



THE UNIVERSITY  
of NORTH CAROLINA  
at CHAPEL HILL

**BOARD OF TRUSTEES  
COMMERCIALIZATION & ECONOMIC  
DEVELOPMENT COMMITTEE**

**MAY 18, 2016, 2:30PM  
CHANCELLOR'S BALLROOM EAST,  
CAROLINA INN**

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**OPEN SESSION**

**FOR INFORMATION ONLY**

(No formal action is requested at this time)

1. Introduction and Chair's Remarks  
*Lowry Caudill, UNC Board of Trustees*
2. Translational Disciplines: [\(Attachment A\)](#)
  - [Applied Physical Sciences](#)  
*Ed Samulski, Chair, Department of Applied Physical Sciences*

\*Some of the business to be conducted is authorized by the N.C. Open Meetings Law to be conducted in closed session.

**COMMITTEE MEMBERS**

**W. Lowry Caudill, Chair**  
**Julia Sprunt Grumbles, Vice Chair**  
**Jefferson W. Brown**  
**Haywood D. Cochrane, Jr.**  
**Donald Williams Curtis**  
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***Administrative Liaison:***

***Judith Cone, Vice Chancellor for Commercialization and Economic Development***

# A Brief History of Applied Physical Science and Up-fit of Murray Hall

Ed Samulski

May 18, 2016



THE UNIVERSITY  
of NORTH CAROLINA  
at CHAPEL HILL

2/46

APPLIED  
PHYSICAL SCIENCES

# A brief history of APS @ Carolina

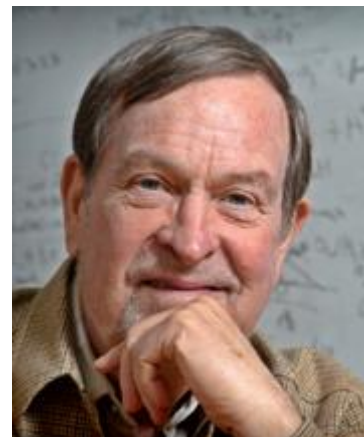
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- *1900's Charles Hughes Herty, Dean of APS (1908-1912)*
- *1930's President F. P. Graham moved Engineering to "State College"*
- *1980's Microelectronic Center (MCNC) allocated positions to Carolina and NCSU*
  - *Curriculum in APS launched*
  - *ETS recruited to start polymer pgm in Chemistry*

# A brief history of APS @ Carolina

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- *1990's PhD pgm Mat. Sci. (TJ Meyer)*
  - *Curriculum in Applied Mat Sci (CAMS)*
  - *Concept of "Science Complex" born*
- *2000's National Center Grants awarded*
  - *NSF (JMD) - \$17MM → Micelle & Liquidia Tech.*
  - *ONR (OZ) - \$5.5MM → Xintek Inc.*
  - *NASA (ETS) - \$3.4 MM → Allotropica Tech.*
- *2004 Inst. Adv. Mat. (IAM) and CHANL launched*
  - *CAMS → CASE (BME and Mat Sci)*

# A brief history of APS @ Carolina



A Strategic Roadmap  
for  
Applied Physical Sciences  
in  
The College of Arts & Sciences  
The University of North Carolina  
Chapel Hill

Submitted to Dean Karen Gil  
The Applied Sciences Task Force  
July 2012

## Applied Sciences Task Force

Edward Samulski, ASTF Chair,  
*Chemistry*

Nancy Allbritton, *Chair of  
Biomedical Engineering*

Lowry Caudill, *Chair of the  
Innovation Circle, Board of Trustees  
(Facilitator)*

Arthur Champagne, *Chair of  
Physics & Astronomy*

Michael Crimmins, *Sr. Assoc. Dean  
(ex officio)*

Joseph DeSimone, *Director of  
Institute for Advanced Materials*

Anselmo Lastra, *Chair of Computer  
Science*

Thomas Meyer, *Director of Energy  
Frontier Research Center*

Peter Mucha, *Chair of Mathematics*

Richard Superfine, *Physics &  
Astronomy*

- *2011 APS Roadmap (Lowry Caudill & ETS)*
- *2012 Dean Gil accepted recommendation to launch APS dept*

# A brief history of APS @ Carolina

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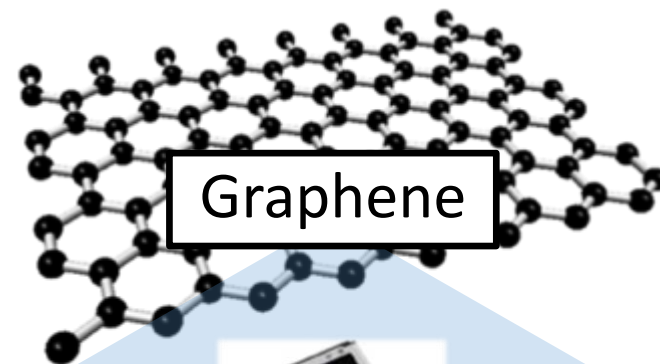
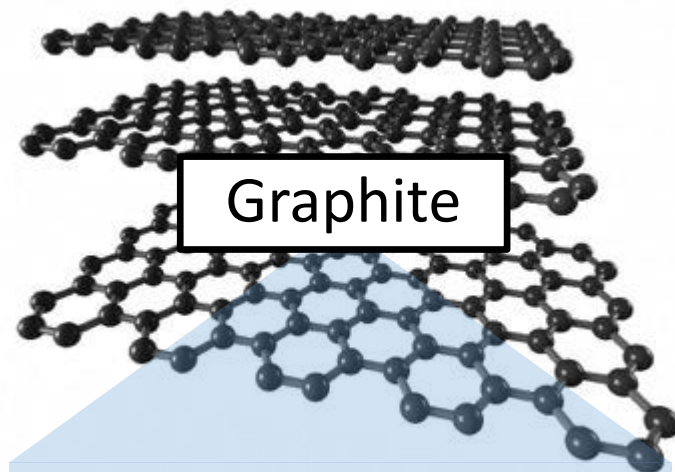
## UNC APSc: Strategic Planning Project

Executive Summary

September 12, 2013

- *2013 Strategic Plan for APS (P. Mucha & P. Friga)*
  - *1<sup>st</sup> hire Scott Warren (2-D materials for energy sciences)*

# The Warren Lab: from basic research to new products



*carbon brushes*



*composites*



*battery coatings*



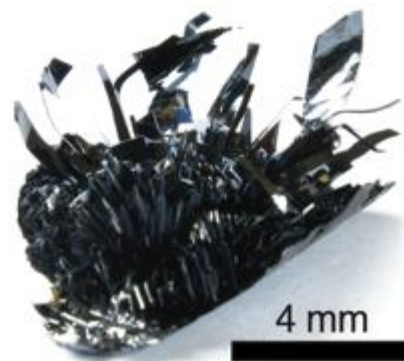
*flexible/wearable electronics*

# Black phosphorus: scale-up for industry

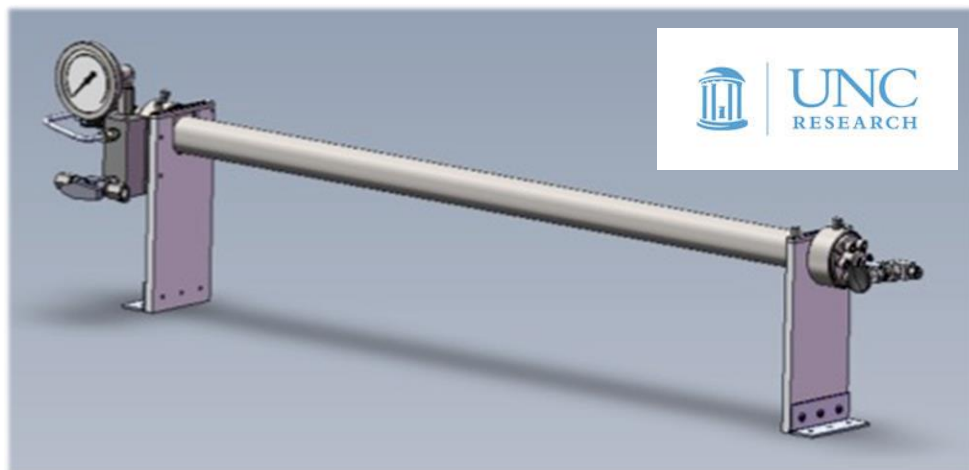


red phosphorus  
\$0.50 per gram

High temperature  
and pressure



black phosphorus  
\$570 per gram



high pressure system for synthesis  
UNC Office of Technology Development

Academic labs require black phosphorus for fundamental scientific studies, while industry requires large quantities for testing and optimization.

# Phosphorene: scale-up & commercialization



## **Liquid exfoliation:**

Solution-processing of phosphorene at the 10-gram scale.

## **Patent Pending:**

“Two dimensional materials produced by the liquid exfoliation of black phosphorus.” 2/031, 184, **2014**.

Warren, S. C.; Woomer, A. H.; Wells, R. A.; Farnsworth, T. W.

## **Major commercial interests:**

Alfa Aesar (Thermo Scientific), Sigma-Aldrich (Millipore Sigma), and others.

# Early-stage partnership with industry

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New materials



New products



Electric vehicles with extended range.

# Our values

A satellite view of Earth from space, showing the curvature of the planet and various geographical features like clouds, land, and water. The image is positioned on the left side of the slide, partially cut off by the edge.

To enhance the value of scientific research.

To create jobs.

To inspire & educate students.

To solve society's most important problems.

# APS @ Carolina Today



**Dec 18**



## CLUSTER HIRES

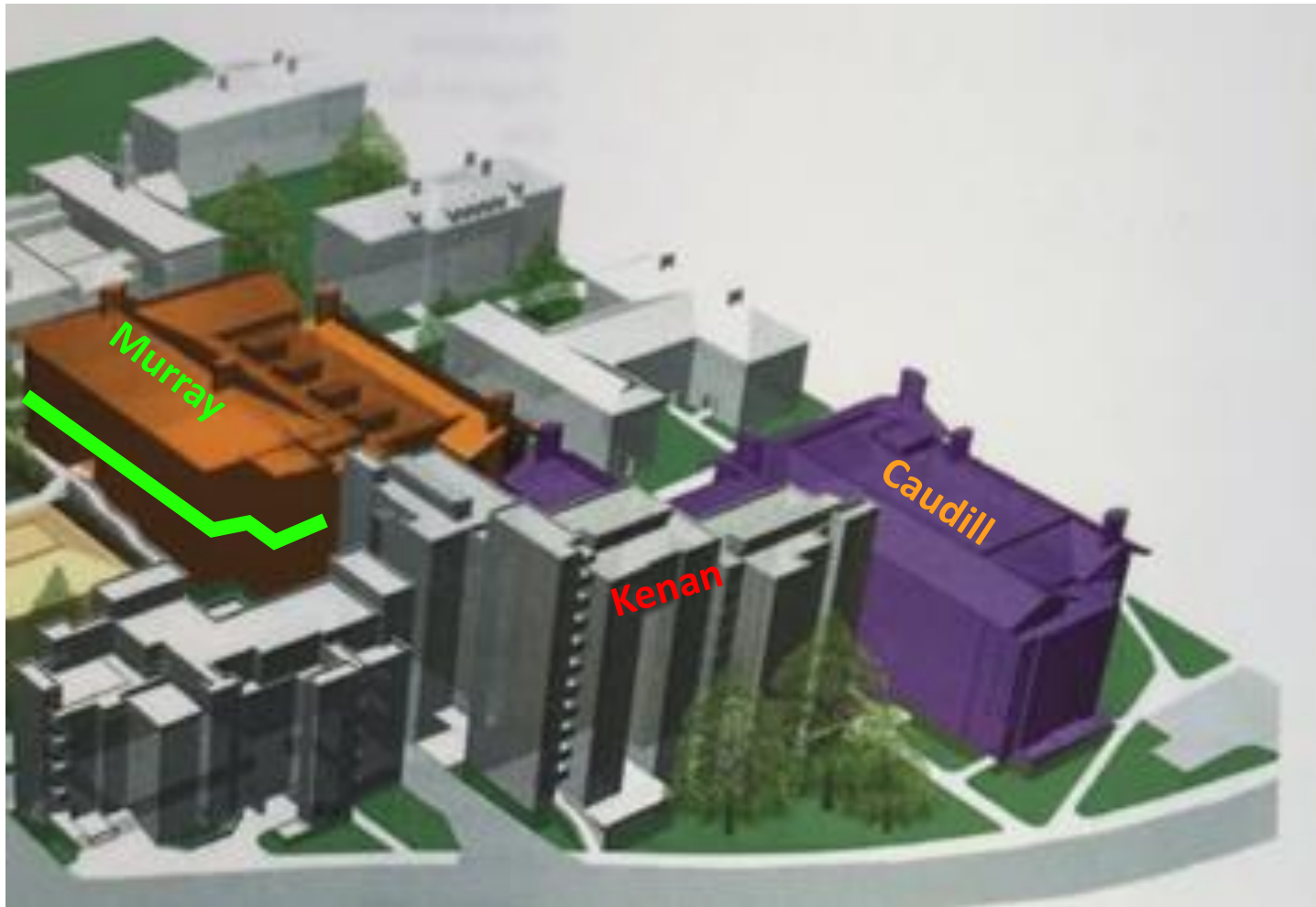
The new department seeks to hire faculty with experience in applying their scientific discoveries to real-world problems, either through start-up companies or partnerships with government and industry. The initial research emphasis will be on Soft Matter and Energy and the founding cluster hires will be expected to build upon existing strengths at Carolina, but outstanding candidates from any field will be considered. To launch the initial clusters, Applied Physical Sciences will

target six (6) hires in 2016 with emphasis on both outstanding junior and established senior faculty who are conducting research at the interface between the physical and life sciences, and in energy storage and membrane technologies. Interested faculty candidates should exhibit potential for multidisciplinary collaborations in an established institution. Ultimately APS will have 20 new hires by 2020, including new clusters.

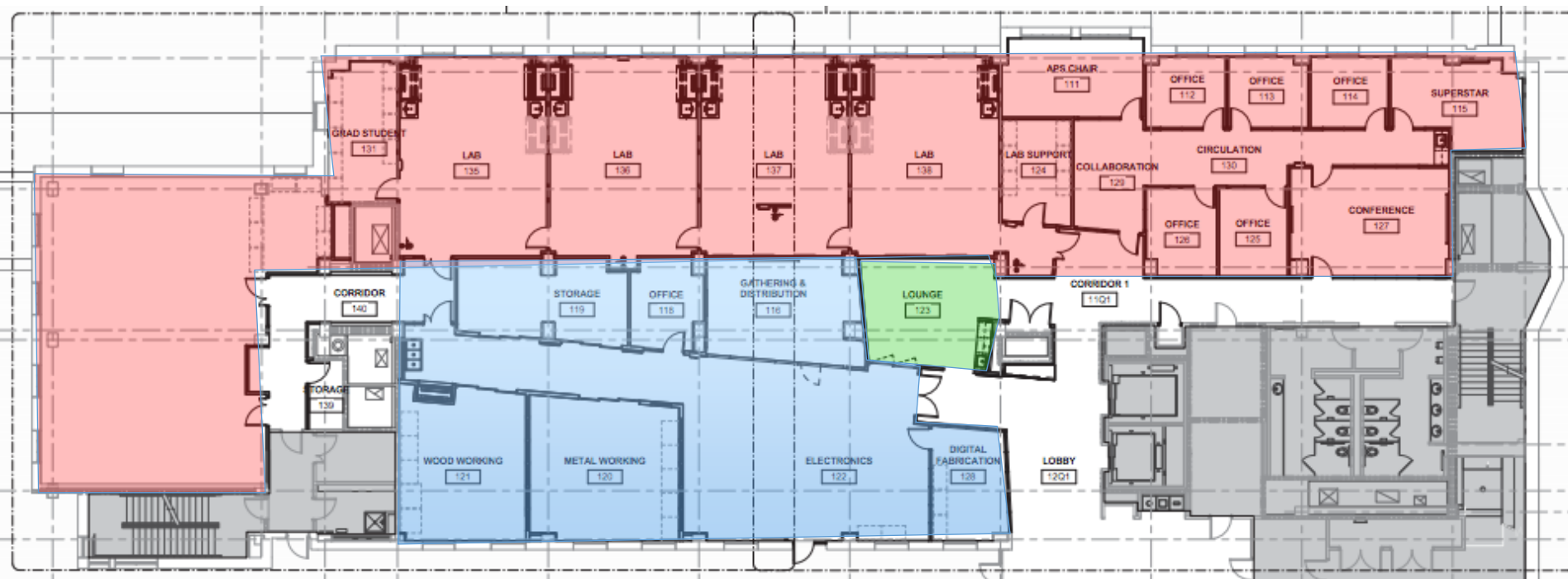
- *2015-16 hiring, interviewing, and renovations*
  - *2<sup>nd</sup> hire Daphne Klotsa*
  - *3<sup>rd</sup> hire Theo Dingemans*
- *Today APS is located in Murray Hall (and Kenan)*

# APS home in Murray Hall

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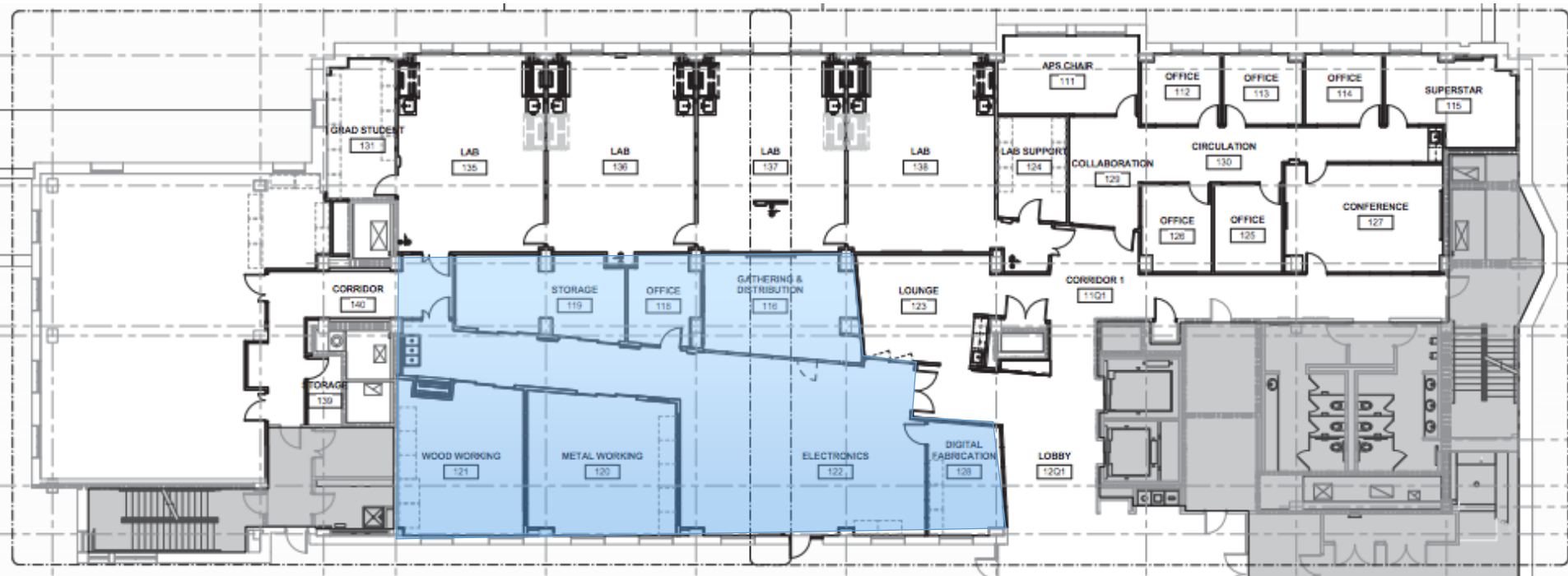


# APS home in Murray Hall



Applied Sciences Labs Total ...4660 sf  
Makers Space Shop Total .....3090 sf  
Seminar Room .....560 sf  
Office Suite Total .....1110 sf  
Lounge .....350 sf

# Maker Space in Murray Hall



# Maker Space in Murray Hall

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# Maker Space in Murray Hall

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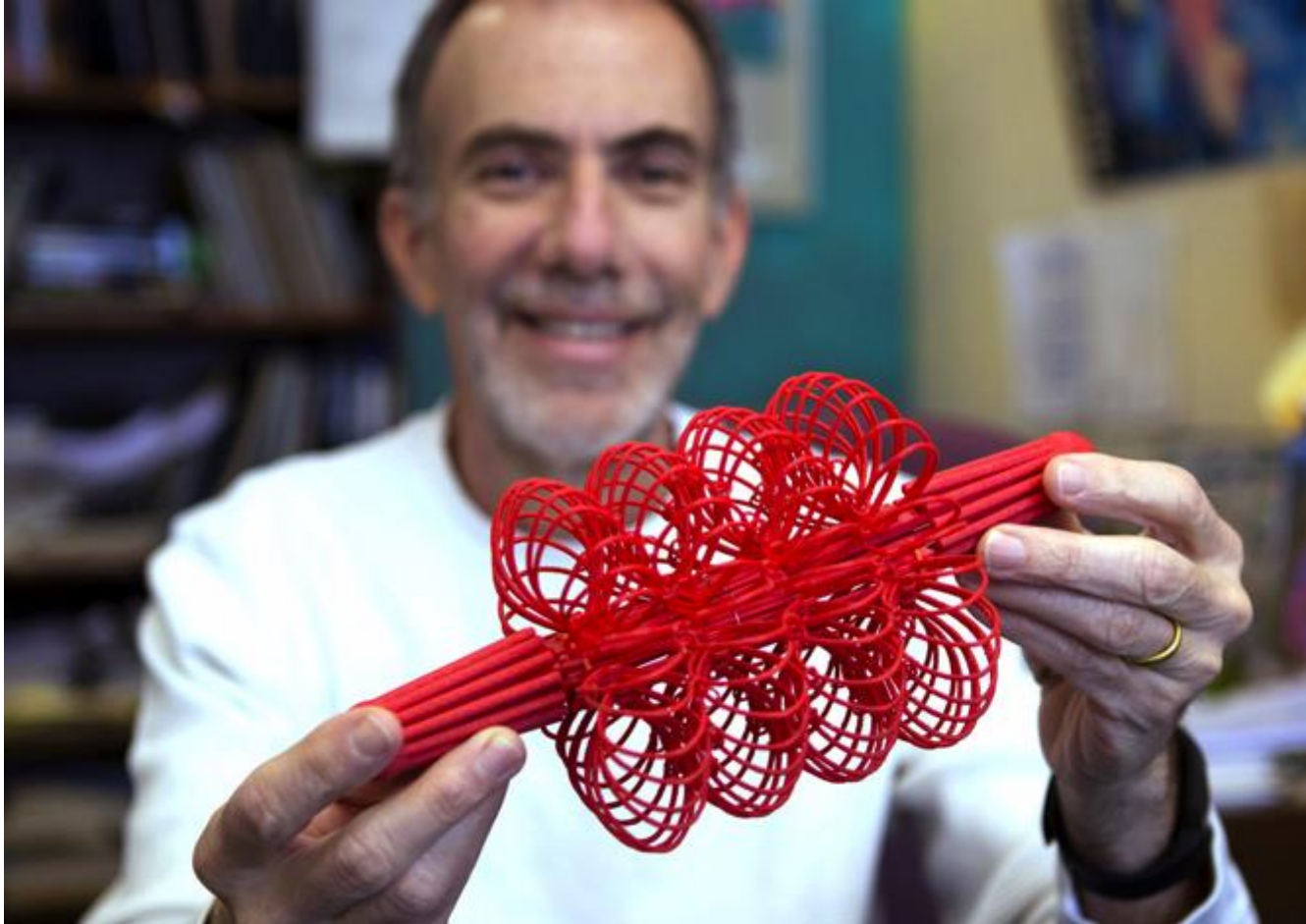
# Maker Space in Murray Hall

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# Maker Space in Murray Hall

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# APS home in Murray Hall



# Maker Space in Murray Hall

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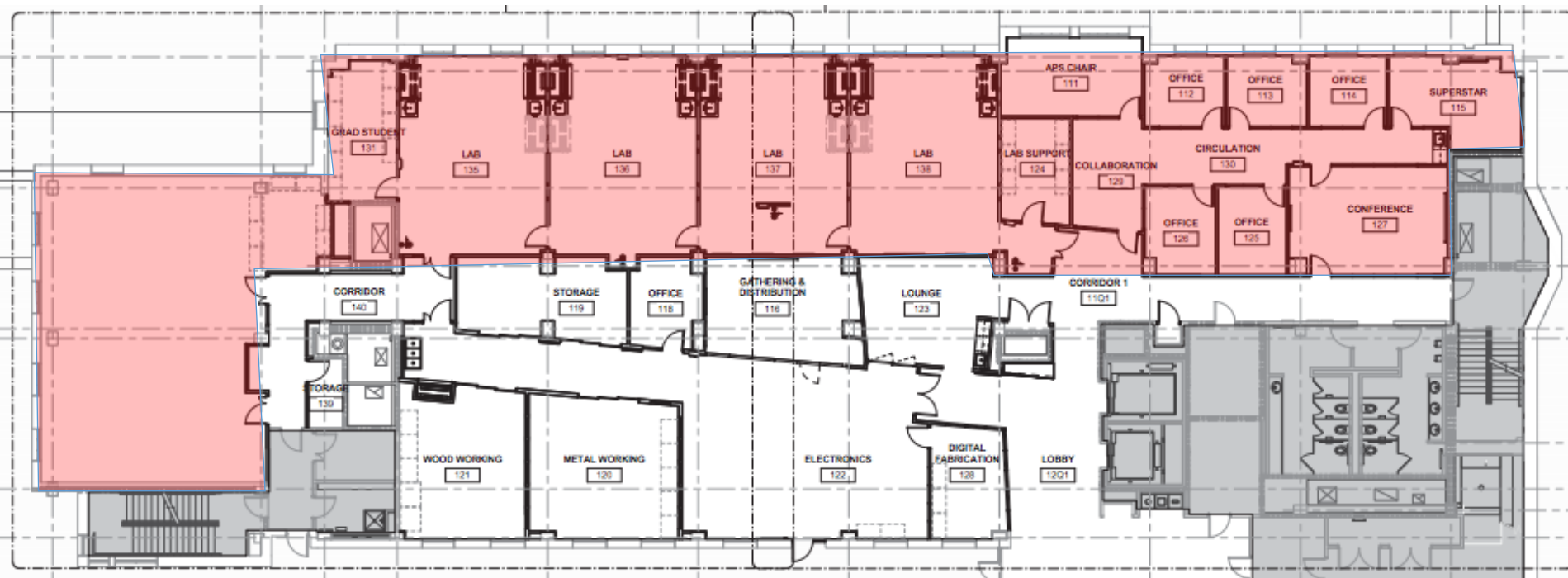
**BAM**  
BE A MAKER

**BAM**  
BE A MAKER

21/46

**APPLIED**  
PHYSICAL SCIENCES

# APS home in Murray Hall



# APS home in Murray Hall



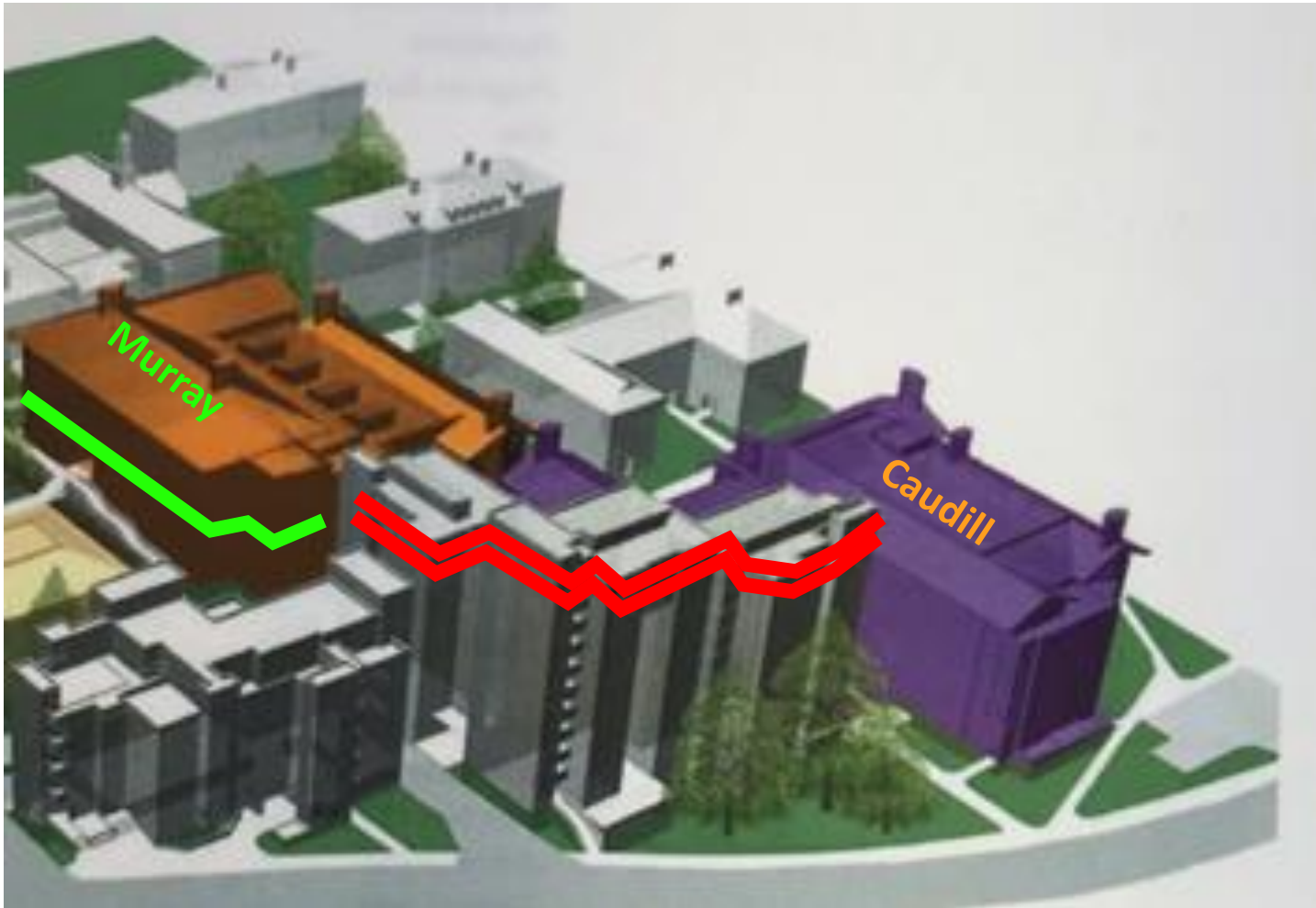
# APS home in Murray Hall

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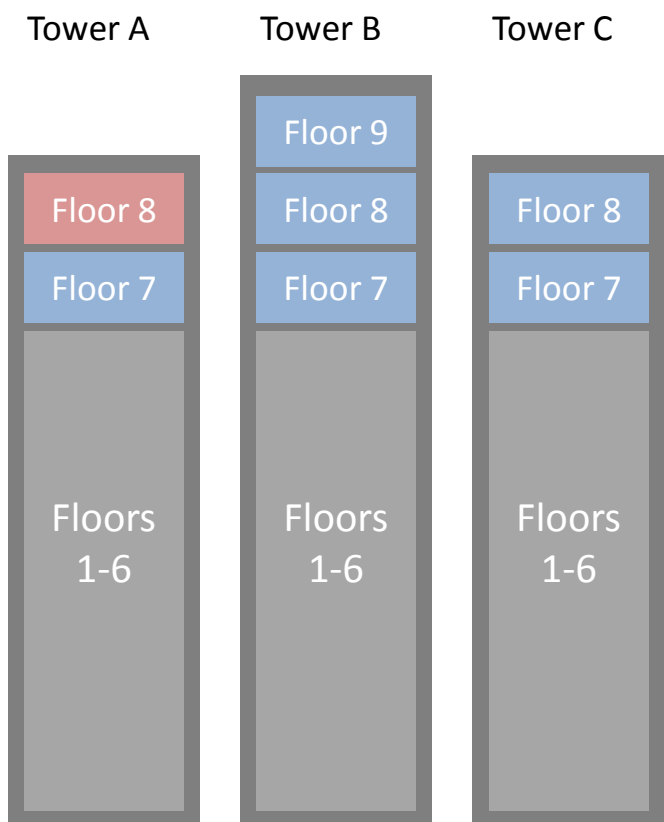
# APS renovations in Kenan (7, 8 & 9)

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The existing space allocated to APSc is sufficient for at most 6-8 new hires into the department

### Allotted Space (Kenan Labs)



### Legend

Scott Warren\*

Available

Chemistry

### Floors

#### Floor 7

- 1 Large Lab per Tower
- 5-6 Smaller Rooms

#### Floor 8

- 1 Large Lab per Tower
- 5-6 Smaller Rooms

#### Floor 9

- 1 Large Lab
- A few offices

\*Approx. 90% occupied

### Current sq.ft. Space Allocation

Total	18,600
Scott Warren	~2,500
Remaining	16,100

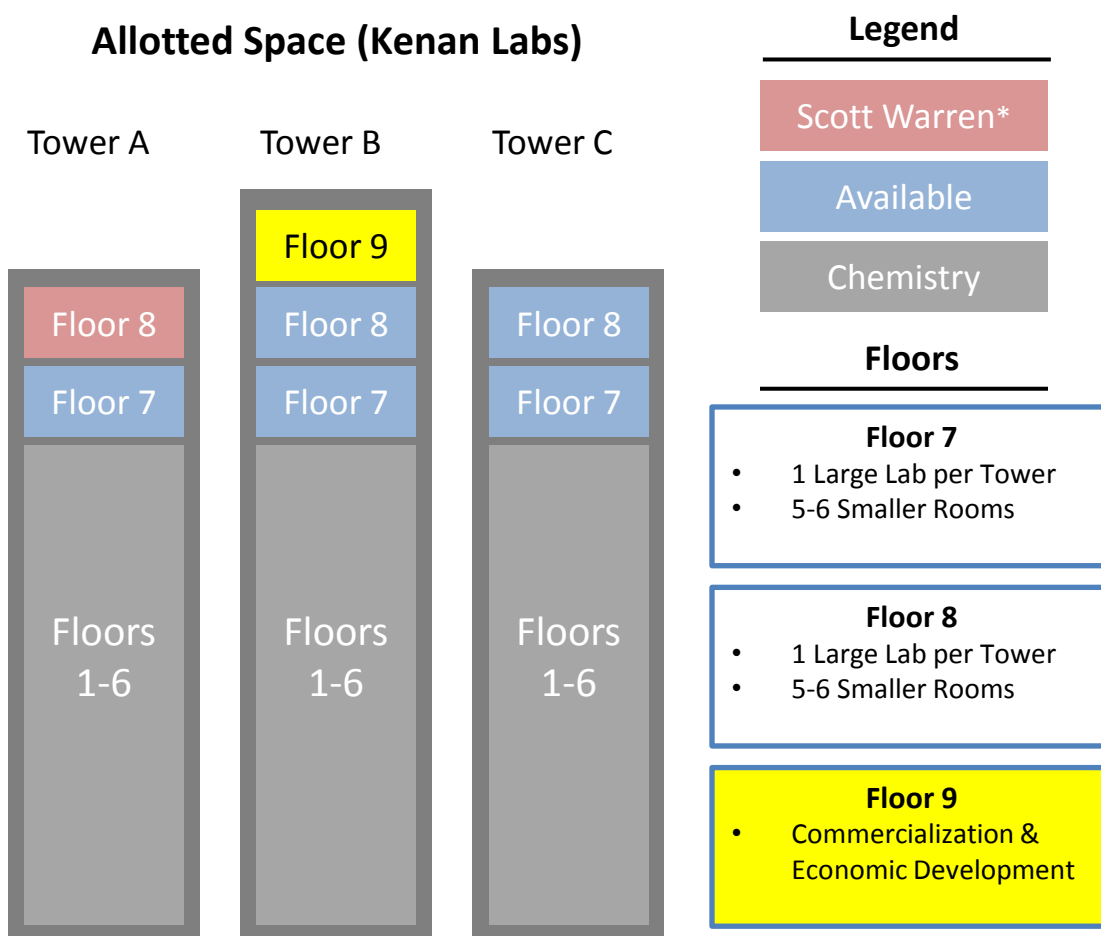
This only leaves space for at most 6-8 New Hires

The Department projects to run out of space near the end of the 1<sup>st</sup> cluster hires in 2017

The department will need ~100k sq ft of new space by 2019

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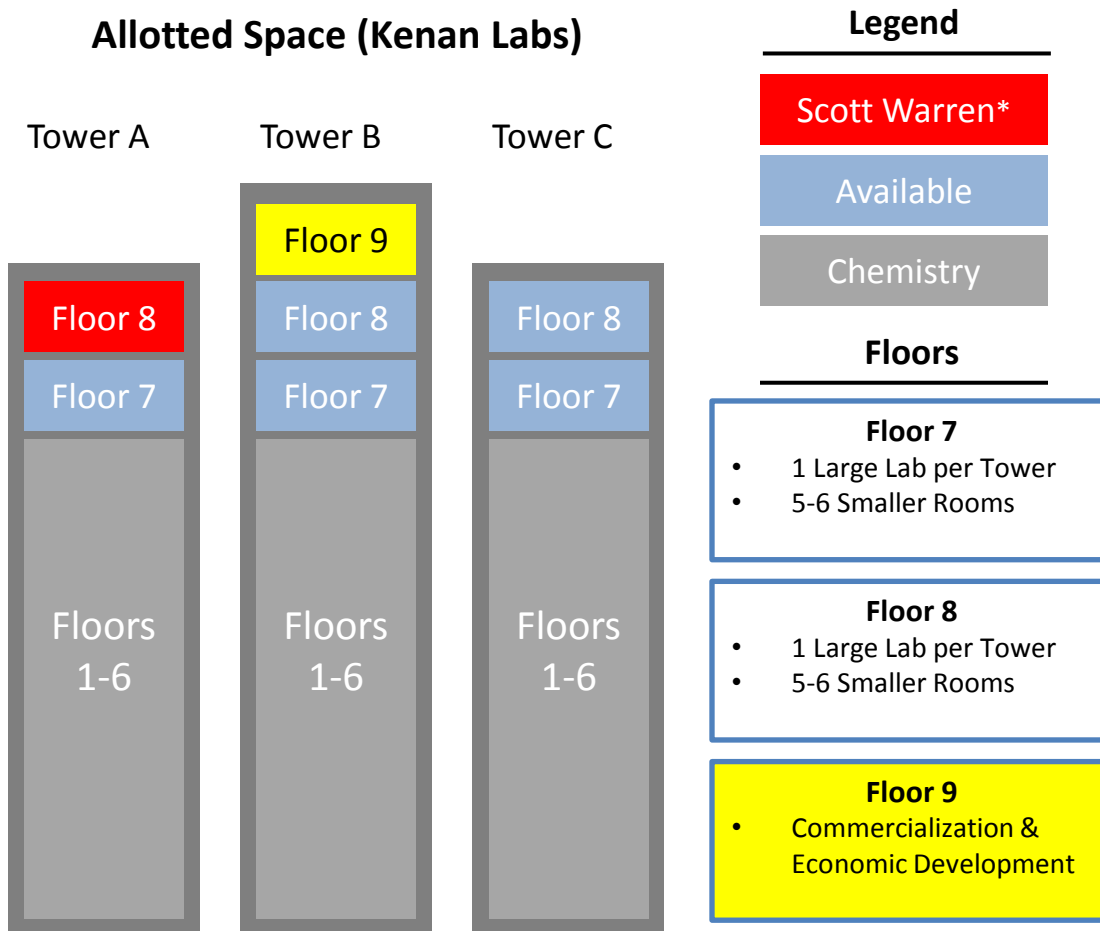
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\*Approx. 90% occupied

# Antiquated HVAC in Kenan doubles renovation estimate

## Allotted Space (Kenan Labs)

## Legend

## Current sq.ft. Space Allocation

Tower A

Tower B

Tower C

Scott Warren\*

Available

Total

18,600

Scott Warren

~2,500

Floor 8

Floor 7

Floors  
1-6


Before

Space for at most  
Hires

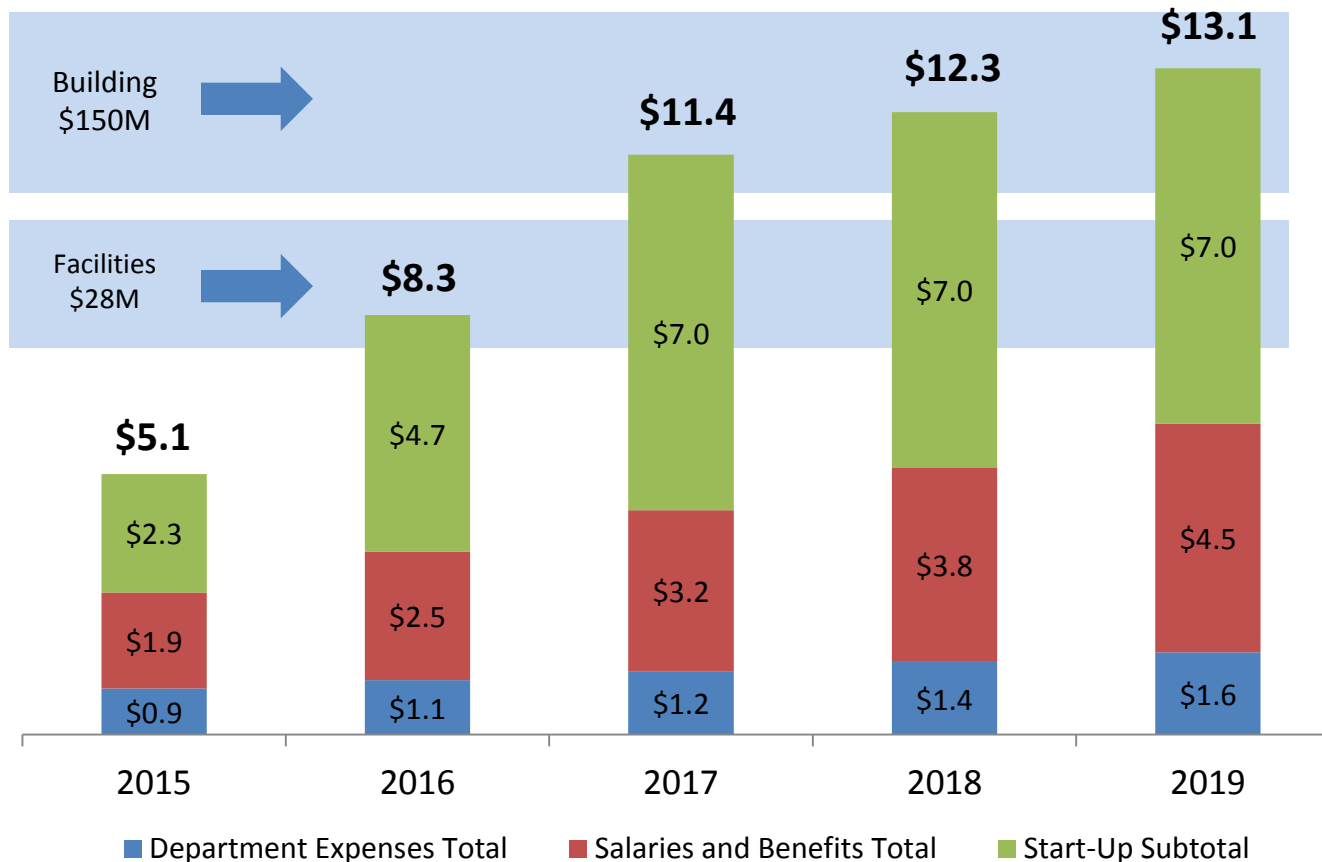
projects to run  
the end of the  
in 2017

will need ~100k  
ce by 2019



# Our ambitious ramp up plan will require significant faculty start up and salary expenses

## Select Non-building Expense Totals (\$M)



The 5 year  
(2015-2019)  
ask for non-building  
expenses is:  
\$50.2M

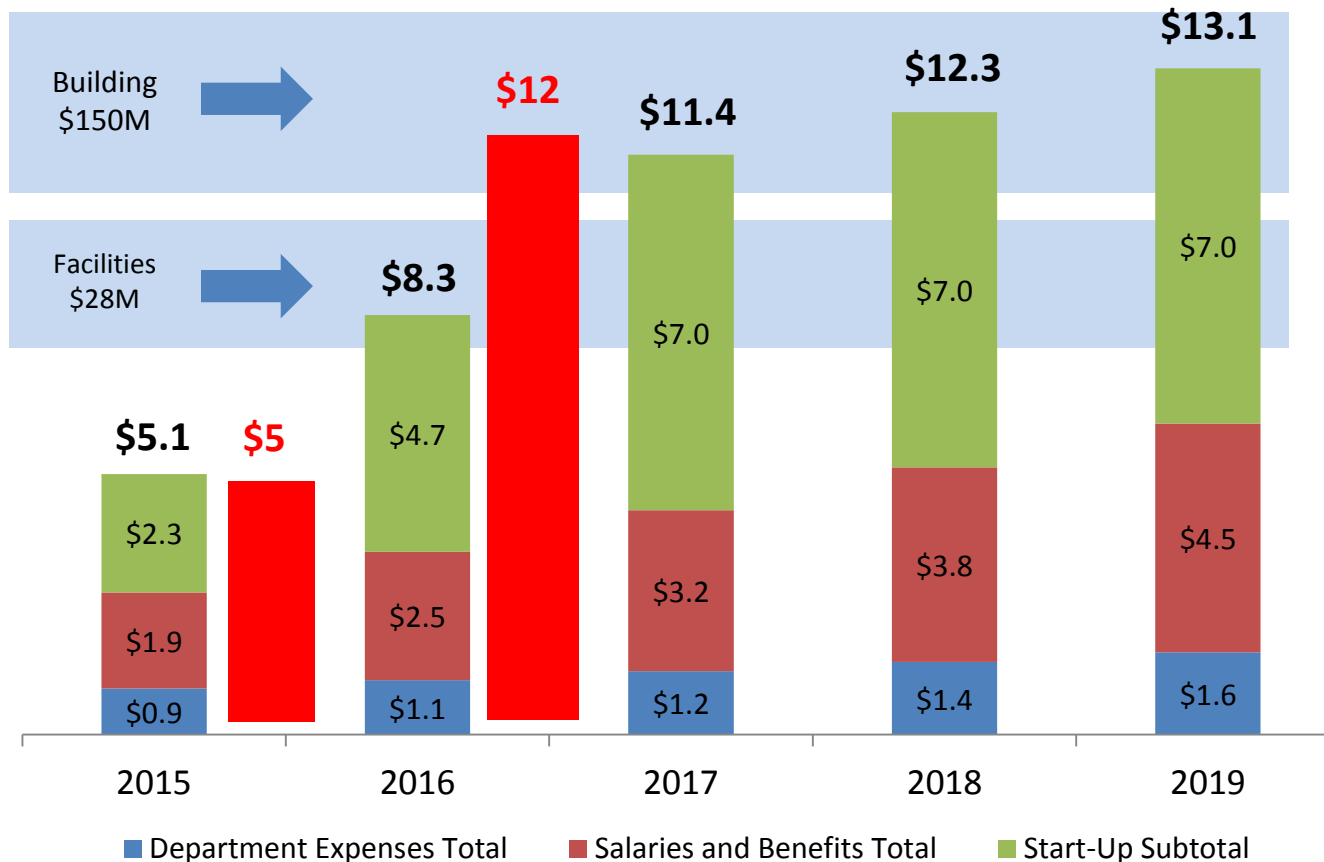
- The addition of:**
- ISB Building (\$150M)
  - Design (\$15M)
  - **Renovations (\$3M)**
  - Facilities (\$28M)

Brings the 5yr ask to:  
**\$250.2M**

\*F&A returns cover \$1.5M

Our ambitious ramp up plan will require significant faculty start up and salary expenses and renovation and up-fit costs

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# UNC APSc: Strategic Planning Project

Executive Summary  
September 12, 2013

# The combined draft strategy statements for the UNC Department of Applied Physical Sciences

## Mission Statement

*Our mission is to solve the world's most challenging problems  
through applied physical sciences.*

## Vision Statement

*Our vision is to create and translate scientific research into practical application  
to improve the lives of the people of North Carolina and the nation.*

*Slogan: "Ideas to Impact"*

## Values Statement

*Our values embrace an interdisciplinary approach, team-based science,  
and an entrepreneurial mindset.*

# APSc could act as a catalyst for productivity, not only within the department, but also unlocking stranded potential across UNC

## “Unlocking the full potential of the \$800M machine”

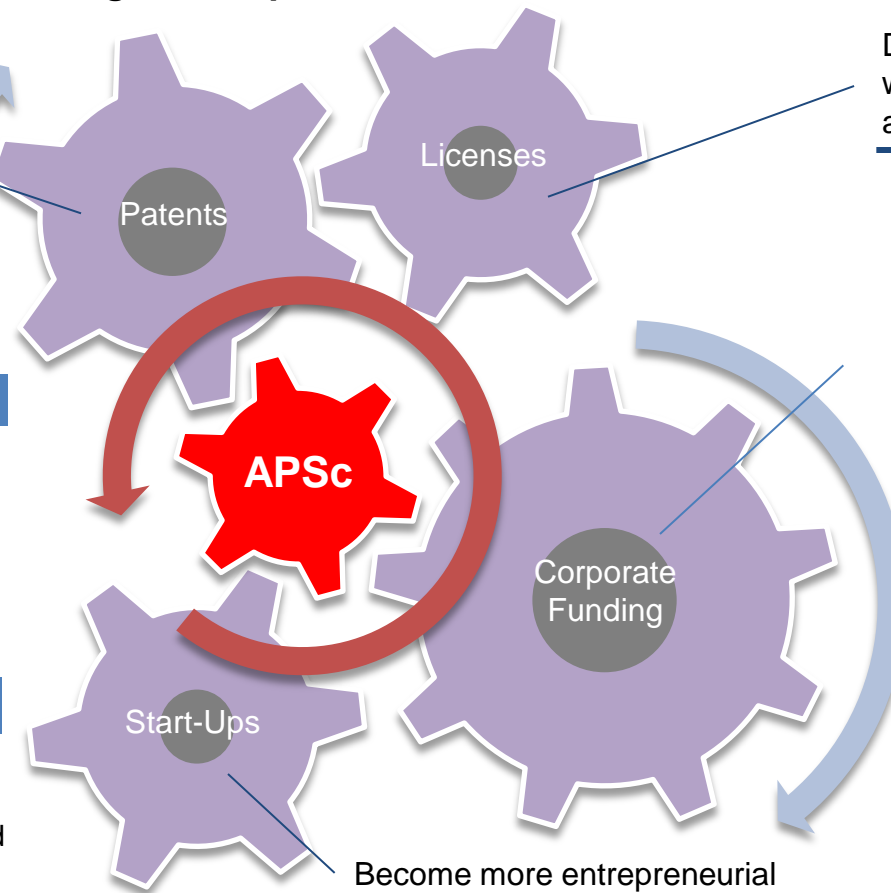
What if patent productivity across campus became 2-3x more efficient?  
200 Patents/Year

### Unrealized Potential

- Top 10 federal research funding
- Dozens of ranked departments
- Highly ranked hospital system
- 10 miles from top 20 corporate R&D center in the country
- #2 region poised for growth

### Demand in the state

- 5<sup>th</sup> nationally in unemployment
- Below average VC funding
- Dropped 8 spots in Science and Technology ranking



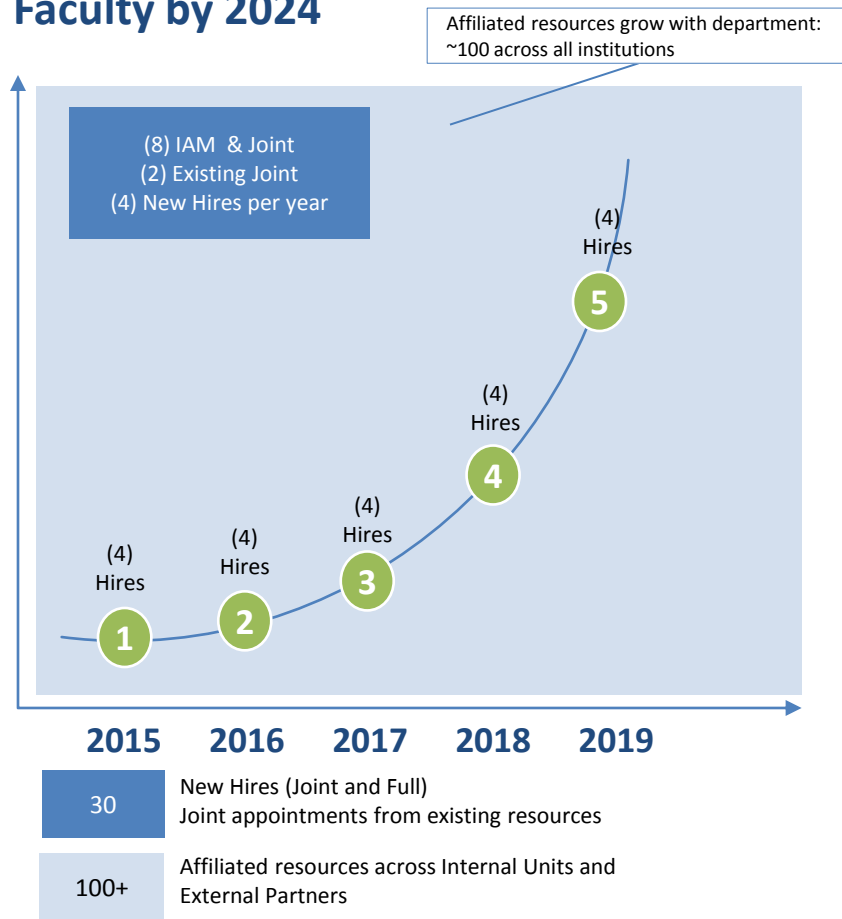
Doubling the number of licenses would move UNC's productivity above the average for its peers

What if corporate research sponsorship across campus doubled or tripled?

Become more entrepreneurial

# The Department will seek to hire 20 new faculty in 5 years to grow upon existing joint appointments and affiliates

## Faculty by 2024



## Faculty Hiring Detail

1

### IAM Joint Appointments – Potential APSc Faculty:

- Nancy Allbritton (Chemistry/BME), Rene Lopez (Physics), Tom Meyer (Chemistry), Peter Mucha (Mathematics), Mike Ramsey (Chemistry), Wei You (Chemistry)
- **Other APSc joint appointments:**
  - Potential: Rich Superfine (Physics)
  - Hired Spring 2013: Scott Warren (Chemistry)
- **New Hires** as joint as possible, hired in clusters in materials applications

2

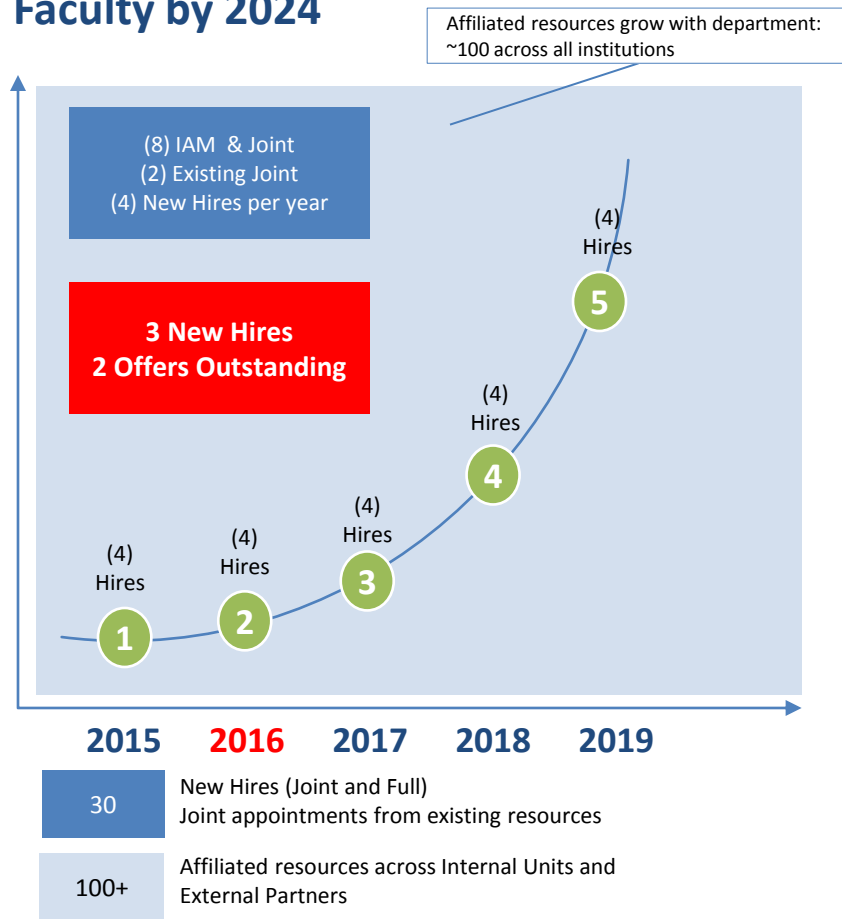
- **Joint:** Additional joint appointments from existing faculty (~2)
- **New Hires:** Joint where possible but ready to hire full-in-APSc
  - Improve incentives to collaborate across units
  - Need to be able to hire “unique” faculty resources of a type that have been difficult to hire in the past at UNC

3-5

- **Affiliated Faculty:** Help them and their departments, not “part” of the departments (approx. 100 over 10 years)
- **Final New Hires:** Hire 20 new faculty in 5 years, as many joint as possible (e.g., 10 new full-in-APSc and 10 new joint faculty)

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The new department can bring \$73M to North Carolina over the next 5 years (2015 – 2019)

### Investment

#### Faculty

- New Faculty: 20
- 5yr Cost: **\$43.9M**

#### Department

- Business Dev. 2
- Administrative 5
- Students 20
- 5yr Cost: **\$6.3M**

#### Space

- Facilities: **\$30M**
- Renovation: **\$3M**
- ISB: **\$165M**

- 5 yr Cost: **\$250M**
- 5 yr Cost (less ISB) **\$50M**

### Returns

#### Research Funding

- |                      | Federal      | Corporate |
|----------------------|--------------|-----------|
| • 2019 Funding:      | \$15M        | \$5M      |
| • 5yr Brought to NC: | <b>\$45M</b> |           |

#### Students

- Graduate: 20/yr.
- 5yr Return to NC: **\$2.1M**

#### Patents

- |                      | Applied       | Granted | Licensed |
|----------------------|---------------|---------|----------|
| • Patents :          | 25            | 6       | 3        |
| • 5yr Return to UNC: | <b>\$4.2M</b> |         |          |

#### Start-Ups

- Start-Ups: 5
- 5yr Return to NC: **\$23M**

ROI

- New Jobs in NC **600 – 1,000**
- 5 yr. Return to NC: **\$73M**

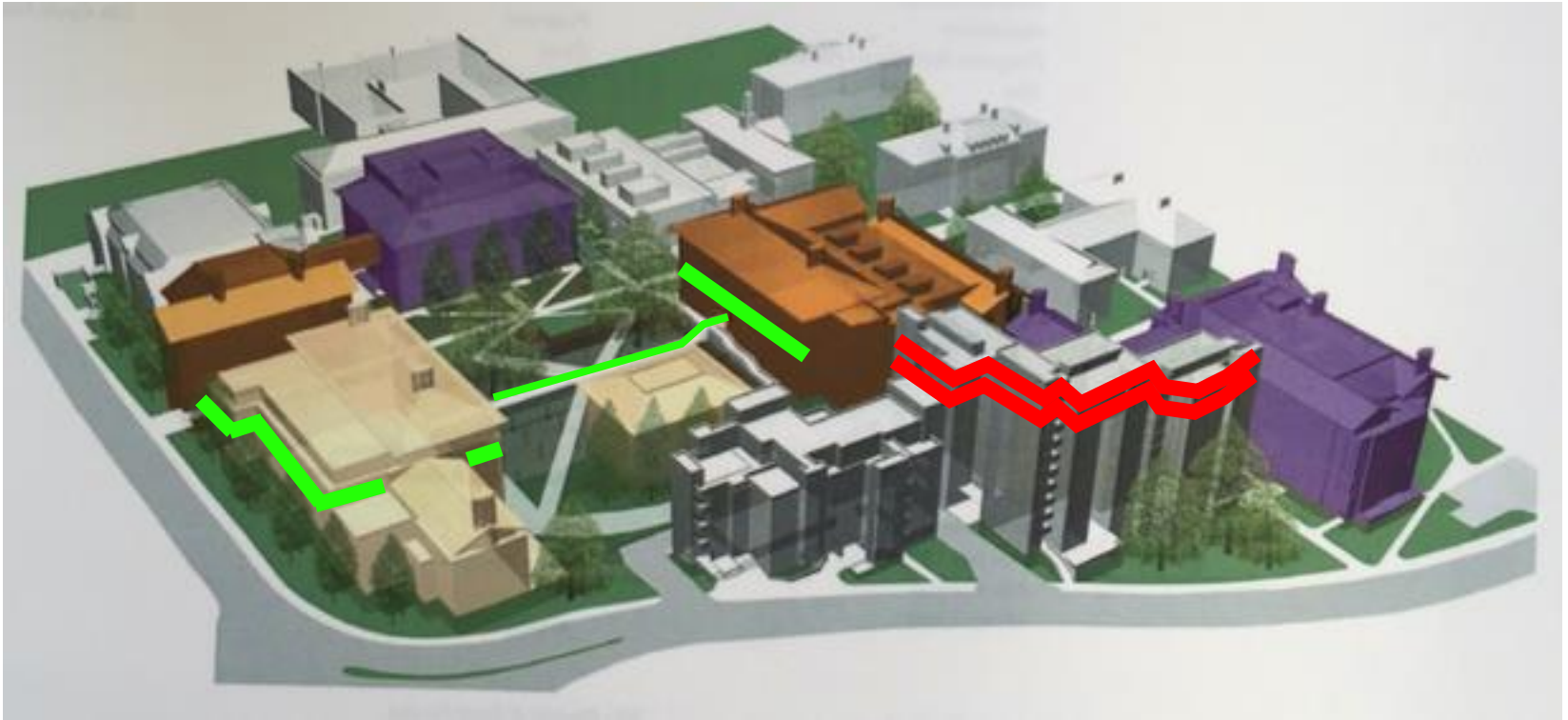
## Tangible return on investments

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- Scott Warren (NSF grant)
- Theo Dingemans, Daphne Klotsa, Peter Mucha, Greg Forest (ARO grant)
- Joe DeSimone (Nat'l Medal Innovation & Tech)
- Theo Dingemans (GA ROI grant)

# APS in 3<sup>rd</sup> Phase of Science Complex

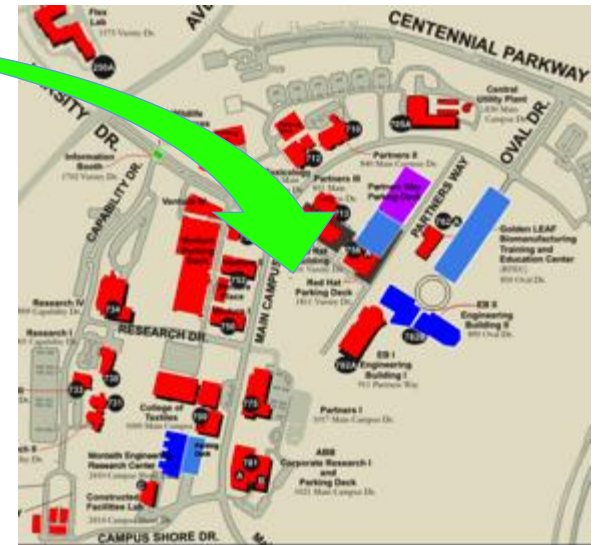
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# APS @ Carolina - a natural bridge to NCSU



Carolina



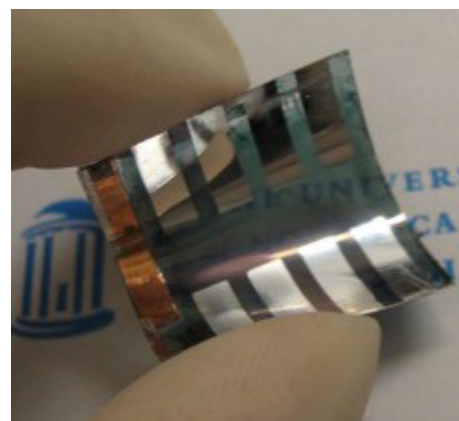
NCSU

# Applied Physical Sciences: Faculty Highlights

## Wei You

Associate Professor, Assistant Department Chair  
Department of Chemistry

Wei You works with Carolina chemists and physicists to develop flexible plastic solar cells in conjunction with collaborators at Duke and NCSU as well as solar cell companies.



## Sorin Mitran

Professor  
Department of Mathematics

Sorin Mitran simulates the destructive power of high frequency sound waves for disintegrating kidney stones in collaboration with Carolina physicians, computational modelers, and engineers.

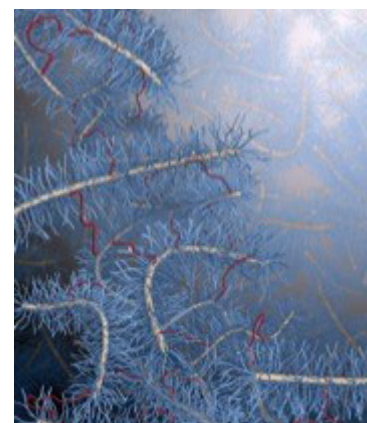
### Simulated Fracture



## Michael Rubinstein

Distinguished Professor  
Department of Chemistry

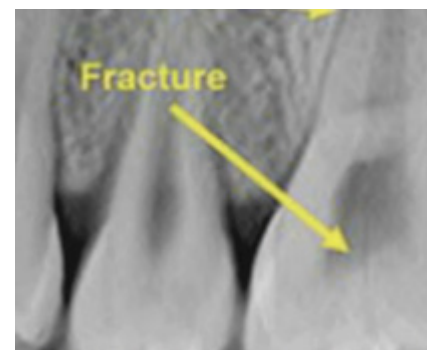
Michael Rubinstein develops theoretical models and uses computer simulations of soft matter and contrasts with experimental findings of collaborate at the UNC Cystic Fibrosis Center to advance effective treatments of airway diseases.



## Otto Zhou

Distinguished Professor  
Department of Physics and Astronomy

Otto Zhou uses carbon nanotubes to generate high resolution X-ray pictures used by physicians from UNC Radiology, Radiation Oncology, the Cancer Center and the School of Dentistry.

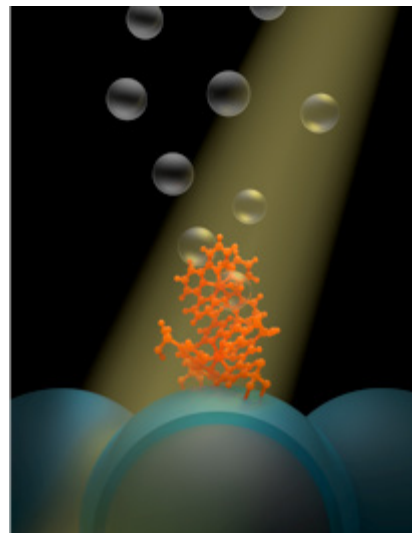


## Tom Meyer

Distinguished Professor

Department of Chemistry

Tom Meyer leads a group of researchers in UNC's Departments of Chemistry and Physics as well as groups at the University of Florida, Georgia Institute of Technology, and the University of Colorado – Boulder in the DOE-funded University of North Carolina Energy Frontier Research Center for Solar Fuels Artificial Photosynthesis to design and build an artificial leaf.

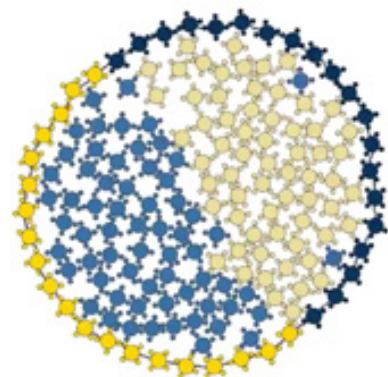


## Daphne Klostka

Assistant Professor

Department of Applied Physical Sciences

Daphne Klostka explores emergent collective properties—emergent “intelligence”—to enable cooperative nanoparticles in drug delivery, swarms of robots for deep-ocean exploration, and synthetic smart materials that adapt, self-heal, and regenerate.

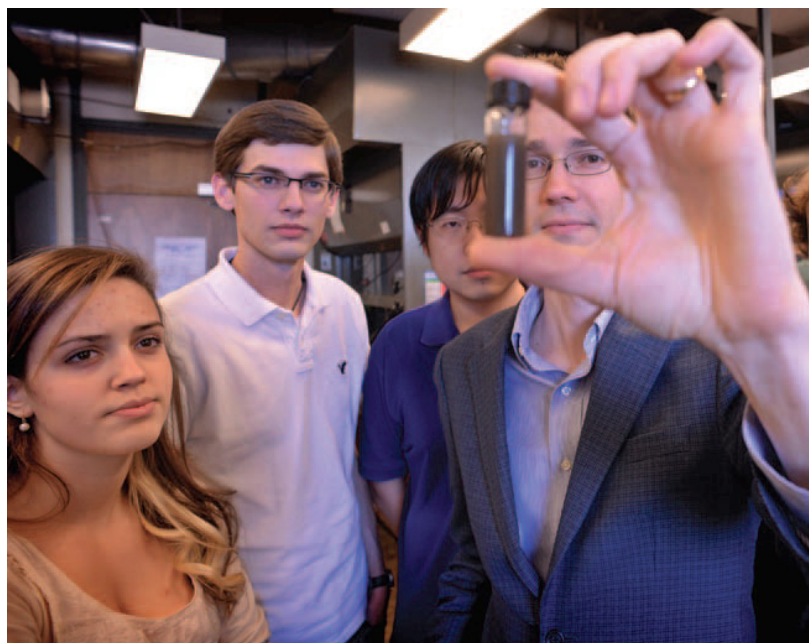


## Scott Warren

Assistant Professor

Department of Chemistry

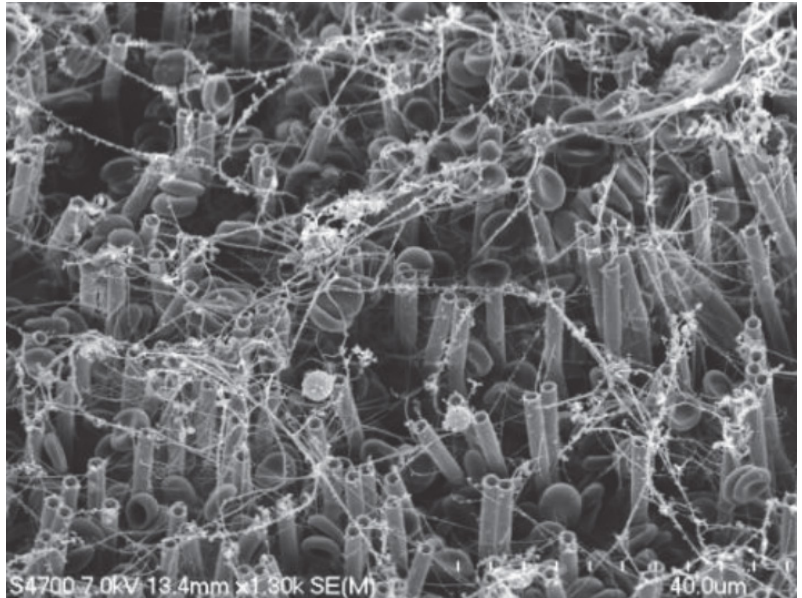
Scott Warren is exploring 1-atom thick new 2D materials for improved solar cells, batteries, and window coatings.



## Richard Superfine

Taylor-Williams Distinguished Professor  
Department of Physics and Astronomy

Richard Superfine leads an NIH center that studies the biological physics of forces in single molecules, cells and physiological phenomena including cancer, blood clotting and mucus clearance in the lung. Superfine has pioneered Carolina's Maker Space—BeAM (Be a Maker) and wants all students — creative types and technocrats and science geeks — to use the spaces.



## Greg Forest

Distinguished Professor  
Department of Mathematics  
Department of Biomedical Engineering

Greg Forest and colleagues saw the opportunity to formulate many open questions from applied mathematics and materials science in one remarkable biological system – lung transport of mucus.



# Advanced Manufacturing Targeted by Applied Physical Sciences

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The state of North Carolina is primed to create advanced manufacturing jobs in the aerospace/transport sector. The Southeast is increasingly attractive to those industries: *Honda Jet* and *Spirit AeroSystems* have relocated to North Carolina, and Boeing is aggressively building capacity in Charleston, SC.

Carolina has an opportunity to leverage this trend to benefit the state and the university system, building on intrinsic strengths:

- UNC-Chapel Hill has an internationally-recognized polymer program, housed in a nationally-ranked chemistry department.
- UNC-CH is building a new department of Applied Physical Sciences with the express intention of establishing expertise in the lucrative area of advanced materials and manufacturing.
- NCSU has high visibility in polymer composites, in both Aerospace and Mechanical Engineering, and in the School of Textiles.

One of the biggest barriers to entry into the aerospace/transport composites industry for Carolina is the difficulty of attracting researchers with both excellent academic credentials and expertise in industrial research, which requires access to companies and manufacturing facilities.

APS has successfully hired a senior faculty member, Theo Dingemans, PhD, Distinguished Professor of Aerospace Engineering at the Technical University of Delft (start date, July 1, 2016). Dingemans' expertise straddles those at UNC's flagship institutions and his research will nucleate the kind of training that supports the attraction of advanced manufacturing industries to NC with their associated high-value jobs.



Theo Dingemans, PhD

Theo Dingemans obtained his PhD at UNC-CH in 1998 under Ed Samulski, current chair of APS. He went on to NASA Langley, where he developed a new class of high performance polymer composites for use in the space shuttle. Dingemans brings a strong consulting relationship with *Boeing*, and many high-tech companies in the US and abroad have requested samples of his new class of composites—*Fokker*, *KLM*, *Airbus*, *SKF*, *Shell*, *Schlumberger*, etc. He is a superb scientist with excellent leadership skills, one who can bridge the science and engineering at our two flagship universities. In April 2016 his ROI proposal on water-based polymer composites was selected for the General Administration competition.

# Will robots school like fish or flock like birds?

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Daphne Klotso's research centers on active matter physics. Active matter is defined as an active "agent" that uses energy to move or exert force. For example, schools of fish or flocks of birds are considered active matter, as are bacteria, robots or self-propelled particles.

What happens when a single bird suddenly becomes a swirling flock of birds? Why do they assemble in a particular shape? How do ants form bridges when their colony is flooded? Such examples of active self-assembly in the natural world hold potential clues for designing robots that could repair buildings constructed in water or under bridges.

The mechanics of swimming may seem obvious. Think about how Olympic swimmers propel their arms and legs, fish undulate their bodies or bacteria spin their flagella. But what is the simplest method of swimming, and how do things transition from being in a stationary position to actively swimming? That information has the potential to transform the design of microscopic and macroscopic robots in medicine and engineering.

Klotso and colleagues published a report in the journal *Physical Review Letters* this spring that describes experiments that demonstrate how fluid dynamics influence swimming. They broke down the mechanics of swimming to its simplest form by using robots made of two spheres to show that swimming can only occur once a critical change in fluid flow has taken place. Their report can help scientists understand the fundamental nature of motion.

"This finding can facilitate advances in robotics and potential applications of robotic swimmers for drug delivery in the body or exploration of the deep seas," Klotso explained. She conducted the research with colleagues at the University of Nottingham while she was based at Cambridge University, and then finished the work at UNC-Chapel Hill.



Daphne Klotso, PhD

Daphne Klotso joined APS July 1, 2015, as an assistant professor. She said she is delighted to join the faculty of the applied physical sciences department in the College of Arts and Sciences. "It's the perfect recipe: picking extraordinary scientists, people with a similar vision for interdisciplinary work and translation of science into real-world solutions, and putting them together to make a new department," she said. She is reaching out to fellow UNC scientists in applied mathematics, biology, and the Cystic Fibrosis Center, and hopes to establish collaborations across campus and beyond.

See more at: <http://college.unc.edu/2016/03/22/klotso/#sthash.wAw6GLnh.dpuf>