel Hill
Chapel Hill, NC 27599-3000

Subject: Authorization to Plan for a Center

Dear Vice Provost Tresolini,

As requested in the university policy on overseeing the creation of centers, the UNC Eshelman School of Pharmacy would like to respectfully submit this letter as an authorization to plan for the Center for Medication Optimization through Practice and Policy (CMOPP).

1. **Relevance of the proposed center to the mission of UNC-Chapel Hill and UNC system**

One of the challenges confronting the U.S. health care system is delivering high-value, effective therapies and clinical services that provide the best health outcomes. The Affordable Care Act (ACA) proposes numerous ways to extract greater value from the U.S. health care system framed around three core tenets of care: Quality, Access and Cost. The overriding emphasis and focus of payers and providers has been on controlling total health care spending and "Bending the Cost Curve" as ACA is being implemented. To achieve this objective, more efforts are being directed towards defining, delivering and measuring "Value" for patients, with "Value" being embedded as an integral part of health care and treatment decisions. The importance of medication management within population health is gaining traction based on the need to proactively manage and optimize medication use (especially for patients with chronic conditions) and quality metrics are being tied to reimbursement through public programs and commercial value-based contracts.

The transformation occurring in healthcare is opening up new opportunities to think and act in innovative and creative ways to bring about the change. These changes are affecting every category of stakeholder— the pharmaceutical industry, payers, health systems, health care providers, patients, policy-makers and others. Without question, stakeholders are realizing the need for a radically changed process by which value is identified, created and delivered. This shift to value provides significant opportunity for the profession of pharmacy to demonstrate the value of medications and medication optimization services as a significant contributor to emerging care based delivery and value based payment models.

2. **Mission, goals and objectives of the proposed unit and an explanation of why these cannot be met within existing university structures.**

The mission of the Center for Medication Optimization through Practice and Policy (CMOPP) at the UNC Eshelman School of Pharmacy is to bring together healthcare stakeholders to create impactful real world research, generate evidence, disseminate best practices, and advance education that integrates medication optimization into value-based care delivery and payment models. We are not aware of any other group or structure within the university system that is focused on improving healthcare delivery through the optimization of medications. The uniqueness of this center is that it fulfills the role as a neutral third party between academia, the private sector and the government to facilitate collaborative research that will produce real world evidence, and has the ability to inform health policy while educating our students.

The objectives of The Center for Medication Optimization through Practice and Policy (CMOPP) at the UNC Eshelman School of Pharmacy are to:

a. Influence positive Health Policy changes that integrates pharmacy services into value based payment and care delivery models.
b. Facilitate collaboration within the UNC Eshelman School of Pharmacy, as well as externally with diverse stakeholders, such as health systems, commercial payers, patient groups, and pharmacy organizations in order to build scalable, results-oriented, real world research projects.
c. Build a world-class 'applied, mission-driven' research capability that addresses the needs of payers and value purchasers, and then use that evidence to educate policymakers on evolving value based reimbursement models.
d. Integrate healthcare reform and practice innovation into the curricular transformation at the UNC Eshelman School of Pharmacy to prepare the next generation of practitioners.

3. Description of how the proposed unit differs from other centers, institutes, and units within UNC-Chapel Hill, the UNC system, and the state, and proposed relationships with them.

This center is different as it is focused on optimizing medications as a part of newly created care delivery and value-based payment models. These collaborative efforts will be directed towards raising awareness and helping implement rigorous evaluations, solutions, best practices, and policies toward improving patient health outcomes and health care delivery. One of the key objectives of policy shaping will be to help define, build, and champion new value-based payment models that account for value-added services aimed at optimizing medications. Although our focus differs from other centers and units, we envision working on research collaborations with the other entities within the university system, including the UNC Gillings School of Global Public Health, Health Policy and Management, School of Medicine, UNC Health Care, NC State Department of Industrial and Systems Engineering, and many others. As such, we will create a highly collaborative research program that will result in endorsement of new and innovative approaches of care delivery at the state and federal levels and encourage adoption by payers and providers across the state and the nation.

4. Description of the people and units involved.

The Center will be housed at the UNC Eshelman School of Pharmacy, within the Practice Advancement Clinical Education (PACE) division. The proposed organizational structure is as follows:

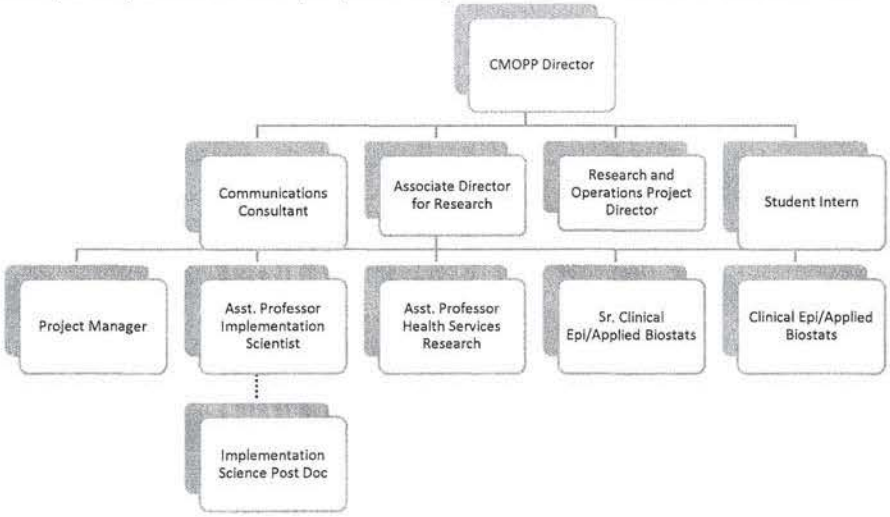


Table 1. Proposed job descriptions

Position	Key Responsibilities
Associate Director of Research	• Identify & secure grant funding • Manage multi-stakeholder research collaborations • Develop Implementation Science research capability • Develop Data Science research capability • Convert ideas into IP to accelerate innovation
Research and Operations Project Director	• Manage Center ops (budget, dissemination, symposia) • Manage Collaboration ops (ad board, fellows, meetings) • Research coordination; ensure planning & execution of disparate research projects (stakeholder coordination, best practices, dissemination)
Assistant Professor Implementation Scientist	• Secure grants & publish evidence on pharmacy practice effectiveness, identify environmental influencers • Identify intervention components, determine effectiveness, measure implementation outcomes
Implementation Science Post Doc	• Support implementation grants; field assessments; data assimilation & dissemination tools
Assistant Professor Health Services Research	• Develop adherence & population health models via research collaborations with payers, providers, vendors & life science industry • Secure grants & publish evidence on economic & clinical benefit of applied med optimization services
Clinical Epi/Applied Bio stats	• Applied data analytics supporting research projects (e.g. CMMI, ACCP) & research collaborations • Develop, test & implement prospective predictive analytic tools for research collaborations
Communications Consultant	• Develop CMOPP communication strategy (research dissemination, ad board, PR, social media, media relations, trade shows) • Support faculty grant writing activities
Student Intern	Assist Director on business plan and symposium planning

5. Estimated funding needed to initiate and sustain the proposed center for five years, including amounts of state, non-state, and in-kind support needed during that period

The Center director is a full time faculty member within the Eshelman School of Pharmacy. Startup funds will be provided by the school to cover staff and the operational budget. Additional funding includes a $3 million endowment, which will provide approximately $140,000 per year to fund student and post doc positions. Additional funds will be procured through grants and contracts over the first four years to create a path to self-sustainability.

6. Estimated space, facilities, and equipment needs and plans for meeting these needs.

Space was renovated within the UNC Eshelman School of Pharmacy to house the Center and provide an open, collaborative environment for research and faculty-student interaction. Year one of the endowment covered the cost of the renovation, and startup funds will cover basic A-V and computer equipment.

7. **If relevant, information about the inter-institutional nature of the proposed unit with regard to mission, leadership, activities, funding, or other aspects.**

The Center's mission and funding is driven by the UNC Eshelman School of Pharmacy. As stated above, the center will interact with others within the university system, including NC State and UNC Asheville to collaborate around medication optimization.

8. **Proposed timeline, milestones, and responsible parties for planning and establishing the center or institute. If a time-limited center is proposed, e.g., one established only for the duration of certain external funding, estimated "sunset" date.**

Table 2. Planning a Center timeline and milestones

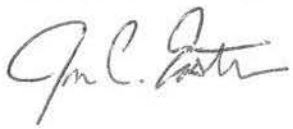
Milestone	Timeline	Responsible Party
Submit request to plan a center	3Q16	Center director
Submit application to establish a center	4Q16	Center director
Startup funds and hiring staff	2016-17	School Executive Vice Dean, CFO
Manage existing research	2016-18	Center director
Apply for additional grants and contracts	2017-20	Center director

Existing research that the Center will be responsible for in 2016-2018 includes:

- Transforming Primary Care Medical Practice through Comprehensive Medication Management (American College of Clinical Pharmacy award), which is a $2.4 million award to evaluate and replicate best practices in medication optimization in primary care
- Community Pharmacy Enhanced Services Network (CPESN), which is a $15 million Centers for Medicare and Medicaid Innovation (CMMI) Center award to Community Care of North Carolina (CCNC), where UNC Eshelman School of Pharmacy is a subcontractor, to transform payment and care delivery in the community pharmacy setting
- Eshelman Institute for Innovation, which is a $600,000 award to develop the technology platform to assimilate and disseminate best practices in medication optimization
- CMMI Transforming Primary Care Initiative, which is a $18 million award to CCNC to transform primary care practice that includes a medication optimization component (UNC Eshelman School of Pharmacy is a subcontractor)

Thank you for the opportunity to submit a request for authorization to plan a new center. We look forward to working with the Vice Provost for Academic Initiatives to answer any questions or further discuss the Center for Medication Optimization through Practice and Policy (CMOPP).

Respectfully submitted,



Jon Easter
Director, Center for Medication Optimization through Practice and Policy
Professor of the Practice
UNC Eshelman School of Pharmacy

UNC
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Dr. Carol Tresolini
Vice Provost for Academic Initiatives,
Centers and Institutes Review Committee
104 South Building, CB 3000
University of North Carolina at Chapel Hill
Chapel Hill, NC 27599-3000

December 7, 2016

Dear Dr. Tresolini,

Re: **Cover Letter to the proposal to establish Global Social Development Innovations as a center.**

In accordance with the policies and procedures governing centers and institutes at the University of North Carolina, Chapel Hill, I am writing you to submit a proposal to establish Global Social Development Innovations as a center. Please find enclosed the proposal, the five-year budget of the center, and a letter of support from the Dean of social work.

I look forward to hearing from you.

Yours Sincerely,

Gina Chowa, Ph.D, M.S.W.,

Associate Professor, UNC School of Social work

UNC
SCHOOL OF SOCIAL WORK

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GARY L. BOWEN, PHD, ACSW
Dean & Kenan Distinguished Professor

December 6, 2016

Dr. Carol Tresolini
Vice Provost for Academic Initiatives
Centers and Institutes Review Committee
104 South Building
Campus Box 3000

Re: Proposal to Establish Global Social Development Innovations at the School of Social Work

Dear Dr. Tresolini,

I am writing to support Associate Professor Gina Chowa's proposal to establish the Global Social Development Innovations (GSDI) Center. A team of faculty members led by Professor Chowa has established strong partnerships across the globe to conduct rigorous research to address social development problems. This team has demonstrated what the School of Social work at the University of North Carolina at Chapel Hill is nationally and globally known for conducting rigorous research to address social problems for the wellbeing of marginalized populations. The partnerships across the globe have yielded innovative projects funded by a range of foundations. However, these partnerships and collaborations have been informal, and I am excited that this research team with the leadership of Professor Chowa is seeking to formalize these relationships under the auspices of the proposed center. Permission to establish the center will not only allow the researchers to scale up their work globally but also will make it possible to seek funding and attract resources to do work of the magnitude that will make a difference in the wellbeing of a large number of people across the globe.

The mission of GSDI aligns well with UNC's vision of being a global leader in higher education. Units such as the proposed GSDI center will actualize UNC's vision. Social, economic, and health problems have solutions that can be developed locally but shared globally. GSDI is set to be a leader in providing a platform for incubating and birthing solutions that will change how policies are developed in resource limited countries. The vision of GSDI to "innovate, evaluate, disseminate" in collaboration with local partners across the globe to impact policies and to provide evidence to decision makers to inform their knowledge on issues that affect their citizens. Currently, all of GSDI's projects in the countries where they operate engage policy makers throughout the life cycle of the research projects to ensure that findings are disseminated

to key stakeholders to make informed decisions. This model will be important for countries where information is very limited.

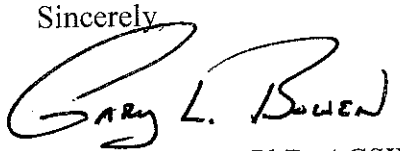
At the School of Social Work, the GSDI researchers have increased the School's global presence and are attracting globally oriented students to come and train under the tutelage of these global scholars. At UNC, GSDI is collaborating with scholars across the university to conduct research around the globe. The establishment of GSDI as a center will provide a space for students and scholars within and outside the school of social work to sharpen their global practice knowledge and skills. The center will provide a platform where junior faculty can be trained to do rigorous research and be mentored to become researchers who will in turn train other junior faculty. This space will provide an opportunity for an exchange of ideas, collaboration on research projects, and stakeholder engagement to address wicked problems that face marginalized and disenfranchised populations across the globe.

I am convinced that GSDI's establishment will be beneficial to UNC, the nation, and the globe. Therefore, I have organized an entire office suite with 10 rooms for GSDI students, staff, and faculty to occupy and work in close proximity. I have also supported the team to set up a communication system to communicate with partners globally and supervise students across the globe that choose to do their social work practicum outside the US. I am committed to supporting GSDI in this way as they grow as a center.

Professor Chowa will report to me as the Director of GSDI. She will submit an annual report of the center to me and I will meet with her annually to review the performance of the center and future plans. GSDI will have a Board of Advisors on which I will serve as an ex *officio* member. Both our Associate Dean for International Programs, Professor Jack Richman, and I will work with Dr. Chowa to ensure that the center is achieving its mission and goals.

I have no doubt in my mind that Professor Chowa and her team will grow GSDI to impact global challenges in collaboration with UNC and global scholars and practitioners. I look forward to seeing GSDI receive authorization and become fully operational. Please let me know if you have any questions.

Sincerely,



Gary L. Bowen, PhD, ACSW
Dean and Kenan Distinguished Professor

GLOBAL SOCIAL DEVELOPMENT INNOVATIONS

Application to Establish a Research Center at the University of North Carolina

Chowa, Gina Agnes

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1.1 INTRODUCTION

The aim of this proposal is to request permission to establish the Global Social Development Innovations (GSDI) Center at the School of Social Work at the University of North Carolina at Chapel Hill. The proposal to establish GSDI as a center is in compliance with the policies and procedures governing centers and institutes at UNC. The permission to plan this center was granted December 22, 2015, by Chancellor Carol Folt. Since then, the team of researchers has embarked on several activities toward making GSDI a research center. This proposal presents some of the activities that demonstrate that the researchers working to establish GSDI are ready to implement and grow the center to accomplish the proposed mission. These planning activities included signing memorandum of agreement with global partners, implementing research projects, hosting research symposia, engaging potential funders, disseminating our work, refining our mission and vision, securing physical space and equipment, and hiring staff. If granted permission to establish, GSDI plans to continue and expand its work in alignment with UNC's vision to be a global research university. Permission to establish the center will facilitate a platform for GSDI to accomplish our mission and vision to improve the well-being of marginalized and vulnerable populations globally.

1.2 NAME, MISSION, & GOALS

The name of the center shall be Global Social Development Innovations (GSDI) Center. This name builds on the background and expertise of GSDI's team, as well as members' passion and commitment to investigate social development practices designed to alleviate global poverty. We value real-world interventions, quality research, information dissemination, and the highest capacity of all our global partners to create well-being for all in the world.

GSDI's ***mission*** will be "to create innovative interventions, build knowledge, produce evidence, and, in collaboration with local stakeholders, impact policy toward the well-being of marginalized populations globally. Our mission is rooted in the values of economic justice for the poor, integrity, honesty, the importance of human relationships, competence, and the dignity and worth of the person."

We put a premium on our partnerships with global peer research institutions and development practitioners who collaborate with us on all of our projects, because we believe collaboration and participatory practices are critical to our success.

We use participatory methods to meaningfully engage with development implementers and all of our target populations. We believe participatory practices give voice to those traditionally unheard. We adhere to all regulatory requirements that ensure best practices and protect all of our clients.

GSDI uses its expertise and capacity to train the next generation of researchers and practitioners to build research capacity for rigorous scientific inquiry in social development practices. We also disseminate knowledge to inform policymakers, other development

practitioners, and non-governmental organizations to aid in the creation of sound policy to improve the well-being of vulnerable populations.

We prioritize the following in our approach:

Collaboration: GSDI works with researchers and implementing partners across the globe, leveraging local expertise to create collaborative and culturally relevant innovations.

Rigorous Research: GSDI's priority on rigorous research is demonstrated through its efforts to build knowledge, produce evidence, and impact policy that promotes the well-being of marginalized populations globally.

Knowledge Sharing: We train the next generation of scholars and practitioners globally to build research capacity. We generate content reservoirs because we believe data is a public good that should be used beyond those who initially collect it.

Our ***goals*** are to:

1. Create sustainable, social change through global initiatives that increase economic security for the world's most poor
2. Conduct innovative research that adapts and responds to the unique needs of the communities in which we work
3. Create a network of partners and partnerships that enable the exchange and sharing of knowledge and best practices

1.3 RATIONALE

GSDI will be a research unit with a focus on global research to collect evidence and impact policy on social development. We will be a semi-autonomous unit within the University, housed in the School of Social Work. GSDI will be distinguishable from other units or centers in the UNC system because it will focus on more than community exchange or the collection and analysis of data. GSDI will prioritize conceptualization, development, implementation, and evaluation of social interventions or programs couched in a framework that respects and incorporates local expertise in all its work. GSDI's core research will focus on economic security and its effects on economic, educational, psychosocial, health and social well-being.

We will work with researchers and doctoral students to train and prepare them for global research. GSDI will concentrate its efforts on becoming a knowledge hub that inspires greater scientific and practical understanding for enhancing resources and promoting well-being in resource-limited communities.

Although GSDI is a semi-autonomous unit, we will collaborate with other UNC units. Since GSDI's permission to plan, the team has engaged with various units across the University. GSDI has worked with faculty members at the School of Public Health (Drs. Carol Golin, Audrey Pettifor, and Harsha Thirumurthy) to mentor junior faculty in developing NIH research

proposals. We have discussed collaborations and plan to submit an R01/R34 proposal for Zambia with faculty members at UNC School of Medicine, Center for AIDS Research (Drs. Benjamin Chi, Jeffrey Stringer, Groesbeck Parham, and Lisa Hightow-Weidman). We are working with Carolina for Kibera (Kenya) on a youth workforce development project and a funded research internship in Kenya for a graduate student. Drs. Ashu Handa and Kavita Singh Ongechi from the Carolina Population Center have also proven to be invaluable collaborators. Dr. Ongechi currently works with doctoral students on our team. In addition to academic partnerships, GSDI is engaged with nonacademic units. We have hired the Renaissance Computing Institute (RENCI), UNC Creative, and UNC Information Technology Services to create GSDI's virtual data reservoir and online presence. For this reason, in collaboration with RENCI, we have created a data hub where data can be stored and accessed for sharing across the world.

Beyond UNC partnerships, we are engaged with global partners. Through GSDI's partnership, two memoranda of understanding (MOU) have been signed by the University and another MOU is under review. The MOU with the University of Johannesburg (South Africa) formalized our partnerships with the Centre for Social Development in Africa and the Department of Social Work in the Faculty of Humanities. GSDI researchers have worked with both units since 2008. As part of this partnership, we are conducting a national demonstration and intervention project, Siyakha Youth Assets— a project that has been ongoing since 2012. The MOU with the University of Pune in Ahmednagar (India) also formalized our partnership with the Centre for Studies in Rural Development (CSRD), Institute of Social Work and Research (ISWR). As part of this partnership, we are conducting a sanitation research project with Samagra in the urban slums of Pune and an adolescent health intervention with the Comprehensive Rural Health Project in Jamkhed.

In addition, GSDI researchers have conducted social intervention projects in Ghana, Kenya, Uganda, and Zambia. In Ghana, we worked with the Institute for Statistical, Social, and Economic Research at the University of Ghana. We conducted a nationwide youth financial capability intervention, YouthSave, in junior high schools. GSDI researchers collaborated with the Center for Social Development at Washington University in St. Louis and Save the Children (US) to implement YouthSave in Ghana. In Zambia, we worked with the Ministry of Health and the University of Zambia to conduct an asset development and social protection project, "Chuma na Uchizi," for people living with HIV in Eastern Province. In addition, we are working with Rising Fountains Development Program in Eastern Province to implement "Chuma cha Azimai," a livelihood and digital financial inclusion project for women and "Umwini," a skills-based project for adolescents living with HIV.

GSDI's fundraising agenda has included engagement with various private foundations, including the Michael and Susan Dell Foundation, MasterCard Foundation, Ford Foundation, MetLife Foundation, JP Morgan Chase Foundation, Bill and Melinda Gates Foundation, Citi Foundation, and Rockefeller Foundation. Our engagement with these funders is ongoing with potential for

funding in the near future. In collaboration with faculty at CFAR, we will also be applying for federal funding in the near future to build on the CFAR-funded project in Zambia.

The knowledge that we glean from working globally is applicable locally. We work with students to encourage them to think globally and act locally. GSDI focuses on social, economic, and health issues that transcend national boundaries and affect communities in North Carolina and beyond. GSDI researchers are currently training three graduate research assistants and one graduate practicum student. In addition, GSDI has reached out to globally-oriented undergraduate student organizations at UNC to encourage knowledge exchange pertaining to global practice. The faculty at GSDI are supporting globally-oriented graduate students at the School of Social Work through the International Caucus, a student-led organization.

1.4 GSDI's Work and Measuring Success

As members of GSDI, we will promote our center as an interdisciplinary gathering place for scholars and practitioners from around the world who are invested in serving the needs of marginalized populations. These scholars may be experts in social work, public health, economics, and other social and behavioral sciences. In addition, GSDI will conduct workshops to train researchers and young scholars in research skills development, including: conceptualizing an idea, designing interventions, collecting data, analyzing data, and disseminating evidence. This process will be couched in a framework that aims to promote well-being and influence policy. We will also host symposia to generate ideas and develop tools for the dissemination of knowledge. We recognize that our impact depends as much on providing a physical place around which interested parties might gather as it does in maintaining a virtual presence for those with limited resources, hence the website and data hub.

1.4.1 Documenting GSDI's Success

GSDI will document all the funding proposal submissions and projects by GSDI faculty and those submitted in collaboration with GSDI partners across the globe. GSDI will also document and record capacity-building workshops and training meetings with partners both locally and globally. GSDI will keep a database of all senior and junior faculty, graduate research assistants, and visiting scholars that are affiliated with GSDI and update the database annually. All publications, conferences, symposia, and seminars will be disseminated through the website and other mechanisms used by our partners. GSDI will document usage of the data hub and website. Finally, GSDI will produce an annual report to disseminate information summarizing all accomplishments including projects, financials, and the future outlook of the center.

1.4.2 Measuring GSDI's Success

GSDI will measure success using the following:

1. Number of proposals submitted
2. Number of projects successfully funded and implemented
3. Number of capacity building workshops and training meetings

4. Number of graduate research assistants trained through the center
5. Number of senior faculty attached to the center
6. Number of junior faculty mentored at the center
7. Number of publications, conferences, organized symposia, and hosted webinars
8. Traffic on data hub and how many partners have shared their databases with GSDI
9. Traffic on website
10. Number of visiting scholars and faculty affiliates

These measures will be used to assess the success of GSDI. Gaps in the previous year will be identified and measures will be taken to address the gaps in the following year.

1.5 Description of GSDI's Organizational Structure

Associate Professor Gina Chowa will serve as the inaugural director of GSDI and will oversee the work of scholars in six research cores: economic security, financial inclusion, social protection, workforce development, education, and health. (See Appendix A for details on the six research cores.) Dr. Chowa will report to the Dean of Social work. In addition, GSDI will have an advisory council consisting of thought leaders, funders, global practitioners, and ex-officio members from relevant UNC administrative units. (See Appendix B for a proposed composition of the GSDI advisory council.) Each research core will be led by a lead researcher who will report directly to Dr. Chowa for his or her center responsibilities but will still report directly to the Dean for their faculty responsibilities. (See Appendix C for GSDI's roles and responsibilities.) In addition, GSDI will have core faculty members who will be instrumental to the success of the mission and vision of GSDI. (See Appendix D for details of organizational chart.)

Support in the form of project management, coordination, and operations will be provided by the research project manager who will answer to Dr. Chowa. (See Appendix E for job description.) As the center grows, we will hire a statistician to assist in data analysis and publications and a project coordinator to provide additional support to the center.

GSDI has enlisted talented researchers from across the globe to be a part of its professional support network. GSDI will have both junior and senior level affiliates, which are determined based on academic seniority and the amount of time and effort an affiliate has invested in furthering GSDI's mission and goals. (See Appendix F for detailed descriptions of affiliate roles.) As the community of scholars engaged with GSDI grows, the center will evolve to accommodate the community's research interests and to support the range of projects represented. We envision considerable interactivity with scholars from the Gillings School of Global Public Health.

GSDI will hire master's level research assistants who will report directly to the research project manager. A project coordinator will be hired to support the research project manager and assist in managing research assistants and other daily project activities. GSDI faculty have been

supervising masters of social work students for their concentration practicum and [1]GSDI will be an approved site for the same purposes. Doctoral students will be affiliated with the center and trained by core GSDI faculty.

In addition, Dean Gary Bowen at the School of Social Work has organized a space, for faculty and staff working with GSDI to work in close proximity. GSDI researchers, staff and students occupy a suite of 10 offices and an open space on the fourth floor of the School of Social Work. (See Appendix G for photos of the office space.) This enables us to continue working from the Tate-Turner-Kuralt Building in the early years of the center. If we receive authorization from the Centers and Institutes Review Committee to be a center, we will continue to operate from this location. GSDI researchers have purchased computers and computing software for our global projects. We have also secured a state-of-the-art communication system that allows us to host real-time virtual meetings with partners across the globe.

1.6 Funding for the Center

Work and operations at the center will be totally supported using external dollars.

1.7 Statement to Accept Requirements of 5-year Periodic Review of the Center

GSDI agrees to comply with all stipulations in the policies and procedures governing centers at the University of North Carolina at Chapel Hill, including the 5-year periodic review of GSDI.

1.8 Conclusion

This proposal has outlined the activities that researchers at GSDI have pursued since obtaining the permission to plan. Our recent accomplishments demonstrate our readiness to operate as a research center and promote our mission and vision moving forward. Having the status of a center will allow us to grow our work, obtain larger grants, prepare more students and scholars for engaged practice, and expand the global reach of the School and the University. In the next one to five years, we plan to formalize new partnerships in new project countries, including Bangladesh, Botswana, the Philippines, and the Francophone countries in West Africa. We also intend to expand research partnerships in current project countries, including India, South Africa, and Zambia. GSDI will solidify approved practicum sites in India, Kenya, and South Africa for graduate students. GSDI will continue to seek support focusing on international and private sources to fund its operating expenses. These planned activities will help fulfill GSDI's ultimate goal to improve the well-being of the world's most marginalized populations. GSDI's success will advance UNC's mission to be a global leader in higher education. Moreover, GSDI is prepared to be a leader in providing solutions that effectively address wicked problems in North Carolina and beyond.

[1] The space is the in-kind support that School of Social work will contribute to GSDI

1.9 APPENDICES

1.9.1 Appendix A: Research Cores

GSDI will have six core research areas, which are:

1. **Economic Security**: Economic security promotes well-being by enhancing the capacity of poor people to accumulate resources. Economic instability jeopardizes the ability of individuals, households, and communities to meet their basic needs, including adequate housing, healthcare, and financial security.

2. **Financial Inclusion**: Financial inclusion provides access to strategies and creates capabilities that can facilitate the accumulation of assets toward long-term family economic stability. Financial inclusion ensures that individuals and families have access to affordable, safe, and easy-to-use financial products and platforms or services, toward robust mechanisms for asset accumulation.

3. **Social Protection:** Social protection enhances the ability of individuals and families to manage economic and social vulnerabilities and risks. Lack of social safety nets exacerbates adverse impact of economic shocks.

4. **Workforce Development:** Workforce development enhances employability and increases access to employment using approaches that address the underlying causes of unemployment, including lack of skills, higher education, and mismatch of skills and available jobs.

5. **Health**: People living with communicable diseases as well as non-communicable diseases are more likely to suffer economic shocks due to their health conditions, including loss or reduction in income. When working-age adults become ill, households lose income from reduced labor productivity or work attendance, which in turn, constrains the flow of economic resources to the household.

6. **Education**: Education increases long-term economic mobility and improves livelihood. When youth fail to transition to higher (post-secondary) education due to lack of economic resources, economic insecurity is perpetuated.

1.9.2 Appendix B: Composition of Advisory Council

The GSDI advisory council serves to support the center's mission and vision.

Potential Council Composition:

- UNC Faculty Member at SSW
- UNC Faculty Member outside SSW
- Funder
- Thought leader: practitioner & scholar (2)
- INGO worker
- UNC Global Development Office
- Accountant/Lawyer/Business

Ex Officio Participants

The GSDI Ex Officio participants serve to support, protect, and justify the center's actions as needed and are present at council meetings.

Participants may include:

- School of Social Work Dean
- Associate Dean for International Programs
- Associate Dean for Research

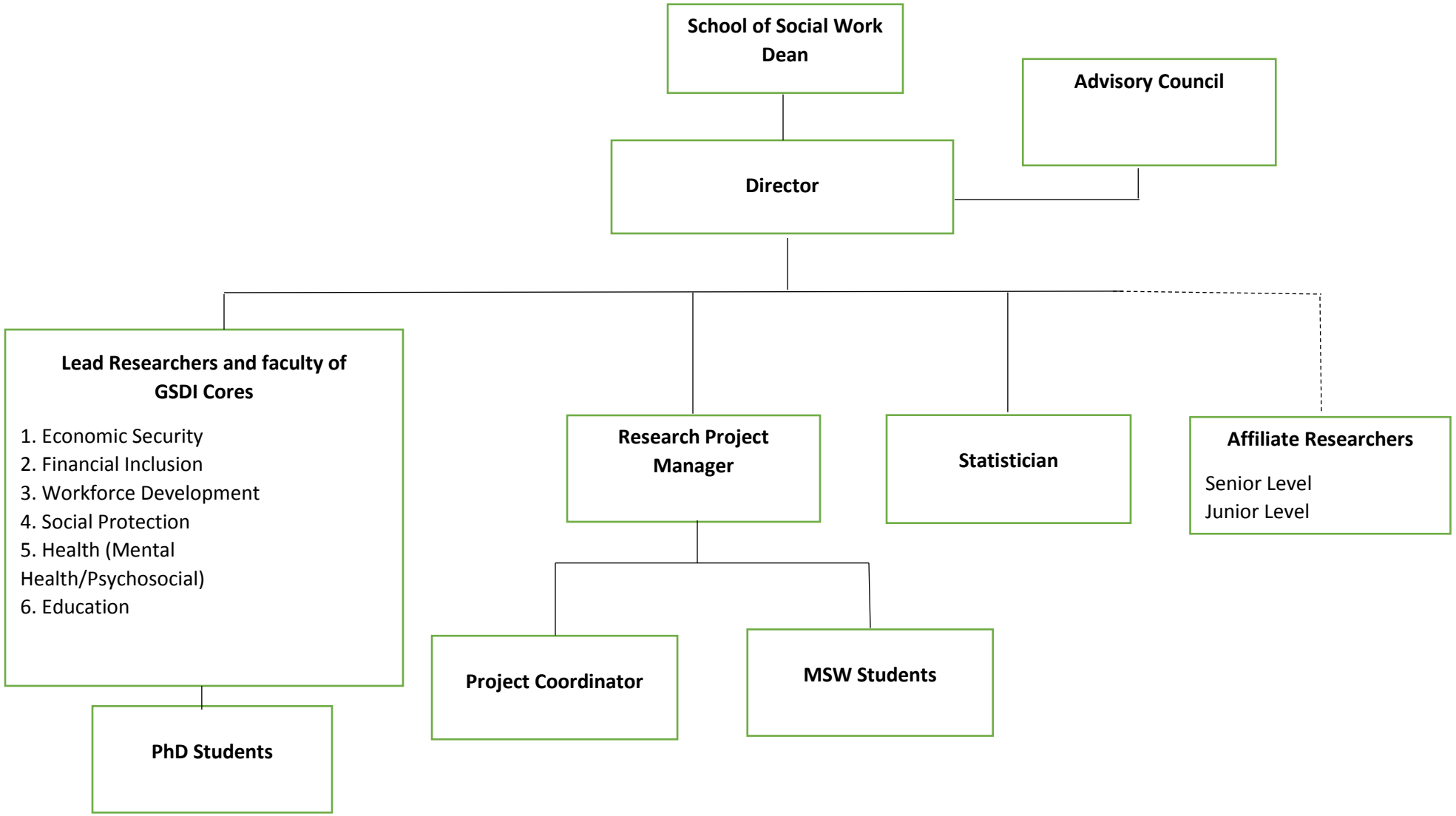
1.9.3 Appendix C: GSDI Roles and Responsibilities

Lead Researcher

GSDI has assembled a core team of researchers to lead its cause. A lead researcher will be charged with the following:

- Publish in the lead researcher's primary core research area and collaborate with other researchers in the center to publish in other core research areas.
- Be a thought leader in the lead researcher's primary core and promote the agenda for the core within and outside the center
- Present at conferences under GSDI's name
- Initiate proposals related to the core areas
- Innovate with new research proposals and concepts for funding
- Bring in funding through the center to support the operations of GSDI
- Identify as GSDI to help build the image of the center
- Attend center meetings, including planning, advisory council meetings, budgeting meetings

1.9.4 Appendix D: GSDI Organizational Chart



1.9.5 Appendix: E Research Project Manager Job Description

Position Description

Responsibilities of this position include comprehensive management of multiple research projects for investigator-initiated and other research studies in coordination with the Global Social Development Innovations (GSDI) Center. The incumbent will oversee planning, implementation and tracking of all research projects and assist in acquiring additional research grants as required. The candidate will also coordinate and manage relations with partners, funders and other stakeholders; developing contracts, budgets and scopes of work. The position will require the hiring and management of research assistants as necessary according to GSDI project needs. The incumbent will report to Dr. Gina Chowa, the director of GSDI. The incumbent will plan, develop, implement, monitor and evaluate projects.

This is a full time (1.0 FTE) position in the non-faculty track and is dependent on grant funding.

Principal Functions: Percentage Effort Description

Plan projects – 25% effort

- Defines scope of projects in collaboration with the research team
- Creates detailed work plans that identify and arrange project activities
- Develops project contracts, budgets, and scopes of work in coordination with the research team, local and international partners, (which includes field visits)
- Analyzes potential risk and benefits of new projects and makes recommendations to the research team
- Determines resources required to complete projects and develops budget justifications
- Attends meetings with key stakeholders (in addition to local researchers/academic institutions and CBOs)
- Develops grant proposals and budgets in coordination with principal investigator
- Reviews and manages project schedules

Implementation of projects – 25% effort

- Executes project plans and manages daily operations to ensure project is on schedule
- Develops implementation protocols in collaboration with the principal investigators
- Manages implementation protocols and other records to track project activities
- Ensures timely submission, approval and renewal of IRB applications from appropriate ethics review board
- Tracks the progress of projects and makes adjustments as necessary to ensure their successful completion
- Establishes communication schedules with all partners in all projects
- Reviews quality of work completed by project team to ensure it meets project standards and fulfills administrative agreements
- Manages, trains, and supervises research assistants and participates in the hiring process of additional staff according to GSDI project needs

Control of Projects – 25% effort

- Monitors all phases of project work including planning, organizing and implementing projects
- Writes and distributes status reports to funders and partners
- Communicates with funders as outlined in funding agreements
- Develops and approves budgeted project expenditures
- Monitors cash flow projections and reports actual cash flow
- Manages project funds according to established accounting policies and procedures
- Coordinates with accounting technician to ensure all financial records for project are up to date

Evaluate projects – 25% effort

- Tracks project deliverables, ensuring they are on time, within budget and at the required level of quality
- Evaluates project outcomes, analyzes and reports to partners as established during planning phases
- Participates in annual or bi-annual center planning and evaluation of GSDI's vision and mission fulfillment

Education Requirements: Master's degree in social work and public health, international development, public administration, or related field

Preferred Qualifications & Experience

Ability to manage GSDI project work and the efforts of the center, which include implementing and tracking projects, budgets, data management and developing tools in coordination with project needs; Ability to prepare, publish and distribute project reports and updates; 2 to 5 years work experience in resource-limited settings required; excellent writing and management skills required; academic research background is preferred; prior experience working in or managing relationships with community-based organizations in global and resource-limited settings is strongly preferred; project management in a global setting and knowledge of current global social, economic and health issues and their impact on the well-being of individuals, families, and communities is preferred; interest and experience in project work related to economic security and health of vulnerable and marginalized populations in global contexts strongly preferred. Working with and managing a diverse team is essential to this position. Candidate must possess excellent communication and interpersonal skills.

1.9.6 Appendix F: Senior and Junior GSDI Affiliates

GSDI has enlisted talented researchers from across the globe to be a part of its professional support network. There are both junior and senior level affiliates, which are determined based on academic seniority and the amount of time and effort an affiliate has invested in furthering GSDI's cause.

Affiliates contribute to the center by:

- Providing leadership in substantive areas that are aligned with the affiliate's research interests
- Collaborating with GSDI on research activities to advance its vision.
- Facilitating the growth of GSDI's external image as ambassadors beyond the center
- Sharing funding opportunities that are relevant to the center's core research areas
- Attending center activities based on research needs

1.9.7 Appendix G: Images of the GSDI Office Space

Innovate. Evaluate. Disseminate.
UNC
SCHOOL OF SOCIAL WORK

Global Social Development Innovations Center
TOTAL PROJECT PERIOD: January 1 2016 to Dec 31 2020 PI: Gina Chowa
Start Date: 01/01/2016

	Year 1	Year 2	Year 3	Year 4	Year 5	TOTAL	Foundations
PERSONNEL							
Salary	355,550	362,659	369,911	377,310	384,856	1,850,286	Foundations
Fringes	101,218	102,684	104,184	105,709	107,268	521,063	Foundations
TOTAL PERSONNEL	456,768	465,343	474,095	483,019	492,124	2,371,349	
						-	
CONSULTANTS	5,000	5,000	5,000	5,000	5,000	25,000	Foundations
						-	
EQUIPMENT	-	-	-	-	-	-	
						-	
TRAVEL	100,000	100,000	100,000	100,000	100,000	500,000	Foundations
						-	
SUPPLIES	9,000	9,000	9,000	9,000	9,000	45,000	Foundations
						-	
OTHER EXPENSES	29,586	29,586	29,586	29,586	29,586	147,930	Foundations
						-	
TOTAL DIRECT COSTS	600,354	608,929	617,681	626,605	635,710	3,089,279	

NOTE:The space is the in-kind support that School of Social work will contribute to GSDI

Global Social Development Innovations Center **PI: Gina Chowa**
TOTAL PROJECT PERIOD: January 1 2016 to Dec 31 2020 **Budget Period** **Year 1**

PERSONNEL	ROLE IN PROJECT	TYPE	EFFORT	SALARY	FRINGES	TOTAL	
Gina Chowa	Principal Investigator	9	50%	51,786	14,680	66,466	4.50
Gina Chowa	Principal Investigator	3	100%	32,057	7,336	39,393	3.00
Gina Chowa	PI Supplement	9	15%	15,536	3,555	19,091	0.00
Gina Chowa	PI Supplement	3	15%	4,809	1,100	5,909	0.00
Rain Masa	Co-I	9	33%	26,121	7,845	33,966	2.97
Rain Masa	Co-I	3	100%	26,385	6,038	32,423	3.00
TBN	Co-I	9	33%	26,955	8,036	34,991	2.97
TBN	Co-I	3	100%	27,227	6,230	33,457	3.00
Sara Harwood Mitra	Project Coord	12	100%	55,000	18,245	73,245	12.00
TBN	PhD Student	9	100%	18,500	4,213	22,713	9.00
TBN	PhD Student	3	100%	6,167	1,404	7,571	3.00
TBN	PhD Student	9	100%	18,500	4,213	22,713	9.00
TBN	PhD Student	3	100%	6,167	1,404	7,571	3.00
TBN	MSW Student	9	100%	3,920	2,902	6,822	9.00
TBN	MSW Student	3	0%	0	0	0	0.00
TBN	MSW Student	9	100%	3,920	2,902	6,822	9.00
TBN	MSW Student	3	0%	0	0	0	0.00
TBN	Contract Specialist	12	40.0%	20,000	6,840	26,840	4.80
TBN	Computer Personnel	12	25.0%	12,500	4,275	16,775	3.00
PERSONNEL				**355,550**	**101,218**	**456,768**	
CONSULTANTS							
Total Consultants					5,000	**5,000**	
EQUIPMENT							
Total Equipment						**0**	
TRAVEL							
International & Local travel for 3 Pis					50,000		
Hotel for 3 Pis					30,000		
Ground Transport and food					20,000		
Total Travel						**100,000**	
SUPPLIES							
Data collection supplies					6,000		
Computers					3,000		
Statistical software					10,000		
Total Supplies						**9,000**	
OTHER EXPENSES							
PhD tuition					17,386		
Communication					2,000		
Publication costs					10,200		
Total Other Expenses						**29,586**	
TOTAL Direct COSTS						**600,354**	

NOTE:The space is the in-kind support that School of Social work will contribute to GSDI

Global Social Development Innovations Center **PI: Gina Chowa**

TOTAL PROJECT PERIOD: January 1 2016 to Dec 31 2020 **Budget Period** **Year 2**

PERSONNEL	ROLE IN PROJECT	TYPE	EFFORT	SALARY	FRINGES	TOTAL	
Gina Chowa	Principal Investigator	9	50%	52,821	14,917	67,738	4.50
Gina Chowa	Principal Investigator	3	100%	32,698	7,482	40,180	3.00
Gina Chowa	PI Supplement	9	15%	15,846	3,626	19,472	0.00
Gina Chowa	PI Supplement	3	15%	4,905	1,122	6,027	0.00
Rain Masa	Co-I	9	33%	26,644	7,964	34,608	2.97
Rain Masa	Co-I	3	100%	26,913	6,159	33,072	3.00
TBN	Co-I	9	33%	27,494	8,159	35,653	2.97
TBN	Co-I	3	100%	27,772	6,355	34,127	3.00
Sara Harwood Mitra	Project Coord	12	100%	56,100	18,496	74,596	12.00
TBN	PhD Student	9	100%	18,870	4,246	23,116	9.00
TBN	PhD Student	3	100%	6,290	1,415	7,705	3.00
TBN	PhD Student	9	100%	18,870	4,246	23,116	9.00
TBN	PhD Student	3	100%	6,290	1,415	7,705	3.00
TBN	MSW Student	9	100%	3,998	2,909	6,907	9.00
TBN	MSW Student	3	0%	0	0	0	0.00
TBN	MSW Student	9	100%	3,998	2,909	6,907	9.00
TBN	MSW Student	3	0%	0	0	0	0.00
TBN	Contract Specialist	12	40.0%	20,400	6,932	27,332	4.80
TBN	Computer Personnel	12	25.0%	12,750	4,332	17,082	3.00
PERSONNEL				**362,659**	**102,684**	**465,343**	
CONSULTANTS							
Total Consultants					5,000	**5,000**	
EQUIPMENT							
Total Equipment						**0**	
TRAVEL							
International & Local travel for 3 Pis					50,000		
Hotel for 3 Pis					30,000		
Ground Transport and food					20,000		
Total Travel						**100,000**	
SUPPLIES							
Data collection supplies					6,000		
Computers					3,000		
Statistical software					10,000		
Total Supplies						**9,000**	
OTHER EXPENSES							
PhD tuition					17,386		
Communication					2,000		
Publication costs					10,200		
Total Other Expenses						**29,586**	
TOTAL Direct COSTS						**608,929**	

NOTE:The space is the in-kind support that School of Social work will contribute to GSDI

Global Social Development Innovations Center **PI: Gina Chowa**

TOTAL PROJECT PERIOD: January 1 2016 to Dec 31 2020 **Budget Period** **Year 3**

PERSONNEL	ROLE IN PROJECT	TYPE	EFFORT	SALARY	FRINGES	TOTAL	
Gina Chowa	Principal Investigator	9	50%	53,878	15,158	69,036	4.50
Gina Chowa	Principal Investigator	3	100%	33,352	7,632	40,984	3.00
Gina Chowa	PI Supplement	9	15%	16,163	3,699	19,862	0.00
Gina Chowa	PI Supplement	3	15%	5,003	1,145	6,148	0.00
Rain Masa	Co-I	9	33%	27,176	8,086	35,262	2.97
Rain Masa	Co-I	3	100%	27,451	6,282	33,733	3.00
TBN	Co-I	9	33%	28,044	8,285	36,329	2.97
TBN	Co-I	3	100%	28,327	6,482	34,809	3.00
Sara Harwood Mitra	Project Coord	12	100%	57,222	18,753	75,975	12.00
TBN	PhD Student	9	100%	19,247	4,280	23,527	9.00
TBN	PhD Student	3	100%	6,416	1,427	7,843	3.00
TBN	PhD Student	9	100%	19,247	4,280	23,527	9.00
TBN	PhD Student	3	100%	6,416	1,427	7,843	3.00
TBN	MSW Student	9	100%	4,078	2,916	6,994	9.00
TBN	MSW Student	3	0%	0	0	0	0.00
TBN	MSW Student	9	100%	4,078	2,916	6,994	9.00
TBN	MSW Student	3	0%	0	0	0	0.00
TBN	Contract Specialist	12	40.0%	20,808	7,025	27,833	4.80
TBN	Computer Personnel	12	25.0%	13,005	4,391	17,396	3.00
PERSONNEL				**369,911**	**104,184**	**474,095**	
CONSULTANTS							
Total Consultants					5,000	**5,000**	
EQUIPMENT							
Total Equipment						**0**	
TRAVEL							
International & Local travel for 3 Pis					50,000		
Hotel for 3 Pis					30,000		
Ground Transport and food					20,000		
Total Travel						**100,000**	
SUPPLIES							
Data collection supplies					6,000		
Computers					3,000		
Statistical software					10,000		
Total Supplies						**9,000**	
OTHER EXPENSES							
PhD tuition					17,386		
Communication					2,000		
Publication costs					10,200		
Total Other Expenses						**29,586**	
TOTAL Direct COSTS						**617,681**	

NOTE:The space is the in-kind support that School of Social work will contribute to GSDI

Global Social Development Innovations Center **PI: Gina Chowa**

TOTAL PROJECT PERIOD: January 1 2016 to Dec 31 2020 **Budget Period** **Year 4**

PERSONNEL	ROLE IN PROJECT	TYPE	EFFORT	SALARY	FRINGES	TOTAL	
Gina Chowa	Principal Investigator	9	50%	54,955	15,405	70,360	4.50
Gina Chowa	Principal Investigator	3	100%	34,019	7,785	41,804	3.00
Gina Chowa	PI Supplement	9	15%	16,487	3,773	20,260	0.00
Gina Chowa	PI Supplement	3	15%	5,103	1,168	6,271	0.00
Rain Masa	Co-I	9	33%	27,720	8,211	35,931	2.97
Rain Masa	Co-I	3	100%	28,000	6,407	34,407	3.00
TBN	Co-I	9	33%	28,605	8,413	37,018	2.97
TBN	Co-I	3	100%	28,894	6,612	35,506	3.00
Sara Harwood Mitra	Project Coord	12	100%	58,366	19,015	77,381	12.00
TBN	PhD Student	9	100%	19,632	4,314	23,946	9.00
TBN	PhD Student	3	100%	6,544	1,438	7,982	3.00
TBN	PhD Student	9	100%	19,632	4,314	23,946	9.00
TBN	PhD Student	3	100%	6,544	1,438	7,982	3.00
TBN	MSW Student	9	100%	4,160	2,923	7,083	9.00
TBN	MSW Student	3	0%	0	0	0	0.00
TBN	MSW Student	9	100%	4,160	2,923	7,083	9.00
TBN	MSW Student	3	0%	0	0	0	0.00
TBN	Contract Specialist	12	40.0%	21,224	7,120	28,344	4.80
TBN	Computer Personnel	12	25.0%	13,265	4,450	17,715	3.00
PERSONNEL				**377,310**	**105,709**	**483,019**	
CONSULTANTS							
Total Consultants					5,000	**5,000**	
EQUIPMENT							
Total Equipment						**0**	
TRAVEL							
International & Local travel for 3 Pis					50,000		
Hotel for 3 Pis					30,000		
Ground Transport and food					20,000		
Total Travel						**100,000**	
SUPPLIES							
Data collection supplies					6,000		
Computers					3,000		
Statistical software					10,000		
Total Supplies						**9,000**	
OTHER EXPENSES							
PhD tuition					17,386		
Communication					2,000		
Publication costs					10,200		
Total Other Expenses						**29,586**	
TOTAL Direct COSTS						**626,605**	

NOTE:The space is the in-kind support that School of Social work will contribute to GSDI

Global Social Development Innovations Center **PI: Gina Chowa**

TOTAL PROJECT PERIOD: January 1 2016 to Dec 31 2020 **Budget Period** **Year 5**

PERSONNEL	ROLE IN PROJECT	TYPE	EFFORT	SALARY	FRINGES	TOTAL	
Gina Chowa	Principal Investigator	9	50%	56,054	15,656	71,710	4.50
Gina Chowa	Principal Investigator	3	100%	34,700	7,940	42,640	3.00
Gina Chowa	PI Supplement	9	15%	16,816	3,848	20,664	0.00
Gina Chowa	PI Supplement	3	15%	5,205	1,191	6,396	0.00
Rain Masa	Co-I	9	33%	28,274	8,337	36,611	2.97
Rain Masa	Co-I	3	100%	28,560	6,535	35,095	3.00
David Ansong	Co-I	9	33%	29,177	8,544	37,721	2.97
David Ansong	Co-I	3	100%	29,471	6,744	36,215	3.00
Sara Harwood Mitra	Project Coord	12	100%	59,534	19,282	78,816	12.00
TBN	PhD Student	9	100%	20,025	4,350	24,375	9.00
TBN	PhD Student	3	100%	6,675	1,450	8,125	3.00
TBN	PhD Student	9	100%	20,025	4,350	24,375	9.00
TBN	PhD Student	3	100%	6,675	1,450	8,125	3.00
TBN	MSW Student	9	100%	4,243	2,931	7,174	9.00
TBN	MSW Student	3	0%	0	0	0	0.00
TBN	MSW Student	9	100%	4,243	2,931	7,174	9.00
TBN	MSW Student	3	0%	0	0	0	0.00
TBN	Contract Specialist	12	40.0%	21,649	7,218	28,867	4.80
TBN	Computer Personnel	12	25.0%	13,530	4,511	18,041	3.00
PERSONNEL				**384,856**	**107,268**	**492,124**	

CONSULTANTS		
Total Consultants	5,000	**5,000**
EQUIPMENT		
Total Equipment		**0**
TRAVEL		
International & Local travel for 3 Pis	50,000	
Hotel for 3 Pis	30,000	
Ground Transport and food	20,000	
Total Travel		**100,000**
SUPPLIES		
Data collection supplies	6,000	
Computers	3,000	
Statistical software	10,000	
Total Supplies		**9,000**
OTHER EXPENSES		
PhD tuition	17,386	
Communication	2,000	
Publication costs	10,200	
Total Other Expenses		**29,586**
TOTAL Direct COSTS		**635,710**

NOTE:The space is the in-kind support that School of Social work will contribute to GSDI

Global Social Development Innovations Center
TOTAL PROJECT PERIOD: January 1 2016 to Dec 31 2020
PI: Gina Chowa

CONSTANTS	FY17		
ANNUAL PERCENT SALARY INCREASE	1.02		
FULL TIME HEALTH INS.	5,659.00	12 month	
MEDICAL STAFF INS.	7,703.92	12 month	
POST DOC INS	4,310.16	12 month	
GRADUATE STUDENT INS	3,399.24	12 month	283.27
GRADUATE STUDENT TUITION	8,693.00	SOWO PhD	
FRINGE RATE	0.22883		
P&A FRINGE RATE	0.27962		
STUDENT & TEMP FRINGE RATE	0.08990		
HOURS/ACAD YEAR	1,560		
HOURS/12 MONTH YEAR	2,088	261	195.75
NIH Salary Cap	185,100		
NIH Salary Cap (9 months)	136,125		
NIH Salary Cap (3 months)	45,375		

	Type	FY12	Daily rate	Daily fringe	
Gina Chowa	Regular 9 month	103,571	521.65	109.53	
Gina Chowa	Regular 3 month	32,057			
Rain Masa	Regular 9 month	79,155	405.92	104.70	
Rain Masa	Regular 3 month	26,385			
TBN	Regular 9 month	81,682			
TBN	Regular 3 month	27,227			
Sara Mitra	Regular 12 montl	55,000			
Project Coordinator	Contract	9,750	25.00	30hrs per week for the summer	
PhD Student	Student 9 month	18,500	94.87	22.31	
PhD Student	Student 3 month	6,167			
MSW Student Program Ass	Student 9 month	3,920	28.00	16.65	
MSW Student Program Ass	Student 3 month	6,720			
Contract Specialist	Regular 12 montl	50,000	192.31	63.20	
Computer Personnel	Regular 12 montl	50,000	192.31	63.20	

Travel

Trip to national conference x 1 person		
Coach Fare Ticket	$500 per trip	500
Hotel	$180 night x 3 nights	540
University Per Diem	$37.50 / day x 3 days	113
Mileage	36 mi to airport x .50	18
Parking	$20/day x 3 days	60
Ground transportation	$30 roundtrip to conference hote	30
Conference registration	$420 conference registration fee	420
		1,681

Recommendations to Discontinue or Reclassify Centers and Institutes

The Centers and Institutes Review Committee recently has considered a number of requests to discontinue or reclassify certain pan-university and school-based centers and institutes. These requests were initiated in response to either (1) changes in operational status or (2) recent revisions of UNC General Administration *Regulations on Planning, Establishing, and Reviewing Centers and Institutes in the University of North Carolina* (UNC Policy Manual section 400.5[R]) and UNC-CH *Policies and Procedures Governing Centers and Institutes*. These policies now provide clearer definitions of the terms "center" and "institute" and make clear that their primary purpose is to facilitate cross-disciplinary or cross-unit collaboration.

The Committee recommends that the following nine units be discontinued or no longer classified as centers and institutes for the reasons given below and that they be removed from the official list of University centers and institutes. According to UNC-Chapel Hill policy and UNC General Administration regulations, decisions to discontinue a center or institute must first be approved by the Provost, and then by the Chancellor and Board of Trustees. If approved, we then will notify the Office of Research and Graduate Education at UNC General Administration.

Thank you for considering these recommendations and forwarding your determinations to the Chancellor and Board of Trustees for their consideration.

- ***Data Intensive Cyber Environments (DICE)***. Due to DICE's limited size and scope, and following the retirement of its founding director, the Office of Research is reorganizing DICE's activities under the auspices of other units, including the Renaissance Computing Institute (RENCI), the School of Information and Library Science, and other appropriate units. DICE wishes to retain its name; however, its activities will be embedded within other units and there is no longer a need for a stand-alone DICE.

- ***Center for Faculty Excellence (CFE)***. The CFE is UNC-Chapel Hill's pan-university faculty development center whose mission is to enable faculty members in all disciplines to reach their goals in teaching, research, and leadership throughout their careers. This unit provides focused services (professional development) to a specific university constituency (faculty), which is a type of unit that is exempted from classification as a center or institute under UNC-Chapel Hill policies. Similar faculty development units across the UNC system are not classified as centers or institutes under the terms of UNC General Administration policies, as they are seen as essential resources for faculty, not as vehicles for cross-disciplinary partnerships. Removing center and institute designation from this unit will in no way affect the level of oversight it is given. It would continue to report to the Vice Provost for Academic Initiatives, receive ongoing supervision, and be subject to regular review.

- The primary purpose of the ***Ackland Art Museum***, ***Morehead Planetarium and Science Center***, and ***NC Botanical Garden*** is "to ensure the professional curation of scientific, scholarly, natural, or cultural resources and collections and provide these to organizations and individuals within the university and/or in the larger community for the purposes of research, education, and public service" (*Policies and Procedures Governing Centers and Institutes*, p.2). As such, they do not meet the definition of center or institute as stated in the policies. Further, similar units across the

UNC system are not classified as centers or institutes. These include the Weatherspoon Art Museum at UNC-Greensboro and the JC Raulston Arboretum at NCSU. Removing center and institute designation from these units will in no way affect the level of oversight given to these units. They would continue to report to the Vice Provost for Academic Initiatives, receive ongoing supervision, and be subject to regular review.

- ***Institute on Aging***. This unit was disbanded by the Vice Chancellor for Research effective December 31, 2014. It was no longer able to attract external research funds to support its work and its state funds had been greatly reduced as a consequence of repeated state budget cuts. Its staff was let go and its office space, furnishings, and equipment were transferred to other Research offices. Remaining funds are being used to support the Partnerships in Aging Program, which provides faculty and students with opportunities to collaborate with and learn from innovative, community-based aging initiatives. The Institute on Aging was abolished as a University department on July 1, 2016.

- ***Carolina Center for Genome Sciences***, School of Medicine. This center achieved its ten-year goal of establishing genome sciences programs in various units across campus. Genomics has now become a common scientific principle and tool used in many different departments and centers across the University. The Center is therefore no longer necessary as it duplicates the mission and activities of many other units.

- ***Environmental Finance Center***, School of Government. This organization is called a center only because that is the designation used by its funder, the Environmental Protection Agency. Further, it is more accurately classified as a coordinating entity within a single department (the School of Government) as it does not play a cross-disciplinary role. Consequently, this unit does not meet the definition of center or institute and therefore is a type of organization that is exempt from the center and institute regulations of the UNC system and UNC-Chapel Hill.

- **Carolina Vaccine Institute**, School of Medicine. Major supporting funds for this unit were terminated and it is no longer in operation.

Recommendation on Authorization to Plan the Global Business Center

Please refer to the memorandum of April 16, 2016 on the following page regarding the request for authorization to plan the Global Business Center. This is the first step in creating a new center or institute. Once planning has been completed, the Centers and Institutes Review Committee will consider a request for authorization to establish this center and will forward its recommendations to you.

THE UNIVERSITY
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CAROL P. TRESOLINI
Vice Provost for Academic Initiatives
carol_tresolini@unc.edu

MEMORANDUM

TO: James W. Dean, Jr.,
Executive Vice Chancellor and Provost

FROM: Carol Tresolini
Vice Provost for Academic Initiatives and Chair, Centers & Institutes Review Committee

DATE: April 14, 2016

RE: Request for Authorization to Plan the Global Business Center

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The Centers and Institutes Review Committee met recently to discuss a request submitted by Jay Swaminathan, GlaxoSmithKline Distinguished Professor of Operations, for authorization to plan the Global Business Center (GBC) within the Kenan-Flagler Business School. The GBC's mission would be to increase the global competency of business students, faculty, and staff, with a focus on emerging markets that are relevant to businesses in North Carolina and the United States. The GBC would coordinate cross-unit collaboration to advance Kenan-Flagler's global education strategy, support curricular development, and promote and disseminate research on global issues. In addition, the GBC would reach beyond the walls of the business school to serve and collaborate with partners across the University, the state, and worldwide. The GBC would be categorized as an instructional center but also would be engaged in research and public service.

The GBC's predecessor organization was the Center for International Business Education and Research (CIBER), which was funded entirely through the US Department of Education. That grant ended and activities previously funded by the grant are now supported by Kenan-Flagler Business School and the Office of the Executive Vice Chancellor and Provost. Funding currently totals $212,500/year, plus in-kind support in the form of office space valued at $4200/year. Funding from the Provost's Office of $63,000/year will end after FY 2017-18.

The Committee supports this request for authorization to plan the Global Business Center. We ask for your approval and, if granted, that you then forward your recommendation to the Chancellor for her determination. Approval of this request would then need to be communicated to the Board of Trustees for their information, as directed by policies governing the establishment of new centers and institutes. If approval to plan is granted, the next step will be for Professor Swaminathan to request authorization to establish the Center. The Committee strongly recommends that the request for authorization to establish the GBC include a clear plan for funding the Center following cessation of support from our office.

I would be happy to provide additional information as needed. Thank you for considering this recommendation and forwarding your determination to the Chancellor for her consideration.

Attachment: Request for Authorization to Plan the Global Business Center